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ABSTRACT

This book seeks to acquaint communication researchers with the process of procuring research funding. Following an introduction, two chapters discuss the identification of potential funding sources and the process of developing proposals. Other chapters provide information about government grant and contract programs, private foundation grant programs, research fellowship programs, and doctoral dissertation research grant programs. Appendixes include a Speech Communication Association funding survey, a graphic overview of the funding process, a glossary of basic funding terminology, a list of reference materials on sources of external support, a preliminary outline for writing a proposal, a sample budget summary, the evaluative criteria employed by funding agencies, information on the Public Information Act, a listing of federal agencies, and potential funding sources listed by specific communication discipline areas. A list of references and an index for funding source entries are included. (RL)

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FUNDING RESOURCES FOR THE COMMUNICATION ARTS AND SCIENCES

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CHAPTER ONE

INTRODUCTION

Grants from government and non-government sources have long been an integral part of the funding picture for most American colleges and universities. Researchers in the natural and applied sciences have received grants for basic research from the federal government as well as support from private foundations and corporations. The monies received in the sciences have funded not only the research projects directly, but also have provided support for graduate students, technical and clerical help, travel, equipment, laboratories, and, in some cases entire buildings.

In the social sciences, including the fields of education, psychology, and the health-related disciplines, there has been substantial assistance for demonstration projects which have been instrumental in the dissemination of information or the development of new teaching techniques. These monies, in addition to underwriting projects, have also provided some of the same type of support personnel and supplies and services which have gone to grantees in the sciences.

The amount of grant monies awarded to non-profit organizations—including institutions of higher education—by government agencies, private foundations, and corporations in this country annually is impressive. In 1976, over 56 billion dollars were awarded by federal, state, and local governmental agencies. Another 26 billion was awarded from the private sector (foundations, corporations, and individuals).

Grants awarded to researchers in communication have not been of the quantity or diversity of those awarded to colleagues in related academic disciplines. In recent years, there has developed a heightened interest among communication scholars in securing grant monies. This new interest has developed from a number of concerns. First, researchers in communication have begun to become aware of the substantial amount of extramural funds available for research and related activities and the kinds of advantages and services grant monies can provide one in conducting such projects. Second, the increased emphasis in most colleges and universities on research as an important factor in the hiring, retention, and promotion of faculty in all disciplines has made those in communication more aware that external funding can be a positive asset in accomplishing a variety of professional objectives. Finally, in a time of diminishing resources from traditional sources, many colleges and universities are beginning to increasingly value those departments and faculty responsible for bringing external funds to the campus. Departments of communication must realize that one of the important ways that they can continue to maintain a position of credibility on campus is to compete favorably for such support.

Financial support for research through grants will continue to be available to scholars. Moreover, new sources of funding are constantly being developed. After years of working in the grants arena and as a result of extensive analysis of the funding

histories, policies, procedures, and priorities of most of the government agencies and many of the non-governmental organizations and foundations, it is the conclusion of the authors that researchers in communication can compete favorably with scholars in related disciplines for the grant dollar. Furthermore, we strongly believe that the field of communication can greatly increase the share it receives from external funding sources.

Securing external funds is a complex matter. To compete successfully, one must be aware of some basic strategies in acquiring grants and of the literally hundreds of sources of potential support. The purpose of this book is to provide scholars in the field of communication with some strategies and techniques which are essential for competing successfully in securing grant monies for research. Additionally, it includes a comprehensive discussion of specific sources and programs, both governmental and non-governmental, to which researchers in communication can apply for support.[1] Although the material presented is not exhaustive, it does clearly indicate the large number of possibilities open to representatives of the profession.

In identifying funding sources, we have taken the broadest possible view of the field of communication. Hence, we have included potential sources of support for individuals who have research capabilities in such diverse areas as rhetoric and public address, oral interpretation, communicative disorders, communication theory (including attitude formation and change, interpersonal communication, small group communication, organizational communication, intercultural communication, political communication, and non-verbal communication), and mass media (including television, journalism, and public relations).

Chapter Two focuses upon the identification of potential funding sources, the contacts a researcher should make, as well as the periodicals and publications which can assist him in the process. Additionally, a comparison between government, corporate, and foundation sources is provided to aid the scholar in matching a particular project to the type of agency from which he is most likely to obtain funding. Chapter Three details the entire proposal development process, from the preliminary prospectus through each component of the full proposal, as well as the post-submission phases. Appendices B, C, D, E, and F contain a number of supporting materials for the communication scholar seeking external funds. Collectively, they provide a graphic overview of the funding process (Appendix B), a glossary of basic funding terminology (Appendix C), resource materials on sources of external support (Appendix D), a preliminary proposal outline (Appendix E), a sample budget summary (Appendix F), and the evaluative criteria employed by funding agencies (Appendix G). The major sources of funding for communication researchers have been divided into four chapters: Governmental grant and contract programs (Chapter 4), private foundation grant programs (Chapter 5), research fellowship programs (Chapter 6), and doctoral dissertation research grant programs (Chapter 7). Appendix A contains a summary of a survey conducted by the Speech Communication Association of its members. The purpose of the survey was to discover what kinds of support communication scholars have received and also to ascertain their perceptions and concerns about the funding process.

In writing this book, the authors have started from the premise that communication researchers have failed to fully exploit the research funds which are presently available to scholars who have the interest, capabilities, and experiences prevalent among faculty members and graduate students in the field of communication. The collective

[1]While the information provided in this discussion is current as of summer 1979, the reader is advised to verify any particulars, since agency personnel and programmatic priorities do change occasionally.

approach to research support taken by communication researchers has been limited. Most of the scholarship and developmental activity in the field has been conducted without the benefit of external support. What support communication scholars have received has most typically come from within the college or university in the form of released time from teaching or small amounts of monies for supplies and services. When individuals in the field have turned to external funding, it has generally been for fellowships and small amounts of support.

There are a myriad of government agencies and programs which currently fund research projects which are consonant with the research abilities and interests of communication scholars. For many years, researchers in psychology, sociology, anthropology, and related disciplines have received large amounts of support to do essentially communication studies. Many of these projects even mention communication, speech, or message behavior in their titles. Such agencies as the National Institute of Mental Health, the National Science Foundation, the Social Science Research Council, the National Endowment for Humanities, the U. S. Army, and the U. S. Navy all have monies for which communication scholars can successfully compete. This listing, moreover, is only partial representation of the potential funding sources available to the discipline.

One of the major obstacles to the increased support for communication research has been the unwillingness of scholars in the discipline to seek funding on a large scale. Apparently, many have been unaware that much of the research they currently do without external support can be financed by funding agencies to the betterment of the project and, thereby, the discipline. Research reports appearing in editions of the leading journals in the field are frequently of the same quality as those which are being funded by agencies to scholars in other related disciplines.

An apparent deterrent to communication researchers is the fact that the announcements from agencies on potential funding has not carried with it the label of speech communication. This does not mean that communication research is perceived as unworthy of support. On the contrary, if one digs beneath category labels, it becomes apparent that much of the research being encouraged by funding agencies is communication oriented. In this book, we have identified a large number of agencies which have and will apparently continue funding communication type research, even if they fail to label it as such.

Most of our discussion concerning external funding thus far has been concerned with grants for research. In some cases this support is for basic research. There is, however, a considerable amount of grant money available for projects that do not involve basic research. New teaching models and techniques, bilingual communication demonstration projects, communication projects for the aged, and cultural programs are just some examples of projects not falling within the basic research category which can be funded from government and non-government sources. In essence, grant monies are available for basic and applied research, as well as other innovative approaches to the study of communication.

If the previous discussion has served to help motivate the reader to seek grant support for projects, then it has met its objective. It would be misleading if a few additional points were not made, however. While the book does not consider all aspects of generating a grant proposal and all possible strategies that may increase the probability of success, it can make some of the nuances known. One must be cognizant of the fact that the process of securing external funding is often a difficult, time-consuming task, one that frequently terminates in failure. Yet, success is possible and its likelihood enhanced when one is familiar with the types of material contained herein.

CHAPTER TWO

IDENTIFICATION OF THE POTENTIAL FUNDING SOURCE

An important part of the funding process is the acquisition of information on available sources of support. A researcher needs to be constantly apprised of relevant grant programs, funding criteria, and application deadlines. A good way for one to keep informed is by establishing a working relationship with the individual on campus designated as the coordinator of research. This person receives notices and bulletins regularly about available grant monies from a wide variety of agencies. Since the campus coordinator of research is often supported by soft money, it is in his best interest to do everything possible to assist faculty in their pursuit for funding support.

Frequently, program guidelines prepared by funding agencies do not contain the hidden agendas associated with the awarding of their grants. These hidden agendas are often important in giving a faculty member an edge in calculating agency expectations for particular funding programs. Almost all universities in the country, either independently or as part of the educational system to which they belong, have a liaison office in Washington, D.C. The staff of such offices, because of their proximity to agency personnel, can quite easily acquire advance information on grant programs, as well as hidden agendas, and can act as an advocate for proposed projects. Faculty should maintain contact with the liaison office directly or through their campus coordinator of research.

Among those periodicals and publications with which the communication researcher should become familiar in order to remain abreast of current funding developments are: *Annual Register of Grant Support, Catalog of Federal Domestic Assistance, Commerce Business Daily, Directory of Research Grants, Federal Register, Federal Research Report, The Grants Register, Guide to Federal Assistance for Education, Federal Notes, Educational Resources, Directory of National Science Foundation Programs, NSF Directory Newsletter, NSF Bulletin, Grantsmanship Center News, The Foundation Directory, The Foundation Grants Index, Foundation News, and Foundation Reporter.* All of these periodicals and publications should be available in the office of the campus coordinator of research. Each of these periodicals or publications provides the same basic information about funding programs available from government agencies, foundations, and corporations: program deadlines, funding criteria, appropriation level, eligibility requirements, contact person, and application procedures. (Descriptions for each of these resource guides are contained in Appendix D.)

Obviously, one of the keys to grants success is fitting the project with the appropriate funding program. A few general guidelines, however, can be applied to the majority of agencies in terms of the qualities that may make a project particularly

appealing. First, funding agencies seek short-term investments with long-range potential; they seek out those projects which offer exportability and cost-effectiveness, especially when this is augmented by matching monies, an important potential indicator of institutional or community support. Second, funding sources prefer innovative approaches. Third, "consortia" projects and ones developed out of community input are very attractive to funding agencies. Fourth, and perhaps most important, funding agencies seek out projects designed to solve pressing problems; they see grants as investments in an improved future.

Government Grant and Contract Sources

Estimates of government funding range from $20 to $40 billion every year. These funds are distributed in three basic ways: (1) contracts—an agency solicits proposals for pre-determined activities, and the award is made to the best bidder; (2) formula and block grants—the federal government distributes grant dollars to states or regions according to pre-determined formulas (based upon various economic and demographic variables), from which competitive funding programs for eligible institutions may be established locally; and (3) project grants—funds are given out through congressionally authorized funding programs.

For government contracts, cost-effective methods are imperative, as well as the track record of the applicant. For government formula grants, the impact of the proposed project must correspond to the one outlined by the formula; the track record of the applicant is particularly important at the state or regional level. For government project grants, the track record of the applicant is particularly important at the national level.

Private Foundation Grant Sources

Despite the fact that there are approximately 26,000 private foundations in the country, the top 2,533 have 90% of the assets and give 80% of the grants. The average foundation grant is about $1,700; 50% fall below $1,000 and 80% fall below $5,000. Currently, approximately 26% of all foundation grants are awarded to the educational sector.

There are five types of foundations, each with a slightly different orientation in regard to funding policies: (1) National/General Purpose Foundations prefer projects having national scope and impact, pilot or demonstration projects, programs providing high visibility, a solid track record at the regional or national level being essential; (2) Community Foundations support projects designed to apply proven methods to community or local problems, thereby making a solid track record in the community most important; (3) Special Purpose Foundations seek projects reflecting their own particular interests, the contribution to the area of concern being of prime importance; (4) Corporate Foundations support projects which offer impact in the community and enhancement of the company image; and (5) Family Foundations prefer projects which reflect the interests of the donor and often have limited granting policies and specific geographic boundary restrictions.

Corporate Grant Sources

The majority of corporations do little in the way of funding grant projects, despite the fact that they legally can give away up to 5% of their pre-tax profits. (They currently

distribute about 1% of their pre-tax profits to grants recipients.) In 1970, for example, only 20% of the 1.7 million corporations filing IRS returns claimed any release of grant funds; only 6% made awards of over $500. Currently, about 35% of corporate grant monies goes to the educational sector. Generally speaking, as the economic climate improves, grants from corporate sources increase; however, when profits drop, corporate funding decreases sharply.

Corporations regard their grants as purchases of one kind or another. Essentially, these grants provide benefits to the corporation—prestige, increased profits, and employee satisfaction. Corporations view these grants as investments which provide an important return, whether this be in the way of an improved corporate image, assistance in attaining corporate goals, an improved environment around the facility, or benefits to employees or management.

Corporations are very interested in what their competition has funded. They prefer tested and proven methods and are concerned with funding projects that serve a local need and promise local impact. The track record of the applicant is not a vital concern to corporations.

Final Note

It is important to stress that grantsmanship is a continuous process. It is imperative that a communication researcher seeking external funding stay on top of what is occurring in the grants arena, in order to note shifts in program priorities and/or the development of new funding programs. It is also important that a communication researcher develop a grants readiness system through the development of a credibility strategy, an advocate network, and an informational contact system. In all these efforts, the campus coordinator of research is key; this individual can assist the communication researcher in a multitude of ways, especially if he becomes very familiar with the interests and capabilities of the researcher. Finally, the importance of developing a long-range funding plan, of establishing long-term relationships with funding sources cannot be ignored, since it can lead to sustained support over a long period of time as a researcher continues to develop his research in a particular area.

CHAPTER THREE

PROPOSAL DEVELOPMENT PROCESS

A reasonable assumption is that a "good idea" is sufficient cause for a granting agency to fund a project. To be more realistic, however, one has to not only have that "good idea," but also know how to present it well, in the face of severe competition from other worthy researchers. A grant proposal, in a sense, is the one chance an investigator has to convey his "good idea" to the decision-makers in the funding agency. It is, therefore, imperative that the proposal represent the project well; it must be very carefully developed.

The descriptive material that follows is designed to assist the reader in the development of a good, competitive grant proposal. It describes the essential components of such a proposal and suggests the pitfalls which should be avoided along the way. This material is intended to be used in conjunction with the program guidelines provided by the specific funding agency, as well as campus personnel in charge of coordinating research and development activities. While proposals for federal and state agencies differ markedly from those intended for foundations, the same general principles described herein apply.

Preparatory Steps

Prior to the actual development of a grant proposal, a researcher needs to perform several preparatory steps: (1) identification of a potential funding source; (2) determination of funding agency priorities; (3) establishment of individual and organizational capabilities in assuming the tasks associated with the project; (4) determination of financial scope of the project; (5) execution of a literature search; and (6) consultation with colleagues. Each of these preparatory steps will assist the researcher in assessing, in one form or another, the realities of undertaking the project through extramural support. It is at this early stage, prior to the investment of a great deal of time and effort, that a researcher can determine whether his "good idea" has any chance of receiving agency funding.

The first step, identifying a possible funding source, presupposes that the project might be of interest to a funding agency; part of this process is the determination of institutional or individual eligibility for targeted agency programs. The second step, determining funding agency priorities, enables the investigator to define his project within the mission of the extramural source, somewhat akin to placing a key into a lock and hoping that the door opens. Such priorities can be determined by reviewing a list of projects already supported by the agency, reading general information about the funding source in brochures, or by seeking out key agency personnel and discussing program objectives with them. A good proposal writer adopts the role of a marketing

professional, he finds out what his potential customers want. He does not simply submit the same proposal to ten different agencies, but rather defines his project according to the priorities of the funding source; any project can be viewed from a number of different angles. The third step, determining individual and organizational capabilities to undertake the project, is very important, since it will involve the commitment of staff and facilities.

The fourth step, establishing the financial scope of the project, is necessary for the insurance that the potential funding source awards grants within the range estimated for the project. The fifth step, performing a literature search, provides the investigator evidence that he is not simply duplicating previous efforts and allows him to see how the project will fit into the global perspective of the area of concern. This process can also provide additional evidence for the justification of the project, through the discovery of relevant studies expressing the need for further research into the area involved. In conjunction with this search, the investigator should consult with individuals who have received grant awards in that particular area, if possible. The sixth step, consulting with colleagues, is a process which should be undertaken during the entire grants development process, since they can be the best resource for the technical aspects of the presentation and may have had experience with attempting to get projects of a similar nature funded. The investigator might also want to include such colleagues as consultants in the project itself.

Development of the Preliminary Proposal

Upon completion of these six preparatory steps, the investigator is wise to submit his idea to the potential funding source in the form of a preliminary proposal. This is done so that agency interest in the concept can be assessed before the investment of time and effort in the preparation of a full proposal is made. Since a full proposal needs to be tailored to a specific agency, it is advantageous to deal with a preliminary proposal first, in the event that the potential funding source feels it is an inappropriate submission for its program. In addition, it is often a good idea to get agency personnel aware of the project from the very beginning, so that they can guide the investigator through each phase of the process, maximizing the adherence of the proposal to programmatic criteria. Agencies prefer, in fact, to react to a concept through a prospectus initially, rather than waiting until the complete proposal arrives, along with the numerous other competitive submissions; for some agency programs, a preliminary proposal is a requirement. It gives the agency an opportunity to screen out projects early which do not meet its current programmatic objectives. It gives the investigator an opportunity to redirect his presentation on the basis of feedback from the agency or to come to the realization early that another funding source might be more appropriate for the project. (Some agencies, in fact, are willing to suggest more appropriate programs for a project at this stage.) In addition, the preliminary proposal allows an investigator to make the initial formal contact with an agency in regard to the particular project. A preliminary proposal also allows an investigator to obtain feedback and constructive criticism from his academic colleagues.

Generally speaking, the following basic items should be included as specifically and accurately as possible in a preliminary proposal: (1) name of investigator, departmental and institutional affiliations, address, telephone number; (2) title of proposed project; (3) brief description of proposed project; (4) project goals and objectives; (5) anticipated impact of project; (6) project design and sequence of activities; (7) proposed time frame for project; and (8) estimated budget for first year of project

(direct costs only), including salaries (professional and non-professional), travel (in-state and out-of-state), equipment, supplies, other.

The preliminary proposal is intended to be a mini-size version of the full proposal. It should serve as a framework in developing the full proposal, through the addition of a few sections and the embellishment of its contents. Unless an agency provides instructions to the contrary, the preliminary proposal is usually a two- or three-page document. On a smaller scale, the descriptions provided below for the full proposal apply to those sections also included in the prospectus. (A preliminary proposal outline is contained in Appendix E.)

Development of the Full Proposal

Throughout the development of the full proposal, the investigator should put himself in the place of the agency evaluator and attempt constantly to anticipate the questions the evaluator will have. The proposal needs to contain adequate justification, clear and concise statements, and must demonstrate that the project is not only feasible, but that the individuals and the organization are the best qualified to undertake it. It is imperative that the proposal be tailored to the specific audience that is going to read it. In general, one should avoid overly technical language and assume that the agency evaluators will have a certain degree of awareness about the general topic surrounding the project. The investigator should adhere strictly to the program guidelines supplied by the agency in the preparation of the proposal, these guidelines will in some way be tied to the evaluative criteria employed in the review of the proposal. If for any reason the guidelines are not completely clear, the investigator should seek assistance from the campus research and grants coordinator or should talk directly with agency personnel.

If a specific title page format is not required by the agency, the researcher should prepare one containing the following information: the project title, the name of the principal investigator, the name of the agency to which it is being submitted, the proposed starting date for the project, the proposed duration of the project, the amount of the total budget, and any required signatures (for example, the chief official of the institution). The title itself should be very carefully selected. It should reflect the nature of the project and be limited to fifty to seventy-five spaces. (Titles of any greater length are cumbersome and tend to confuse the reader.) It is advisable to include an action word (for example, the word "control"), an object word (the target population), and a modifier (for example, that which is to be controlled) in the title.

The abstract should be written after the entire proposal has been completed. It should contain the main points relating to: a brief description of the project, its goals and objectives, its methods, and its potential impact. All of this needs to be presented in a very concise and clear manner. In some cases, it may be the only part of the proposal that a reviewer gets a chance to read, since the number of proposals reaching an agency within a single program cycle can be in the hundreds or even thousands. This document can also provide an agency the opportunity to circulate the project concept outside of the primary review group. In either case, the abstract is extremely important to the marketing of the project. Generally speaking, the abstract should be no more than 300 words in length.

The proposal problem statement should identify the need assessed in connection with the project, and, with adequate justification, should respond to the following: (1) how the need was determined; (2) how long it has been a problem; (3) why the investigator is dealing with it at this particular time; (4) why it is appropriate that it be

his responsibility; (5) how it relates to the "state-of-the-art" in the area of concern; and (6) how the problem and the accomplishment of the tasks associated with it relate to overall organizational goals and objectives. It is important, at this point, that the agency sees the project as fitting into its priorities. The problem statement can be approached from the standpoint of theoretical need, practical need, or both. A good proposal conveys the impression that the research is crucial without ever actually using the word itself.

The goals and objectives section of the proposal comes immediately after the presentation of the problem statement. As with all other sections of the proposal, this one should appear to extend naturally from the previous one; the individual proposal sections should flow from one to the other in a logical progression. The goals and objectives should be presented chronologically in the proposal or in the order in which they are implied in the problem statement. Goals are generalized statements about the outcomes of the project. Objectives are statements about the precise outcomes of the project and need to be formulated in measurable terms. In doing so, each objective should indicate the time frame and specific criteria for acceptable performance. The objectives provide the investigator with a framework for measuring the success of the project for evaluative purposes. Unlike goals, objectives can be met or even exceeded. An objective shows what an investigator is willing to be accountable for. The researcher should incorporate action-oriented verbs in the objectives, such as "demonstrate," "test," or "develop." In the process of preparing each of these objectives, the researcher needs to carefully consider what can realistically be accomplished.

The methodology or project design section should delineate the sequence of activities associated with the research. In this section, the investigator should indicate how the specific methods to be used were chosen, why they are better than others, how they are cost-efficient, and how their timing is reasonable, all in terms of the population to be served by the project. The reader of the proposal should be able to easily identify which methods will be used by the investigator in achieving each of the objectives stated earlier. In conjunction with the development of this section of the proposal, a GANTT chart (timetable) or PERT chart should be included in an appendix, to note specifically how these activities relate to one another and to the project as a whole. As part of the development of the project methodology, the investigator needs to consider the dissemination of project results, whether it be in the form of attending a conference to present a paper, preparing an article for a scholarly journal, development of a sponsored seminar, or the mailing of a project report to certain individuals (for example, public officials in the community).

The evaluation component of the proposal should tie directly into the measurable objectives delineated earlier. This section is crucial to the prospects for continuing support from the agency, beyond the initial funding period. As a rule, the more public the granting agency, the more crucial the evaluation plan is. Generally speaking, basic research projects do not require an evaluation section in the proposal, the hypothesis test is sufficient; however, for innovations, training programs, and many applied projects, the evaluation section is critical. This section of the proposal should indicate who will conduct the evaluation, whether it will be formative or summative in nature, the reliability and validity of the evaluation method, and how the project records will be kept. Cause-effect relationships should become an outcome of the actual evaluation process. Basically, depending upon the type of project involved, the principal investigator should demonstrate in the text of the proposal, how the following questions will be answered through the evaluative process: (1) whether the project accomplished its purpose; (2) whether the project operated as it was designed to; (3) to

what extent the outcomes were attributable to the project and not some other factor; (4) what conclusions can be derived from program accomplishments (or lack of them); and (5) what changes should be made in the program.

An important part of the proposal is the section which addresses itself to the future funding of the project, beyond that which is requested in the proposal. A funding agency likes to involve itself in a short-term investment with long-range impact potential. Part of this may be accomplished by exportability of the project upon its completion, that is, if it provides a model for others to adopt. If the investigator can provide evidence of self-support potential for the project upon completion of the funding period, it strengthens the chances of its getting funded by the agency. It may be more practical, however, to indicate the intention of seeking alternative extramural sources of support, perhaps, even from the local community, especially if it is related to the target population to be served by the project and stands to lose if the project is not sustained. Obviously, the more detailed and realistic the projections for future funding, the more confident the agency will feel in the continuation of the project. There are some projects, of course, for which future funding would not be a consideration; for many basic research projects, the initial funding period may be all that is required for their completion.

The budget section of the proposal should delineate all the resources required to accomplish the program objectives in the form of personnel, equipment (items costing over $300, with a life expectancy beyond one year), travel, supplies, and other (subject fees, publications, computer time, communications), as well as the appropriate indirect cost charge. (A sample budget summary is contained in Appendix F.) Each item needs to be accompanied by adequate justification and a clear idea of its relationship to the project as a whole. While a budget should reflect an accurate statement of costs for the project, it is not unreasonable for an investigator to consider one or two items as negotiable, if the agency were not able to provide all of the requested funds. Oftentimes, a researcher will provide two columns in the project budget statement, one for requested funds and one for matching funds. (It is important that a researcher note any matching funds requirement of the granting agency from the start, since it will either involve a commitment of the institution or guaranteed procurement of funds from another external source.) This allows a funding official to see institutional and community commitment to the proposed project; it fosters a sense of shared responsibility. In preparing the budget, the investigator is strongly advised to consult with the business office of the university or the campus research and grants office. The personnel in these offices can assist the investigator in properly anticipating project expenditures (including inflationary forecasting) and make appropriate adjustments in the budget according to campus policies (for example, in regard to salary schedules, contractual arrangements, fringe benefits rates) and agency policies (specifically in regard to what kinds of items are fundable or in some way fiscally restricted).

The appendix to the proposal should contain any material which is too lengthy to include in the text, information that supports assertions made in the text, or any material which would break up the flow of the text. It may contain any or all of the following, depending upon the requirements of the agency and their appropriateness to the project involved: (1) boilerplate description of the organization and the facilities relevant to the execution of the project (if it is not included in the problem statement section of the proposal); (2) organizational personnel chart and project personnel chart; (3) institutional map; (4) graphics, which support the text of the proposal, such as a GANTT chart (timetable) or PERT chart prepared in conjunction with the methodology section; (5) a listing of the advisory board for the project; (6) resumés of

individuals associated with the project (all prepared in a consistent manner); (7) certifications of compliance with various governmental regulations; (8) present grant support and pending applications for principals involved in the project; and (9) letters of support from external sources, primarily from the local community and/or prominent individuals in the field (the best letter being the one that reflects a willingness to cooperate).

The physical appearance of a proposal is important; its arrangement can assist or hinder the communication process for the reader. One should prepare a proposal so that an evaluator would notice it on a table stacked with numerous other submissions and would find that getting information out of it was a good investment of time. A table of contents should be incorporated within the proposal if it is longer than ten pages. Index tabs provide for a rapid entry to particular proposal sections. References and footnotes should be standardized. Short sentences and paragraphs are preferable; interesting illustrations and analogies are advisable when appropriate. Indentations, bullets, and underlinings are a good idea for the text of the proposal, as a means to break up page after page of solid prose. An investigator should accentuate the positive and avoid drawing attention to himself, rather than the project. Generally speaking, it is advisable to write a proposal in the third person. A proposal writer should aim his pitch at one individual. It is often wise to have an outside party read a proposal prior to its submission for tone, clarity, content flow, and consistency. The majority of scientific journals incorporate a rather indirect writing technique; grant proposals must be very clear and direct. Good organizational and style principles are essential to the success of any grant proposal.

Agency Review Process

After the proposal has been submitted to the agency, it is a good idea to monitor its progress throughout the review process; the first steps in this process are verifying its arrival and obtaining an expected action date. Generally speaking, the evaluative criteria utilized by funding agencies in reviewing proposals center around the following items: (1) ability of the project to fit into program mission; (2) significance of the project; (3) innovativeness of the project; (4) exportability of the project; (5) institutional and community support for the project; (6) institutional capability to execute the project; and (7) cost-effectiveness of the project. (A complete list of potential evaluation items is contained in Appendix G.) It may take anywhere from three to nine months for the review process to complete itself. During the course of the process, the agency may decide to conduct a site visitation. In the event that this occurs, the researcher should see it as meaning that the funding agency regards the project favorably and simply wants to determine first-hand the ability of the individuals associated with the project to carry it out, as well as to note the existence of institutional support. Either through the site visitation or through some other form of contact, the agency may request a budget negotiation; that is when the flexibility of the project and the inclusion of negotiable items in the budget becomes crucial.

If a negotiation is necessary and a revised budget is requested, project objectives should be prioritized. Upon reviewing the priority list and the tasks involved in accomplishing each objective, it will be possible to determine whether the budget should be cut by eliminating an objective or by lowering the level of accomplishment for each. It may be possible to leave all objectives intact, but cut down on costs which do not affect their completion, such as through the use of lower level personnel.

What To Do If the Project is Not Funded

In the event that the project is not funded, the researcher needs to remember that rejection is not necessarily equated with the soundness of the proposal document or the concept behind it. When a project is not supported, the principal investigator is advised to do the following: (1) secure a critique of the proposal prepared by agency reviewers (Federal law requires granting agencies to make critiques available to applicants upon request; a copy of this legislation is contained in Appendix H.); (2) discuss the project with agency program officials; (3) cross-check reviewer comments with program guidelines; (4) make a plan to resubmit the proposal for the next cycle or locate an alternative source of funding; (5) join agency review panels in order to acquire an understanding of the review process itself; and (6) discuss the project with colleagues and the campus coordinator of research.

What To Do If the Project is Funded

In the event that the project does receive support, the following steps should be immediately undertaken: (1) an acknowledgement of the receipt of the award should be forwarded to the agency (The university or its foundation needs to formally accept the awarding of the funds.); (2) the principal investigator, in conjunction with the appropriate officials in his institution, should find out the conditions of the award (including policies relative to royalties, patents, disposition of equipment, budgetary transfers), as well as the payment schedule, and reporting requirements (technical and fiscal); (3) a schedule for periodic progress reports to the funding agency should be established; and (4) an internal system for the administration of the grant should be fully operationalized.

CHAPTER FOUR:

GOVERNMENT GRANT AND CONTRACT PROGRAMS

Army: Research Institute for the Behavioral and Social Sciences

Department of Health, Education, and Welfare
- Public Health Service
  - Alcohol, Drug Abuse, and Mental Health Administration
    - National Institute of Mental Health
      - Division of Extramural Research Programs
        - Applied Research Branch
        - Behavioral Sciences Research Branch
          - Personality and Cognition
          - Social Sciences
        - Small Grants Program
      - Division of Special Mental Health Programs
        - Center for Studies of Metropolitan Problems
    - National Institutes of Health
      - National Institute of Child Health and Human Development
        - Research for Mothers and Children
          - Human Learning and Behavior Branch
      - National Institute of Neurological and Communicative Disorders and Stroke
        - Communicative Disorders Program
  - Education Division
    - Assistant Secretary for Education
      - Fund for the Improvement of Postsecondary Education:
        - Comprehensive Program

Department of Labor: Employment and Training Administration
- Small Grant Research Project Program

National Endowment for the Arts:
- Media Arts—Film/Radio/Television Program

National Endowment for the Humanities:
- Division of Education Programs
  - Institutional Grants Program
    - Consultant Grants
    - Pilot Grants
    - Development Grants
  - Higher Education Projects Program
    - Humanities Institutes Grants
    - Curriculum Materials Grants
    - General Projects Grants

- Division of Public Programs
  - Media Program
- Division of Research Grants
  - General Research Program
  - Research Materials Program
  - Research Conferences Program

National Science Foundation:
- Directorate for Applied Science and Research Applications
- Division of Applied Research
  - Applied Social and Behavioral Sciences Section
    - Individual and Group Processes
    - Industrial Organizations and Markets
    - Telecommunications Research Program
- Directorate for Biological, Behavioral, and Social Sciences
  - Conferences, Symposia, and Research Workshops
- Division of Behavioral and Neural Sciences
  - Anthropology Program
  - Linguistics Program
  - Memory and Cognitive Processes Program
  - Sensory Physiology and Perception Program
  - Social and Developmental Psychology Program
- Division of Social Sciences
  - Law and Social Science Program
  - Political Science Program
  - Sociology Program
- Directorate for Science Education
  - Division of Science Education Resources Improvement
    - Instructional Scientific Equipment Program
  - Office of Science and Society
    - Public Understanding of Science Program
- Directorate for Scientific, Technological, and International Affairs
  - Division of Information Science and Technology
    - Research of Information Science Program
  - Division of International Programs
    - International Travel Grant Program
  - Division of Policy Research and Analysis
    - Innovation Processes and Their Management

Navy: Office of Naval Research—Psychological Sciences Division
- Personnel and Training Research Programs
- Manpower R&D Programs
- Organizational Effectiveness Research Programs
- Engineering Psychology Programs

ARMY: RESEARCH INSTITUTE FOR THE BEHAVIORAL AND SOCIAL SCIENCES

The Army Research Institute for the Behavioral and Social Sciences, established in 1972, is an agency of the Deputy Chief of Staff for Personnel. Its mission is to perform individual and group behavior research and development activities, in order to provide scientific and technical support to meet the human resource needs of the Army. ARI research is narrower than that performed by other Department of Defense agencies, with emphasis on such areas as: industrial psychology, organizational psychology, personnel psychology, and, until it was recently terminated, military sociology. Although the Institute is an applied organization, it does support basic research in three areas: education and training, personnel and manpower, and systems operations, all leading to applied investigations.

The specific research objectives of ARI include recruiting, selection, classification, training, motivation, leadership, work efficiency, loyalty, low absenteeism, retention, individual growth, career guidance, fair pay and promotion, equal opportunity, pleasant interpersonal interactions, and effective internal communication. Additional objectives which are peculiar to the military include evaluation of combat readiness without combat; provision of a desirable environment for personnel in isolated, remote, hostile, foreign, or anti-military locations, and large-scale operations; and maintenance of new and highly sophisticated equipment.

About one-half of ARI research is performed by outside organizations on the basis of requests for proposals. A small portion of the budget is allotted for basic research at academic and non-profit organizations, in cases where the Institute does not have the basic information required to determine how to complete an in-house project. On an annual basis, ARI publishes a document entitled *Research Themes and Technological Base Programs of the ARIBSS* which outlines their informational needs, suggests basic research areas, and attempts to tie the identified areas to the work in progress in the next most applied step. These "themes" may be employed as subject areas for research project proposals. Investigators are encouraged to submit a prospectus initially to ascertain the relevance of the project prior to submitting a formal proposal.

Formal proposals, processed through an independent peer review system for scientific merit and applicability to ARI needs, are normally evaluated within three to four months. Projects may be supported up to two or three years. Awards are, generally speaking, fairly small.

PROGRAM CONTACT

Department of the Army
U. S. Army Research Institute for
the Behavioral and Social Sciences
PERI-RC, Room 278
1300 Wilson Boulevard
Arlington, Virginia 22209
(703) 274-8636

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE, PUBLIC HEALTH SERVICE, ALCOHOL, DRUG ABUSE, AND MENTAL HEALTH ADMINISTRATION: NATIONAL INSTITUTE OF MENTAL HEALTH—DIVISION OF EXTRAMURAL RESEARCH PROGRAMS—APPLIED RESEARCH BRANCH

Program Priority Areas

The Applied Research Branch supports studies designed to advance knowledge in the areas of child and adolescent mental health, as well as in the broad area of social problems. Projects which are supported are problem-oriented and aimed at the generation of new theory, hypotheses, and data, as well as innovative experimentation focusing upon the detection, prevention, and solution of such problems. Among the specific areas provided support are the nature of the nuclear family, the changing roles of men and women, mobility, and occupational stress.

Eligibility Requirements

Colleges and universities; private non-profit research organizations.

Application Process

Investigators may submit preliminary prospectuses to program officers for review. Formal applications should be submitted by either March 1, July 1, or November 1. Investigators should not anticipate funding until one year after submission.

PROGRAM CONTACT

Applied Research Branch
Division of Extramural Programs
National Institute of Mental Health
Alcohol, Drug Abuse, and Mental Health Administration
5600 Fishers Lane
Rockville, Maryland 20857
(301) 443-3566

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE, PUBLIC HEALTH SERVICE, ALCOHOL, DRUG ABUSE, AND MENTAL HEALTH ADMINISTRATION: NATIONAL INSTITUTE OF MENTAL HEALTH—DIVISION OF EXTRAMURAL RESEARCH PROGRAMS—BEHAVIORAL SCIENCES RESEARCH BRANCH

Program Priority Areas

The Behavioral Sciences Research Branch supports studies on the basic processes underlying behavior, from the perspectives of several broad areas: personality and cognition, experimental psychology, neuropsychology, and social sciences.

Personality and Cognition: Projects are supported on human personality structure and development, cognition, intelligence and higher mental processes, including motivation, attitudes, values, problem-solving, relationship of personality to perception and learning, emotional processes, thinking, and creativity.

Social Sciences: Projects are supported on mental health and anthropological, sociological, and social psychological perspectives, focusing upon such areas as social interaction and group processes, including those of complex organizations; family processes, including structure, interactions, and role relationships; changing social roles; socialization processes; culture and personality; cross-cultural factors; ethnolinguistics and sociolinguistics; experimental social psychology, including game theory and decision theory; social perception and attitudes, including information structure and functions; social norms and roles as they relate to behavioral processes; and social science methodologies, including those employed in observational and descriptive studies, field and survey research, laboratory experimentation, modeling, and simulation.

Eligibility Requirements

Colleges and universities; private non-profit research organizations.

Application Process

Investigators may submit preliminary prospectuses to program officers for review. Formal applications should be submitted by either March 1, July 1, or November 1. Investigators should not anticipate funding until one year after submission.

PROGRAM CONTACT

Behavioral Sciences Research Branch
Division of Extramural Research Programs
Alcohol, Drug Abuse, and Mental Health Administration
5600 Fishers Lane
Rockville, Maryland 20857
(301) 443-3942

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE, PUBLIC HEALTH SERVICE, ALCOHOL, DRUG ABUSE, AND MENTAL HEALTH ADMINISTRATION: NATIONAL INSTITUTE OF MENTAL HEALTH—DIVISION OF EXTRAMURAL RESEARCH PROGRAMS—SMALL GRANTS PROGRAM

The Small Grants Program provides support in a relatively flexible and rapid manner for projects dealing with mental health as it relates to the behavioral, biological, and medical sciences. These grants are generally intended for less experienced researchers or others who do not have regular research grant support form their insititutions for preliminary investigations. These grant monies may be utilized to develop and test a new method or technique, to analyze previously collected data, to exploit an unexpected research opportunity, or to perform exploratory or pilot research studies. Mental health small grants are normally limited to $5,000 for direct costs (plus indirect costs) and are supported for no more than a year. While support is not given for subsequent years through this program, renewed support can be obtained through the regular research grant programs of the Public Health Service.

Eligibility Requirements

Individuals (in exceptional cases); public or non-profit private state and local agencies; academic or research institutions.

Application Process

Application kits may be obtained upon request from the program office. Proposals may be submitted at any time, although investigators should anticipate a five-to six-month period for their review.

PROGRAM CONTACT

Chief, Small Grants Section
Division of Extramural Research Programs
National Institute of Mental Health
Alcohol, Drug Abuse, and Mental Health Administration
5600 Fishers Lane
Rockville, Maryland 20857
(301) 443-4337

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE, PUBLIC HEALTH SERVICE, ALCOHOL, DRUG ABUSE, AND MENTAL HEALTH ADMINISTRATION: NATIONAL INSTITUTE OF MENTAL HEALTH—DIVISION OF SPECIAL MENTAL HEALTH PROGRAMS—CENTER FOR STUDIES OF METROPOLITAN PROBLEMS

The Center for Studies of Metropolitan Problems offers grants to support research focusing upon mental health factors associated with contemporary individual, family, and community life. Research program areas include: (1) urban institutions, social structure, and behavior; (2) work and mental health; (3) the social meaning of ownership; (4) new town planning and development; (5) emergent social forms; and (6) social policies. Projects utilizing the perspectives of psychology, political science, public administration, city planning, social psychology, urban anthropology, and urban sociology are encouraged by the program.

Eligibility Requirements

Public and private colleges and universities, state and local government agencies, community groups, hospitals.

Application Process

Almost all of the grants awarded by the Center are unsolicited. Prior to the submission of a formal application, prospective grantees are encouraged to provide a two-to four-page concept paper to the Center for review, including a statement of the problem to be investigated, the potential impact of the project, and the methods to be employed. Formal applications to the program should be submitted by either March 1, July 1, or November 1. Investigators should not anticipate funding until one year after submission.

PROGRAM CONTACT

Elliot Liebow, Chief
Center for Studies of Metropolitan Problems
Division of Special Mental Health Programs
National Institute of Mental Health
Alcohol, Drug Abuse, and Mental Health Administration
5600 Fishers Lane
Rockville, Maryland 20857
(301) 443-3373

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE, PUBLIC HEALTH SERVICE, ALCOHOL, DRUG ABUSE, AND MENTAL HEALTH ADMINISTRATION: NATIONAL INSTITUTES OF HEALTH—NATIONAL INSTITUTE OF CHILD HEALTH AND HUMAN DEVELOPMENT—RESEARCH FOR MOTHERS AND CHILDREN—HUMAN LEARNING AND BEHAVIORAL BRANCH

The National Institute of Child Health and Human Development is comprised of the Center for Population Research and the Center for Research for Mothers and Children. The Center for Research for Mothers and Children provides project grants and research contracts in the biomedical, social, and behavioral sciences related to maternal and child health and well-being. The Human Learning and Behavior Branch (one of the four Center branches) seeks to support programs in four major areas: (1) Human Communication Research—support is given to projects relating to the development of communicative skills and to the role that communication plays in human growth; (2) Learning and Cognitive Development—support is given to projects dealing with attention, perception, psychomotor and sensory processes, memory, concept formation and reinforcement, conditioning, discrimination, learning and performance, and the acquisition, extinguishing, or modification of behavior, as well as the socialization factors associated with motivation and competence; (3) Developmental Behavioral Biology—support is given to projects focusing upon the neurobiological mechanisms mediating social, emotional-affective, and intellectual-cognitive behaviors, the maturation of sensory functions, and perceptual processes, and behavioral genetic studies on psychohormonal functions, or cognitive-intellectual and social-emotional behaviors; and (4) Personality and Social Deveopment—support is given to social psychological and sociological projects relating to the psychosocial development of young children (including ego, sexual-image, and self-image development and socialization processes).

Eligibility Requirements

Colleges and universities; state and local agencies; hospitals and laboratories; other public and private non-profit institutions; commercial organizations (for contracts only).

Application Process

Formal applications should be submitted March 1, July 1, or November 1. Grant projects are supported for up to five years. Application materials are available upon request from the Institute.

PROGRAM CONTACT

Center for Research for Mothers and Children
Office of Grants and Contracts
National Institute of Child Health and
Human Development
National Institutes of Health
Bethesda, Maryland 20014
(301) 496-5097

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE, PUBLIC HEALTH SERVICE, ALCOHOL, DRUG ABUSE, AND MENTAL HEALTH ADMINISTRATION: NATIONAL INSTITUTES OF HEALTH—NATIONAL INSTITUTE OF NEUROLOGICAL AND COMMUNICATIVE DISORDERS AND STROKE—COMMUNICATIVE DISORDERS PROGRAM

The Communicative Disorders Program of the National Institute of Neurological and Communicative Disorders and Stroke supports research on all aspects of speech, hearing, equilibrium and the special senses. These projects may be physiological, biochemical, behavioral, anatomical, or pathological in nature. Clinical studies of deafness and auditory impairments, including means for treatment and rehabilitation, of the very young and the elderly are also supported. Speech studies may involve sound reduction, modulation and projection, speech pathology, or aphasia.

Eligibility Requirements

Colleges and universities; state and local agencies; hospitals and laboratories; other public and private non-profit institutions.

Application Process

Formal applications should be submitted March 1, July 1, or November 1. Grantees are required to provide cost sharing on all projects. Application materials are available upon request from the Institute.

PROGRAM CONTACT

Communicative Disorders Program
National Institute of Neurological and
Communicative Disorders and Stroke
National Institutes of Health
Federal Building
7550 Wisconsin Avenue
Bethesda, Maryland 20014
(301) 496-1804

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE, EDUCATION DIVISION: FUND FOR THE IMPROVEMENT OF POSTSECONDARY EDUCATION—COMPREHENSIVE PROGRAM

The Fund for the Improvement of Postsecondary Education (FIPSE) through their comprehensive program provides support for innovative activities which develop and demonstrate more effective approaches to the provision of higher education. Preference is given to projects which relate to: (1) learner-centered change; (2) increased cost-effectiveness; (3) increased diversity; and (4) needed stuctural change.

Eligibility Requirements

Amount of Support per Award: $4,000 - $300,000
Average Award: $70,000
Total Amount of Support: $14,500,000 estimated for fiscal year 1978
Number of Applicants in Most Recent Year: 2,500 estimated for year 1978
Number of Awards: Approximately 120 new grants and 95 continuation grants for the year 1978

Application Process

Specific guidelines for the program are available upon request from the Fund. Both preliminary and final proposals are required, in accordance with the instructions for preparation and submission within these guidelines. Normally preliminary proposals are due in January and final proposals in March. Upon review of the preliminary proposals, the Fund determines which applicants will be invited to submit final proposals. Although there is no matching fund requirement for the program, applicants are advised to include such a component in their project budget. The Fund supports projects on a declining basis for up to three years.

PROGRAM CONTACT

Comprehensive Program
Fund for the Improvement of Postsecondary Education
Department of Health, Education, and Welfare
Room 3123
400 Maryland Avenue, S. W.
Washington, D.C. 20202
(202) 245-8091

DEPARTMENT OF LABOR, EMPLOYMENT AND TRAINING ADMINISTRATION: SMALL GRANT RESEARCH PROJECT PROGRAM

Grants for support of small innovative or exploratory research projects are available from the Department of Labor in areas of study that relate to the field of employment and training.

Eligibility Requirements

Funds are provided to public and private non-profit academic institutions and research organizations, principally for postdoctoral investigations.

Financial Data

Range of Awards: $2,500 - $15,000
Average Award: $11,000
Maximum Support of Direct Costs: $10,000

Application Process

Guidelines for preparation of applications are available upon request from the Department of Labor. These grants normally extend no longer than one year, with no-cost extension possible if reasonable progress is demonstrated. Applications must be received no later than December 1, March 1, June 1, or September 1 for work to be performed or initiated during the twelve-month period that follows.

PROGRAM CONTACT

Small Grant Research Project Program
Department of Labor
Employment and Training Administration
Office of Research and Development
Washington, D. C. 20213
(202) 376-7243

NATIONAL ENDOWMENT FOR THE ARTS: MEDIA ARTS—FILM/RADIO/TELEVISION PROGRAM

The Media Arts—Film/Radio/Television Program of the National Endowment for the Arts provides assistance: (1) to major media centers for a variety of projects; (2) for exhibition projects in film and video; (3) for short-term residencies and workshops by film and videomakers, critics, and radio artists at public radio stations, educational and cultural facilities or other appropriate institutions; (4) for production of radio, video, and film pieces; (5) to institutions and organizations in the provision of needed services and facilities to the field; (6) to video artists in cooperation with the Endowment's Visual Arts Program; (7) through the American Film Institute for individual filmmakers projects; and (8) through the American Film Institute for archival projects.

Eligibility Requirements

Media centers, either independent or affiliated with another organization, such as a museum, university, city, or state arts agency; visual artists; tax-exempt non-profit organizations.

Application Process

Guidelines and application forms are available upon request from the program office. Deadlines for submission of proposals vary by program component to which the application is made.

PROGRAM CONTACT

Media Arts—Film/Radio/Television Program
Mail Stop 552
National Endowment for the Arts
Columbia Plaza
2401 E Street, N. W.
Washington, D. C. 20506
(202) 634-6300

NATIONAL ENDOWMENT FOR THE HUMANITIES: DIVISION OF EDUCATIONAL PROGRAMS

The Division of Educational Programs seeks to make humanistic study available to the greatest possible number of students at all educational levels. Proposals are encouraged which offer the most interesting and practicable programs in humanities education, whether innovative or traditional in nature. Two programs within this Division, the Institutional Grants Program and the Higher Education Projects Program, are particularly appropriate for colleges and universities offering curriculum in the humanities.

Institutional Grants Program: Projects are supported which involve the design, testing, and implementation of pervasive and long-range programs that will strengthen the humanities curriculum within the context of single colleges and universities. Three types of support are provided—(1) Consultant Grants; (2) Pilot Grants; and (3) Development Grants. Consultant Grants enable institutions to secure the services of notable humanities teachers and administrators in developing and evaluating their curricular offerings. This may involve the strengthening of a

single department or the restructuring of an entire humanities division. Pilot Grants provide institutions the opportunity to test a new set of courses before making a substantial resources commitment to the new program. This support can include workshops for faculty to complete the planning of course content, the pilot teaching of new courses, and the evaluation of the pilot program by consultants. Development Grants enable institutions to introduce a new program into ongoing curriculum (the scope of which may only be a group of related courses), or to extensively revise an existing program. Support may be provided to continue the work achieved through the receipt of an NEH Pilot Grant.

Higher Education Projects Program: Projects are supported which involve the design, testing, and dissemination of imaginative demonstration projects which have broad implications beyond the confines of a single institution. Three types of support are provided (though an applicant may include elements from more than one category in a single proposal)—(1) Humanities Institutes Grants; (2) Curriculum Materials Grants; and (3) General Projects Grants. Humanities Institutes Grants are designed to support the establishment of fixed-term residential institutes in which faculty from colleges and universities around the country gather to develop humanities curricula along the lines of a particular topic or theme. It is the intent of this program to encourage teachers to explore approaches which include establishing new combinations of fields or sharpening or broadening perspectives within existing disciplines. Curriculum Materials Grants provide for the development, testing, and dissemination of exemplary curriculum materials in the humanities. Projects must address a specific problem in humanities education, respond to a need expressed by educators in the humanities, and provide an impact on curricula in institutions across the country. General Projects Grants support model demonstration projects that focus on a subject of general interest to educational institutions. Through combining teaching materials, surveys, workshops, and model curricula, these projects may be unique in their design and pervasive in their impact upon education in general.

Eligibility Requirements

Two-year and four-year colleges and universities are eligible for the Institutional Grants Program and the Higher Education Projects Program.

Application Process

For the Institutional Grants Program, deadlines are as follows: (1) Consultant Grants—three per year; (2) Pilot Grants—one per year; and (3) Development Grants—two per year. For the Higher Education Projects Program, there are two deadlines per year for Humanities Institutes Grants, Curriculum Materials Grants, and General Projects Grants.

Applicants should make inquiries to the Division for these programs at least eight weeks in advance of deadlines in order to determine the eligibility of the proposed project and its overall prospects for support. A full draft should be submitted at least six weeks in advance of the deadline, so that program staff can assess the proposal and make suggestions about its content, format, and completeness.

PROGRAM CONTACTS

Geoffrey Marshall, Director
Division of Education Programs
Mail Stop 202
National Endowment for the Humanities
806 15th Street, N. W.
Washington, D. C. 20506
(202) 724-0351

Assistant Director, Institutional Grants Program:
H. Gene Moss (202) 724-0393
Program Officer, National Board of Consultants:
Janice Litwin (202) 724-0311

Program Officer, Pilot Grants:
James Jones (202) 724-0393
Program Officer, Development Grants:
Sherrolyn Maxwell (202) 724-0393
Assistant Director, Higher Education Projects Grants Program
Vacant (202) 724-0373
Program Officer, Humanities Institutes Grant:
Cynthia Frey (202) 724-0311

NATIONAL ENDOWMENT FOR THE HUMANITIES: DIVISION OF PUBLIC PROGRAMS

The goal of the Division of Public Programs is to foster wider public understanding and use of the humanities. Proposed projects must meet three criteria: (1) they must draw substantially upon resources from the humanities; (2) they must be directed primarily at the adult, non-student populations; and (3) they must fall within one of the support categories defined by the Division. The media program, one of these support categories, appears particularly relevant to the interests of communication scholars.

Media Program: Projects utilizing radio, television, and film are supported which advance public understanding and use of the humanities. In the case of television, these projects must be suitable for national or regional broadcast; in the case of radio, these projects must be suitable for national, regional, or local broadcast. Awards for this program are made in several ways—(1) Development Grants, to assist in the research and writing of scripts; (2) Pilot Grants, to assist in the production of a pilot program within a projected series; (3) Production Grants, to assist in the full production of a program or series; and (4) Planning Grants, to support efforts in bringing together humanities scholars and production personnel to develop project ideas tied to topics or themes in the humanities. Priority is given to projects which not only focus upon the subject matter of humanities disciplines, but also upon the methodologies connected with these disciplines. The Endowment especially encourages projects which treat humanities disciplines which are less accessible to the public.

Eligibility Requirements

Applications are accepted to the Media Program from nonprofit organizations, institutions, or groups capable of involving competent humanities scholars and fully experienced production personnel.

Application Process

The Media Program has three deadlines per year. Applicants are advised to submit a brief description of their proposed project several weeks in advance of the deadline, so that their eligibility and competitiveness within the stated program criteria can be assessed.

PROGRAM CONTACT

Ramón Eduardo Ruiz, Director
Division of Public Programs
Mail Stop 400
National Endowment for the Humanities
806 15th Street, N. W.
Washington, D. C. 20506
(202) 724-0231

Assistant Director, Media Program
Steve Rabin (202) 724-0318

NATIONAL ENDOWMENT FOR THE HUMANITIES: DIVISION OF RESEARCH GRANTS

The Division of Research Grants provides support for group research projects, research collections, translations, preparation of significant research tools, editing of texts, and subvention of the publication cost of these texts. The Division is divided into five programs, three of which seem relevant to the interests of communication scholars: General Research Program, Research Materials Program, and Research Conferences Program.

General Research Program: Projects are supported in all fields of the humanities which meet a pressing scholarly need, explore conceptual foundations of disciplines within the humanities, or examine methodological approaches associated with them.

Research Materials Program: This program is divided into three areas of support—Research Tools, Editing, and Translations. The first support area is concerned with the preparation of important reference works in the humanities and the comprehensive dissemination of knowledge. The second support area focuses upon the editing of significant works, whether this be a single volume, collected writings, unpublished materials of a major author in the humanities, or documents from a variety of sources organized according to a thematic perspective. The third support area involves the translation of important scholarly works from any discipline in the humanities.

Research Conferences Program: Conferences, symposia, and workshops are supported through this program which are designed to communicate and assess current research directions and needs or involve the planning of improved conditions for research in the humanities.

Eligibility Requirements

Individuals with institutional affiliation must apply through their institutions; individuals without institutional affiliation may also apply for support from the Division.

Application Process

For the General Research Program and the Research Materials Program, there are two deadlines per year. For the Research Conferences Program, applications are accepted at any time.

Since the competition for grants from this Division is very strong, it is important that applicants make initial inquiries at least eight weeks in advance of project deadlines. Division staff direct proposals to other programs, when appropriate, or offer advice for strengthening applications. Specific guidelines for preparing formal proposals and application forms are available for each of the Division programs. Support may be requested for a maximum of three years.

PROGRAM CONTACTS

Harold Cannon, Director
Division of Research Grants
Mail Stop 350
National Endowment for the Humanities
806 15th Street, N. W.
Washington, D. C. 20506
(202) 724-0226

Assistant Director, General Research:
Philip Marcus (202) 724-0276

Assistant Director, Research Tools:
George Farr (202) 724-1672

NATIONAL SCIENCE FOUNDATION: DIRECTORATE FOR APPLIED SCIENCE AND RESEARCH APPLICATIONS—DIVISION OF APPLIED RESEARCH—APPLIED SOCIAL AND BEHAVIORAL SCIENCES SECTION

The Division of Applied Research provides support for projects which focus upon an improved scientific understanding of technical, social, economic, and policy problems, as well as ones which increase the rate of technological innovation growing out of significant discoveries in science and engineering. Two research areas within the Applied Social and Behavioral Sciences Section of the Division are particularly appropriate to the concerns of communication specialists.

Individual and Group Processes: Projects are supported which identify and stimulate the development of new technologies emanating from discoveries in the behavioral sciences. These activities often involve multidisciplinary research teams engaging in applications ranging from new technologies for sensory deprived individuals to new communication devices for industrial implementation.

Industrial Organizations and Markets: Projects are supported which provide an improved understanding of public and private processes, organizational structures, and policies, specifically in terms of—(1) industrial organization and performance; and (2) the effective utilization of human, capital, and natural resources within the American economic system.

Eligibility Requirements

Unsolicited research proposals may be submitted to the program by colleges, universities, industry (including small businesses), public or private laboratories, or other organizations, whether operating on a profit or non-profit basis, as well as by state, regional, or local units of government. Individuals not affiliated with an organization contemplating a request for support should note this in a preliminary proposal to program officials.

Use of Requested Funds

Grant funds may be utilized for salaries and wages, fringe benefits, equipment, supplies, travel, publication expenses, computer costs, consultants, other direct costs and subcontracts, and indirect costs.

Financial Data

FY 1979 Budget Request: $19,400,000
FY 1978 Budget Allocation: $18,628,400

Application Process

Deadlines: Unsolicited proposals may be submitted to the program at any time; projects should first, however, be submitted in preliminary form for discussion. A minimum of eight weeks should be allowed for review of a preliminary proposal and at least seven months for review and evaluation of a formal proposal. From time to time, program announcements, program solicitations, and requests for proposals are issued in targeted areas.

Form of Proposal: Prior to submitting a formal proposal, applicants should prepare a preliminary proposal for program personnel in order for relevance and scientific merit to be established. Once this is determined, the document can serve as a basis for discussion for the development of the full proposal. For the preliminary proposal, the investigator should describe how the proposed project relates to a particular program element and delineate the research objectives and methods. The formal proposal should contain each of the following items, as they are appropriate to the project—cover page, abstract, table of contents, research objectives, research plan, utilization plan, organization and management plan, related programs and

activities of the organization, related programs in other organizations, educational or training component, vitae and bibliographies, current support and applications to other potential sponsors, reason for government support, pre-existing patents and inventions relating to the proposed work, and a budget statement.

Cost Sharing Requirements: An unsolicited research proposal must contain a cost sharing component. This requirement may be met by a contribution to any cost element associated with the project, direct or indirect. Cost sharing does not apply to travel, conferences or symposia, logistic support, publication, or equipment. Generally speaking, cost sharing for this program is expected to be more than one percent of the total project costs.

Evaluation Criteria: Proposals are evaluated on the basis of scientific merit and the potential for significant long-term benefits.

Mailing Information: Seven copies of a preliminary proposal should be submitted to—
Programs and Resources
Applied Science and Research Applications
National Science Foundation
Washington, D.C. 20550

Twenty copies of the formal proposal should be submitted to—
Central Processing Section
National Science Foundation
Washington, D.C. 20550
Attention: Applied Science and Research Applications

Literature and Guidelines: Guidelines for Preparation of Unsolicited Proposals, RANN, NSF 77-34

PROGRAM CONTACTS

(1800 G Street, N.W., Washington, D.C. 20550)
(202-634-6260)

Division Director: L. Vaughn Blankenship

Section Head for Applied Social and Behavioral Sciences: Vacant

NATIONAL SCIENCE FOUNDATION: DIRECTORATE FOR APPLIED SCIENCE AND RESEARCH APPLICATIONS—DIVISION OF APPLIED RESEARCH—APPLIED SCIENCE AND BEHAVIORAL SCIENCES SECTION—TELECOMMUNICATIONS RESEARCH PROGRAM

The focus of the Telecommunications Research Program of the Division of Applied Research is the support of projects designed to improve the scientific understanding of social, economic, and policy problems relating to telecommunications or which may increase the rate of application of technological innovations emerging from discoveries in the fields of science and engineering. Proposals are encouraged which address all aspects of these applications, the more general social and economic impacts of telecommunications, as well as public policy and regulatory issues associated with telecommunications and related industries and technologies. Projects involving the improvement of scientific research methods or conferences to improve research are acceptable.

Eligibility Requirements

Colleges, universities, organizations, and individuals are eligible to apply to this program.

Application Process

Proposals may be submitted at any time. Applicants should allow six to nine months for the review and processing of a proposal. For those applications received between May 31 and August 31, the earliest possible starting date would be March 1. For those received between September 1 and January 20, it would be August 1, and for those received between January 21 and April 1, it would be October 1.

PROGRAM CONTACT

Charles Brownstein, Program Manager
Telecommunications Policy and Regulation Research
Applied Social and Behavioral Sciences Section
Division of Applied Research
Directorate of Applied Science and Research Applications
National Science Foundation
1800 G Street, N.W.
Washington, D.C. 20550
(202) 634-6264

NATIONAL SCIENCE FOUNDATION: DIRECTORATE FOR BIOLOGICAL, BEHAVIORAL, AND SOCIAL SCIENCES—CONFERENCES, SYMPOSIA, AND RESEARCH WORKSHOPS

The Directorate for Biological, Behavioral, and Social Sciences provides support for conferences, symposia, and research workshops in order to bring leading scientists or social scientists together to discuss recent research findings or to expose other researchers and/or advanced graduate students to new research technologies. The Foundation encourages the convening of international scientific conferences in the United States. Conferences will be supported by these funds only if equivalent results cannot be obtained through regular meetings of professional associations.

Eligibility Requirements

Primarily educational institutions or professional societies, although applications may originate from other groups.

Use of Requested Funds

Grant funds may be employed for participant support in connection with transportation, per diem expenses and stipends (when appropriate), other conference-related costs (including publication expenses), and indirect costs (subject to negotiation).

Financial Data

Range of Awards: $3,000–$25,000
Average Award: $9,000

Application Process

Deadlines: An applicant should allow at least one year in advance of the scheduled date for the activity for its review and evaluation.

Form of Proposal: The Foundation does not utilize specific application forms, but the following items should be included in an application to this program— (1) a project summary of no more than 200 words delineating the objectives of the program; (2) a complete statement of need for the program and a listing of topics to be covered; (3) an outline of recent meetings

focusing upon the same subject matter, with dates and places provided; (4) the names of the program chairperson and organizing committee members; (5) a listing of probable participants, a proposed location and date(s), and the method of invitation or announcement; (6) an estimated budget, with an itemized statement of funds requested from the Foundation, as well as funds available from other sources.

Cost Sharing Requirements: For each unsolicited research proposal, cost sharing is required. Concomitant support from several federal agencies or private organizations is allowable.

Evaluation Criteria: None specified.

Mailing Information: Twenty copies of the proposal should be submitted to—

Central Processing Section
National Science Foundation
Washington, D.C. 20550
(Attention: Appropriate Division)

Literature and Guidelines: Grants for Scientific Research,
NSF 78-41A

PROGRAM CONTACTS

(1800 G Street, N.W., Washington, D.C. 20550)
Division of Behavioral and Neural Sciences:
Richard T. Louttit, Division Director
Room 320, (202) 634-4230

Division of Social Sciences:
Herbert L. Costner, Division Director
Room 316, (202) 632-4286

NATIONAL SCIENCE FOUNDATION: DIRECTORATE FOR BIOLOGICAL, BEHAVIORAL, AND SOCIAL SCIENCES—DIVISION OF BEHAVIORAL AND NEURAL SCIENCES

The Division of Behavioral and Neural Sciences provides grant support for basic research projects emanating from a number of areas of study, five of which are highly appropriate to the concerns of communication research.

Anthropology Program: Projects are supported which deal with cultural and social anthropology, archaeology, and physical anthropology. The program is concerned with all topic areas, geographical locations, methodological approaches, and anthropological subfields.

Linguistics Program: Projects are supported which focus upon the syntactic, semantic, phonological, and phonetic properties of individual languages, as well as language in general; acquisition of language by children; social factors of language and dialect variations; properties of language models.

Memory and Cognitive Processes Program: Projects are supported which involve the generation and utilization of effective methodologies in the study of memory, thinking, reading, and problem-solving.

Sensory Physiology and Perception Program: Projects are supported which examine sensory-perceptual systems, including various energy transduction mechanisms, neural coding and transmission, information extraction, and perceptual behaviors.

Social and Developmental Psychology Program: Projects are supported which focus upon individual behavior as it is affected by the actual or implied presence of others, as well as personality or social behavior changes which occur throughout a life span, examined within either a laboratory or field setting.

Eligibility Requirements

Colleges and universities, nonprofit, nonacademic research organizations; private profit organizations.

Use of Requested Funds

Grant funds may be used for the following: salaries and wages, research assistantships, fringe benefits, materials and supplies, equipment, travel, consultant services, computer services, publication costs/page charges, other direct costs and subcontracts, and indirect costs.

Financial Data

Division of Behavioral and Neural Sciences
FY 1979 Budget Request: $33,100,000
FY 1978 Budget Allocation: $28,300,000

Application Process

Deadlines: There are three deadlines per year for these programs.

Form of Proposal: The Foundation does not utilize specific application forms, but the formal proposal should be assembled with the following items—cover page, table of contents, project summary (limited to 200 words), project description (limited to 15 single-spaced pages), bibliography of pertinent literature, biographical sketches, budget (for each year of support requested and cumulatively), current and pending support statement, and appendices.

Cost Sharing Requirements: For each unsolicited research project, cost sharing is required. This may be achieved through contribution to any cost element associated with the project, whether it be direct or indirect monies.

Evaluation Criteria: (1) research performance competency potential; (2) significance of research; (3) utility or relevance of research; (4) long-term scientific potential.

Mailing Information: Twenty copies of the proposal should be submitted to—
Central Processing Section
National Science Foundation
Washington, D. C. 20550
For Consideration by _____ Program

Literature Guidelines: Grants for Scientific Research,
NSF 78-41A

PROGRAM CONTACTS

(1800 G Street, N. W., Washington, D. C. 20550)
Richard T. Louttit, Division Director
Paul G. Chapin, Deputy Division Director
Room 320, (202) 634-4230

Anthropology Program:
John E. Yellen, Program Director
Anne Fleuret, Assistant Program Director
Room 320, (202) 632-4208

Linguistic Program:
Young-Key Kim-Renaud, Assistant Program Director
Room 320, (202) 254-6326

Memory and Cognitive Processes Program:
Joseph L. Young, Program Director
Room 320, (202) 634-1583

Sensory Physiology and Perception Program:
Terrance R. Dolan, Program Director
Thomas V. Getchell, Assistant Program Director
Room 320, (202) 634-1624

Social and Developmental Psychology Program:
Kelly Shaver, Program Director
Ruth E. Siegel, Professional Assistant
Room 320, (202) 632-5714

NATIONAL SCIENCE FOUNDATION: DIRECTORATE FOR BIOLOGICAL, BEHAVIORAL, AND SOCIAL SCIENCES—DIVISION OF SOCIAL SCIENCES

The Division of Social Sciences provides grant support for basic research generated from a number of social science perspectives, two of which are relevant to the concerns of communication research.

Political Science, Law and Social Science Programs: Projects are supported which focus upon the impact of broad societal changes on patterns of political participation; models of political decision making; sources and implications of political disaffection; bureaucratic policy-making processes; capacity and limitations of the law in affecting individual and organizational behaviors; impact of governmental decisions made at one level upon the problems and functioning of other levels; causes and processes of change within legal systems. *NOTE*: Research on criminal law is supported by the Foundation under special circumstances, since the primary federal responsibility for such research lies with the National Institute of Law Enforcement and Criminal Justice.

Sociology Program: Projects are supported which deal with the growth of complex organizations, collective decision making, social movements, interaction networks, the changing differentiation of roles and statuses in human groups, and how these influence and are influenced by group performance, resource allocation, and the distribution of rewards; size, distribution, and composition of the population and how such demographic variables affect and are affected by social organization features; residential integration variables; impact of organizational networks upon community decisions; societal change models.

Eligibility Requirements

Colleges and universities; nonprofit, nonacademic research organizations; private profit organizations.

Use of Requested Funds

Grant funds may be used for the following: salaries and wages, research assistantships, fringe benefits, materials and supplies, equipment, travel, consultant services, computer services, publication costs/page charges, other direct costs and subcontracts and indirect costs.

Financial Data

Division of Social Sciences
FY 1979 Budget Request: $29,400,000
FY 1978 Budget Allocation: $24,000,000

Application Process

Deadlines: For the Political Science and Sociology programs, there are three deadlines per year; for the Law and Social Science Program, there are two deadlines per year.

Form of Proposal: The Foundation does not utilize specific application forms, but the formal proposal should be assembled with the following items—cover page, table of contents, project summary (limited to 200 words), project description (limited to 15 single-spaced pages), bibliography of pertinent literature, biographical sketches, budget (for each year of support requested and cumulatively), current and pending support statement, and appendices.

Cost Sharing Requirements: For each unsolicited research project, cost sharing is required. This may be achieved through contribution to any cost element associated with the project, whether it be direct or indirect monies.

Evaluation Criteria: (1) research performance competency potential; (2) significance of research; (3) utility or relevance of research; (4) long-term scientific potential.

Mailing Information: Twenty copies of the proposal should be submitted to—
Central Processing Section
National Science Foundation
Washington, D.C. 20550
For Consideration by _____ Program

Literature and Guidelines: Grants for Scientific Research,
NSF 78-41A

PROGRAM CONTACTS

(1800 G Street, N.W., Washington, D.C. 20550)
Herbert L. Costner, Division Director
Room 316, (202) 632-4286

Law and Social Science Program:
Stephen Wasby, Program Director
Room 312, (202) 632-5816

Political Science Program:
Gerald C. Wright, Jr., Program Director
Room 316, (202) 632-4348

Sociology Program:
Roland J. Liebert, Program Director
Gary W. Wallace, Assistant Program Director
Room 312, (202) 632-4204

NATIONAL SCIENCE FOUNDATION: DIRECTORATE FOR SCIENCE EDUCATION—DIVISION OF SCIENCE EDUCATION RESOURCES IMPROVEMENT—INSTRUCTIONAL SCIENTIFIC EQUIPMENT PROGRAM (ISEP)

The objectives of ISEP center around improving instruction and not to supporting projects on the basis of increased enrollments, financial need, or in the replacement of worn or obsolete equipment. The purpose of the program is to facilitate the implementation of a new or improved undergraduate curriculum by providing partial support for the acquisition of necessary instructional equipment. It is essential, therefore, that a proposal document indicate how the funds will enhance the efforts of the present program, the ways in which the proposed change is appropriate

to the student audience and to departmental priorities, and the necessity and suitability of the particular equipment to the proposed improvement. This program supports projects in the social sciences.

Eligibility Requirements

Colleges and universities offering instruction in the sciences (including social sciences) at the undergraduate level.

NOTE: No institution may receive more than one ISEP grant within the same discipline over a three-year period, nor may any discipline become involved in more than one interdisciplinary grant within a three-year period.

Use of Requested Funds

While the primary use of the funds for the program must be for the benefit of undergraduate instruction, an item acquired through these funds may serve additional purposes within the department; any ancillary function, however, neither forms nor augments the justification necessary in securing these funds. Proposals are limited to requests for items falling in the following categories: (1) scientific equipment (unit cost of $300 or more and a life expectancy of one year or more); (2) teaching aids (for example, films, models, charts); (3) reference materials (journals, secondary reference works, microfilm, not exceeding 10% of the total project cost); (4) construction of equipment; and (5) computing equipment (digital, analog, analog-digital hybrid computers, mini- or micro-computers, or ancillary equipment which provides access to digital computing equipment; unit cost of $300 or more; rental or lease-purchase allowable in some cases).

Financial Data

An applicant may not request over $20,000 in a single proposal. Approximately one out of every five submissions is awarded, with an average award of $10,180.

Application Process

Deadlines: ISEP has one deadline per year.

Form of Proposal: An institution is restricted to a specified number of ISEP submissions it may make within any single competition based upon its enrollment (FTE at the undergraduate level); an investigator is advised to check with the institutional coordinator of research in this regard. The formal proposal should contain the following items in the prescribed order—cover sheet, detailed budget (equipment list), project summary (statement of objectives, project operations, and anticipated outcomes, all detailed on the form provided in the program brochure), and narrative (limited to twelve double-spaced pages, including a statement of need, an outline of the improvement plan, a statement of present equipment, needed equipment, an equipment maintenance plan, an outline of faculty expertise and involvement in pending applications or operationalized grant projects, and the evaluation plan).

Cost Sharing Requirements: The applicant institution must match the funds provided by the Foundation on an equal or greater basis. These funds may be secured from federal or non-federal sources.

Evaluation Criteria: Proposals are judged by the degree to which a significant need is established, the project objectives are consistent with this need, the procedures are likely to accomplish the objectives, the proposed equipment is appropriate for anticipated use, the faculty can implement the proposed plan successfully and reasonable provision is made for assessing the effectiveness of the plan.

Mailing Information: Fifteen copies of the complete proposal, four extra copies of the cover sheet, four extra copies of the project summary, and one extra copy of the equipment budget should be submitted to—

Central Processing Section for
Instructional Scientific Equipment Program—ISEP
National Science Foundation
Washington, D.C. 20550

Literature and Guidelines: A specific bulletin for the program is available upon request from the Foundation.

PROGRAM CONTACT

John Adams, Program Manager
Instructional Scientific Equipment Program
Division of Science Education Resources Improvement
National Science Foundation
5225 Wisconsin Avenue, N.W.
Room W-454
Washington, D.C. 20550
(202) 282-7751

NATIONAL SCIENCE FOUNDATION: DIRECTORATE FOR SCIENCE EDUCATION—OFFICE OF SCIENCE AND SOCIETY—PUBLIC UNDERSTANDING OF SCIENCE PROGRAM (PUOS)

The objectives of the PUOS program are to improve the scope, quality, and quantity of communication between scientists and non-scientists and to facilitate and enhance public communication about science. To accomplish these objectives, proposals are sought offering high cost-effectiveness, communication components to a substantial and significant audience, importance that reaches beyond specialized or parochial interests, and innovative modes of communication. Three project categories are available through the program, all in varying degrees of relevance to the concerns of communication specialists and science professionals.

Improving the Public Understanding of Science: Projects are supported which deal directly with improving the understanding of the processes and activities of science and technology by the general public, through radio and television programs, newspaper and magazine reporting, dramatic and film presentations, exhibits, lectures, and workshops.

Improving Modes of Communication With the Public: Projects are supported which are designed to increase range, level, and quantity of communication between scientists and the general public. Among the topics which would be of interest would be the development of innovative mechanisms to communicate this information to the public; ways to improve the skills of professional science writers or the scientific background of newspaper, radio and television editors, reporters, and commentators, or means to improve the ability of existing channels of communication to interpret and disseminate scientific information to the general public.

Studies of the Communication of Scientific Information to the Public: Projects are supported which address the concerns in the two categories described above through applied research and analysis. Among the topics which would be of interest would be an analysis of the nature and interests of different public audiences or an evaluation of the comparative impact of alternative channels and techniques of public communication.

Eligibility Requirements

Colleges, universities, laboratories, state and local units of government, professional associations and societies, citizen groups, and other profit and nonprofit organizations.

Use of Requested Funds

Grant funds may be used for: Salaries and wages, staff benefits, consultants, travel, publication expenses, computer services, permanent and expendable equipment and supplies, and indirect costs.

Financial Data

Approximately $3,100,000 for FY 1979

Application Process

Deadlines: The PUOS program has three formal deadlines per year.

Form of Proposal: An applicant to this program is required first to submit a preliminary proposal containing a statement of need, a plan of activities, anticipated impact and results, evaluation and dissemination plans, a statement of personnel qualifications, and a tentative budget. The preliminary proposal should be submitted two to three months prior to a formal proposal deadline.

The formal proposal should consist of the following items—cover page, table of contents, abstract (no more than 350 words in length), narrative (no more than ten pages, including the project and program objectives, the project design, the monitoring and evaluation plan, the organization and management plan, and the dissemination plan), timetable, budget, and biographical sketches.

Cost Sharing Requirements: Applicants are encouraged to share some of the costs of the proposed project.

Evaluation Criteria: The evaluation of applications to this program centers around the following items—rationale, project design, potential impact, project cost-effectiveness, monitoring and evaluation plan, personnel and institutional resources, project budget, and project merit.

Mailing Information: Three copies of a preliminary proposal should be submitted to—
Public Understanding of Science
Office of Science and Society
National Science Foundation
Washington, D.C. 20550

Twenty copies of the formal proposal, with an original signed cover sheet, should be submitted to—
Central Processing Section
Public Understanding of Science
National Science Foundation
Washington, D.C. 20550

(NOTE: An additional copy of the formal proposal should be submitted to the Public Understanding of Science Office.)

Literature and Guidelines: A specific bulletin for the program is available upon request from the Foundation.

PROGRAM CONTACTS

George W. Tressel, Program Director
Public Understanding of Science Program
Office of Science and Society
5225 Wisconsin Avenue, N.W., Room W-670
Washington, D.C. 20550
(202) 282-7770

PROGRAM MANAGERS:
Jean Intermaggio, Room W-668
Don Agostino, Room W-682

NATIONAL SCIENCE FOUNDATION: DIRECTORATE FOR SCIENTIFIC, TECHNOLOGICAL, AND INTERNATIONAL AFFAIRS—DIVISION OF INFORMATION SCIENCE AND TECHNOLOGY—RESEARCH IN INFORMATION SCIENCE PROGRAM

The objectives of the Research in Information Science Program are to advance understanding of the properties and structure of information and to contribute to knowledge applicable to the design of information systems. Preference is given to projects which are fundamental and general and to applied research concerned with scientific and technical information rather than, for example, business information or mass communication. Four categories of research are supported: (1) standards and measures; (2) the structure of information; (3) behavioral aspects of information transfer; and (4) infometric models. Proposals are considered which address more than one of these categories or which address problems outside of their purview but are consistent with program objectives and priorities.

Standards and Measures: Support is given to projects which further the development of measures and standards for information science, including the definition of objective and quantitative measures; the relation of such measures to the structural characteristics of information and to the organization, transfer, interpretation, and utilization of information collections; and the definition and testing of standard experimental environments and test collections which allow for the control of variability and enhance the comparability of experimental outcomes.

The Structure of Information: Support is given to projects which focus upon the study of information as idealized organization or structure from the standpoint of natural science, including the structural characteristics of information collections and access systems; connections between form (syntax) and content (semantics) and the degree to which the former determines the latter; relationships between the structure of language and information; statistical theories of information, their limitations, and relationships to other scientific theories; and ways in which patterns of information in text, image, and numerical archives or files can be algorithmically identified and automatically recognized.

Behavioral Aspects of Information Transfer: Support is given to projects which examine the abilities and limitations of individuals as information processors, including cognitive processing and retrieval (selected aspects of learning, memory, problem solving, human information pattern recognition); the interface between human beings as information processors and inanimate information systems and sources; and information transfer involving more than one person interacting with an inanimate constituent of an information system.

Infometric Models: Support is given to projects which involve the development of simulation models leading to the prediction and tracing of the impact of technological, economic, and other changes which affect the information economy and which identify areas for further research, including the development and validation of input-output or dynamic simulation models of the existing information system and its principal constituents; and preparation of input data for operating models through collection from existing sources or special surveys and test of models to simulate the response of the information economy to projected or proposed changes in the conditions under which it exists.

Eligibility Requirements

Educational institutions; non-profit and profit making organizations; federal, state, or local units of government; or a combination of the above.

Use of Requested Funds

Grant funds may be used for: salaries and wages, travel, computer services, publication expenses, dissemination, consultants, equipment, and established indirect costs.

Financial Data

FY 1978 Budget Allocation: $4,900,000

Application Process

Deadlines: Proposals should be received no later than February of the fiscal year in which the project is to be conducted. Normally, the review of applications takes six to eight months.

Form of Proposal: Applications to this program should include the following components—cover page, table of contents, abstract (not to exceed 200 words), research plan, institutional resources and related programs, evaluation design, dissemination plan, budget, biographical information, current and pending support, and project management plan.

Cost Sharing Requirements: For each proposal, cost sharing is required. This requirement may be met by a contribution to any cost element associated with the project, direct or indirect.

Evaluation Criteria: Proposals are reviewed on the basis of the following factors—(1) professional competence of personnel; (2) adequacy of institutional capabilities; (3) suitability of research methods; and (4) potential of project to lead to important discoveries or significant generalizations.

Mailing Information: A signed original and twenty copies of the application should be submitted to—
Central Processing Section
National Science Foundation
Washington, D.C. 20550
Attention: Division of Information
Science and Technology

Literature and Guidelines: Program Announcement: Research in Information Science, NSF 78-82

NSF Grant Policy Manual, NSF 77-47

Grants for Scientific Research, NSF 78-41

PROGRAM CONTACT

Howard L. Resnikoff, Program Director
Research in Information Science Program
Division of Information Science and Technology
Directorate for Scientific, Technological, and
International Affairs
National Science Foundation
Room 250
1800 G Street, N.W.
Washington, D.C. 20550
(202) 632-5824

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NATIONAL SCIENCE FOUNDATION: DIRECTORATE FOR SCIENTIFIC, TECHNOLOGICAL, AND INTERNATIONAL AFFAIRS—DIVISION OF INTERNATIONAL PROGRAMS—INTERNATIONAL TRAVEL PROGRAM

The objectives of the International Travel Grant Program are to assist scholars who wish to participate in international scientific meetings held abroad. Travel to Canada or any American Trust Territory or possession (including Puerto Rico) is excluded from this program. Two types of awards are available through this program: group travel awards and individual travel awards.

Eligibility Requirements

For group awards, professional societies and non-profit organizations, including universities, are eligible. For individual awards, applicants must have been: (1) invited to lecture at a plenary session of an international scientific meeting; (2) invited to organize a special session at an international scientific meeting; or (3) invited to participate in a NATO Advanced Study Institute (ASI) and recommended for travel support by the director. Individual awards are not provided to prospective participants if the support has been provided in the form of group awards to the institution to which they are affiliated.

Application Process

Group travel proposals should include the following items: (1) cover sheet countersigned by a fiscally responsible officer of the institution or organization; (2) narrative—description of the meeting (as well as a copy of the preliminary program, if available), information about other related meetings, justification for support, plan for informing scientific community of the availability of the group travel opportunity, mechanism for selecting participants, confirmation of appropriate compliances; and (3) budget—proposed number of scientists to be involved, level of support, total amount of request from the Foundation.

Individual travel proposals should be prepared on NSF Form 192 which can be obtained upon request from the Foundation. Among the items the applicant will be requested to provide will be: name, dates, and location of meeting to be attended, copy of invitation to give a plenary lecture or organize a session (or letter of nomination by NATO ASI director), a curriculum vitae, and descriptive data on the meeting (or NATO ASI) including a copy of the preliminary program, if available.

Proposals for group and individual awards received by the Foundation on or before the beginning of a quarter (January 1, April 1, July 1, or October 1) are processed together. Individual travel applications should be submitted at least one quarter in advance of the quarter in which the proposed travel is to take place. Group travel applications should be submitted at least three quarters in advance of the quarter in which the proposed travel is to take place.

Five copies of group travel proposals should be submitted to—

Central Processing Section
National Science Foundation
Washington, D.C. 20550

Upon the receipt of an award, all travel arrangements are made by the individual traveler or the group travel grantee. A traveler wishing to take an indirect route may do so, provided that he absorbs all additional costs. Normally grantees are expected to utilize only American flag carriers.

PROGRAM CONTACT

Mildred M. Bosilevac, Assistant Program Manager
Room 1214
International Travel Grant Program
Division of International Programs
National Science Foundation
1800 G Street, N.W.
Washington, D.C. 20550
(202) 632-5741

NATIONAL SCIENCE FOUNDATION: DIRECTORATE FOR SCIENTIFIC, TECHNOLOGICAL, AND INTERNATIONAL AFFAIRS—DIVISION OF POLICY RESEARCH AND ANALYSIS

The objective of the Division of Policy Research and Analysis emanate from the science and technology interests and needs of the National Science Foundation, the Executive Office of the President, and the Congress. Among these objectives are—developing an improved knowledge base for policy analysis, compiling available data relating to specific policy issues, assisting in assessing policy decisions, and enhancing policy research and analysis capability in the scientific community. One of the four research areas, innovation processes and their management, targeted by the Division for support is particularly appropriate to the concerns of communication specialists.

Innovation Processes and Their Management: Projects are supported which identify leverage points in technological innovation processes. Among the topics which might be supported would be—(1) decision making in the private sector leading to innovation; (2) decision making in state and local government leading to innovation; (3) institutional linkages and roles in innovation processes; (4) comparative studies of the effect of policies upon innovation processes across industrial countries; (5) role of small firms and entrepreneurs in technological innovation; and (6) process of transferring technology to developing countries.

Eligibility Requirements

Educational institutions; federal, state, regional, or local units of government; nonacademic, nonprofit or profit organizations; or a combination of the above.

Use of Requested Funds

Grant funds may be used for: salaries and wages, fringe benefits, travel, publication expenses, computer services, space rental, information service charges, consultant services, and indirect costs. The program does not support the purchase of any permanent (capital) equipment.

Financial Data

FY 1978 Budget Allocation: $4,500,000

Application Process

Deadlines: The program has quarterly deadlines. An applicant should allow four to six months for review of a proposal.

Form of Proposal: As a first stage in the proposal process, the investigator should submit a letter of interest describing the policy issues and research questions, or hypotheses the project is designed to address, the methodology to be employed, the contribution the project could make, and the intended participants. For an empirical study, a brief discussion of the data needs and available resources should also be included.

After the letter of interest is reviewed by program staff, the investigator may submit a preliminary proposal. This document should include all of the components of a final proposal; it does not, however, require the signature of the chief institutional official, as the final proposal does.

When the preliminary proposal has been approved, the investigator should prepare the formal proposal (no more than thirty-five double spaced pages) with the following components—cover sheet, abstract (no more than one page), identification and significance of the problem area, objective of the study, research and analysis plan, related studies, final project report, dissemination plan, bibliographic information, curricula vitae for project personnel, statement of institutional qualifications, project management plan, current support and pending applications, and a budget statement.

Cost Sharing Requirements: For each unsolicited research proposal, cost sharing is required. This requirement may be met by a contribution to any cost element associated with the project, direct or indirect. Cost sharing does not apply to conferences or symposia, publication expenses, travel, or logistic support.

Evaluation Criteria: Applications submitted to the Division are reviewed on the basis of the following factors—(1) significance of research; (2) quality and appropriateness of proposed research design; (3) quality and appropriateness of analysis plan; (4) ability of project staff to conduct project with objectivity and balance; (5) adequacy of project management plan; (6) qualifications of project staff; and (7) capabilities of proposing institution.

Mailing Information: One copy of the letter of interest and five copies of the preliminary proposal should be submitted to—
Division of Policy Research and Analysis
National Science Foundation
Washington, D.C. 20550

Twenty copies of the formal proposal should be submitted to—
Central Processing Section
National Science Foundation
Washington, D.C. 20550
ATTENTION: Division of Policy
Research and Analysis

Literature and Guidelines: NSF Grant Policy Manual, NSF 77-47
Program Announcement for Entramural Research: Division of Policy Research and Analysis, NSF 77-84

PROGRAM CONTACTS

(1800 G Street, N.W., 12th Floor, Washington, D.C. 20550)

Division Director: Alden S. Bean
Room 1233, (202) 632-5990

Innovation Processes and Their Management Working Group:
J. David Roessner, Acting Groupleader
Room 1229, (202) 632-7804

POLICY ANALYSTS:

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NAVY: OFFICE OF NAVAL RESEARCH—PSYCHOLOGICAL SCIENCES DIVISION

The Office of Naval Research, established in 1946, coordinates a program of scientific research necessary to the planning, development, and support of the Navy and Marine Corps. The Psychological Sciences Division operates mainly through contracts with universities. Through a mixture of basic and applied research programs, the Division attempts to provide channels for scientific information exchange between the civilian and military communities, keeping both abreast of recent developments in the other. Contracts are normally awarded in response to unsolicited proposals. Each application is evaluated on the basis of its relevance to the Navy, the competence of the researcher involved, the scientific merit of the project, and the facilities available for its execution.

Personnel and Training Research Programs: Among the concerns of these programs are theory-based personnel assessment (including the discovery of improved personnel decision-making technology), individual differences in information processing capabilities, psychological foundations of computer-based instruction, cognitive processes as they relate to task performance, and job demands, specifically in terms of job satisfaction, motivation, and organizational climate.

Manpower R&D Programs: Among the concerns of these programs are factors relating to personnel recruiting, attrition, and manpower management.

Organizational Effectiveness Research Programs: Among the concerns of these programs are leadership and management styles, organizational adaptation (including correlational analysis of individual, group, and organizational variables related to productivity and morale, as well as efforts to enhance work performance through experimental variation of organizational conditions), intergroup relations, and personnel turnover.

Engineering Psychology Programs: Among the concerns of these programs are information processing and individual decision making, specifically in terms of how people assimilate information, assess various situations, and choose courses of action under uncertainty and risk.

PROGRAM CONTACT

Office of Naval Research
Department of the Navy
Arlington, Virginia 22217
(703) 696-4425

CHAPTER FIVE

PRIVATE FOUNDATION GRANT PROGRAMS

American Broadcasting Company Network
American Council of Learned Societies: Grants-in-Aid Programs
American Film Institute
American Otological Society Research Fund
American Philosophical Society
ARCA Foundation
Atran Foundation
Axe-Houghton Foundation
Benton Foundation
The Center for Field Research
The Deafness Research Foundation
Exxon Educational Foundation
Film Fund
Ford Foundation: Communications Program
Foundation for Public Relations Research and Education
General Semantics Foundation
Linguistic Society of America: Linguistic Institute Fellowship Program
Markle Foundation
National Association of Broadcasters
National Easter Seal Society: Alpha Chi Omega Supplemental Aid Scholarships Program
Phillip Morris: Marketing/Communications Competition for College Students
The S & H Foundation: Lectureship Program
Speech Foundation of America
Spencer Foundation: Educational Research Grants Program
Tinker Foundation
Twentieth Century Fund
Wenner-Gren Foundation for Anthropological Research

AMERICAN BROADCASTING COMPANY NETWORK

The American Broadcasting Company Network supports research projects that will offer new insights into the social and psychological effects of television programming content. The Network is interested in studies which investigate the attitudes, emotions, learning, behavior, and perception of the television viewer, especially children.

Eligibility Requirements

Individuals; colleges and universities; private research organizations.

Application Process

Prospective applicants are advised to submit an initial letter of inquiry outlining the scope of the proposed activity.

PROGRAM CONTACT

Mel Goldberg, Vice President
Primary and Social Research
ABC Television Network
1330 Avenue of the Americas
New York, New York 10019
(212) 581-7777, Extension 8963

AMERICAN COUNCIL OF LEARNED SOCIETIES: GRANTS-IN-AID PROGRAMS

The American Council of Learned Societies offers two grants-in-aid programs which are relevant to the needs and interests of communication scholars: (1) Grants-in-Aid for Recent Recipients of the Ph.D.; and (2) Grants-in-Aid. The first program is intended for scholars engaged in the preparation of dissertation research for publication, or, in special cases, other work leading to an initial substantial publication. The second program is designed to support research in progress in the humanities or projects in the social and natural sciences with a predominantly humanistic emphasis.

Eligibility Requirements

Applicants for both programs must be American citizens or permanent residents and must possess the Ph.D. degree or its equivalent.

Financial Data

Grants-in-Aid for Recent Recipients of the Ph.D. Program—
Amount of Support per Award: $2,000 Maximum
Number of Applicants in Most Recent Year: 250
Number of Awards: 65
Use of Requested Funds: Support of research and travel expenses away from home, one-month maximum subsistence, support for essential materials or services.

Grants-in-Aid Program—
Amount of Support per Award: $3,000 Maximum
Number of Applicants in Most Recent Year: 500
Number of Awards: 105 for the year 1977
Use of Requested Funds: Research expenses such as travel and maintenance away from home necessary in gaining access to materials, research, or clerical assistance, and reproduction or purchase of materials, and, in rare instances, support for living expenses at home to relieve the recipient from teaching beyond the conventional academic year.

Application Process

Official application forms for both programs are available upon request from the ACLS office. When requesting these forms, prospective applicants should indicate their age, citizenship or permanent residence, highest academic degree held (and date of awarding of degree in the case of the program for recent degree recipients), professional position, area of specialization, proposed subject of study, period of tenure desired, and specific program under which the application is contemplated. For both programs, awards should be expended within one year after acceptance. Application materials are received for both programs for one deadline per year.

PROGRAM CONTACT

Office of Fellowships and Grants
American Council of Learned Societies
345 East 46th Street
New York, New York 10017
(212) 986-7393

AMERICAN FILM INSTITUTE

The American Film Institute offers three programs of interest to individuals involved in advancing the art of film and television: (1) the Academy Internship Program; (2) Directing Workshop for Women; and (3) Independent Filmmaker Program. The internship program provides an opportunity for a limited number of potential new directors to learn by observing established professionals at work during the making of a television or feature film. The workshop for women is aimed at assisting them develop their skills in feature and television directing. The filmmaker program is designed to provide support in the development of specific film projects.

Eligibility Requirements

Academy Internship Program—A candidate must be an American citizen or permanent resident, of at least 21 years of age, and have directed several short films.

Directing Workshop for Women—Applicants must be professional women with considerable experience in television and feature films, but no experience in directing.

Independent Filmmaker Program—Any American citizen or permanent resident may apply.

Financial Data

Academy Internship Program—
Amount of Support per Award: $2,500 maximum
Total Amount of Support: $15,000
Number of Applicants in Most Recent Year: 60
Number of Awards: Approximately five for the year 1977–78

Directing Workshop for Women—
Amount of Support per Award: two cash budgets of $1,000 each
Number of Applicants in Most Recent Year: 142
Number of Awards: 14 for the year 1977–78

Independent Filmmaker Program—
Range of Awards: $500–$10,000
Amount of Support per Award: $7,400 (average)
Total Amount of Support: $3,000,000 for the year ending June 1976
Number of Applicants in Most Recent Year: 1,047
Number of Awards: Approximately 40 for the year ending June 1976

Application Process

Academy Internship Program—Applicants are required to complete a four-page form and submit with it a film which they have directed. Those grants are provided throughout the production of the film to the maximum of the stipend. Applications are accepted at any time. A stipend of $100 per week in town and $150 per week on location is provided throughout the duration of the internship.

Directing Workshop for Women—Applicants are required to submit a resume or brief biography of professional experience. These grants, the applications for which are accepted at any time, are for one year and are nonrenewable.

Independent Filmmaker Program—Application instructions and forms are available upon request from the Institute. This program has a single deadline annually.

PROGRAM CONTACT

Jan Haag (head of all three programs)
American Film Institute
501 Doheny Road
Beverly Hills, California 90210
(213) 278-8777

AMERICAN OTOLOGICAL SOCIETY RESEARCH FUND

The mission of the American Otological Society Research Fund is to support otosclerosis investigations.

Eligibility Requirements

American and Canadian citizens who have the appropriate research capabilities are eligible to apply to the Fund.

Financial Data

Average Award: $8,000–$12,000
Number of Applicants in Most Recent Year: 8

Application Process

Research grants extend over a one-year period and are renewable. The annual deadline for the program is February 1.

PROGRAM CONTACT

David Hilding
American Otological Society Research Fund
1855 West Taylor
Chicago, Illinois 60612

AMERICAN PHILOSOPHICAL SOCIETY

The goal of the American Philosophical Society is to promote and support scientific and scholarly research in all fields of knowledge.

Eligibility Requirements

Qualified individuals possessing the doctoral degree or an experiential equivalent are eligible to apply.

Financial Data

Range of Awards: $300–$2,000
Average Award: $1,000
Total Amount of Support: $300,000 for the year 1977
Number of Applicants in Most Recent Year: 1,100
Number of Awards: 250 for the year 1976

Application Process

Official application materials are available upon request from the society. An applicant is advised to submit a brief letter of inquiry prior to completing the formal proposal. There is no fixed duration for these awards, although no more than two renewals are possible. Applications should be received at least eight weeks in advance of the committee meetings which are held on the first Fridays of October, December, February, April, and June.

PROGRAM CONTACT

Executive Officer
American Philosophical Society
104 South Fifth Street
Philadelphia, Pennsylvania 19106
(215) 627-0706

ARCA FOUNDATION

The ARCA Foundation provides grants to organizations for projects concerned with population planning control, and stabilization, as well as food and nutrition. Programs with a communication or educational emphasis within the above-mentioned interest areas are particularly sought. The Foundation prefers projects which are community based and meet local needs.

Eligibility Requirements

No grants are made to individuals.

Financial Data

Range of Awards: $1,000–$47,500
Amount of Total Support: $300,000 for year ending December 1974 (22 grants).

Application Process

Prospective applicants are advised to make the initial approach to the Foundation by letter. One copy of the proposal should be submitted in July–October, or January–May; the board convenes semi-annually. A program policy statement and application guidelines are available upon request from the Foundation.

PROGRAM CONTACT

Frank D. Dobyns, Executive Director
ARCA Foundation
100 East 85th Street
New York, New York 10028
(212) 861-8300

ATRAN FOUNDATION

Areas of interest of the Atran Foundation include the Jewish language; literature and culture; linguistics; social and economic history; and social medicine. Support is provided for publications furthering the interests of the Foundation.

Eligibility Requirements

Educational institutions and research organizations with tax-exempt status are eligible to apply.

Financial Data

Range of Awards: $100–$55,000
Total Amount of Support: $293,750 for year ending November 1975 (37 grants)

Application Process

Guidelines for preparing applications are available upon request. There are no deadlines for this program.

PROGRAM CONTACT

Max Atran, President
Atran Foundation
205 West 54th Street
New York, New York 10019
(212) 697-3990

AXE-HOUGHTON FOUNDATION

The Axe-Houghton Foundation encourages the improvement of language through support of projects focusing upon remedial speech, public speaking, and speaking as an art form.

Eligibility Requirements

Colleges and universities, as well as non-profit research organizations, are eligible to apply.

Financial Data

Range of Awards: $8,131–$15,000
Total Amount of Support: $44,631 for year ending February 1976 (4 grants)

Application Process

Guidelines for preparing proposals are available from the Foundation. Although there are no deadlines for the program, applicants should submit their proposals in accord with the notification of awards date in the fall.

PROGRAM CONTACT

William A. Hance, President
Axe-Houghton Foundation
299 Park Avenue
New York, New York 10017
(212) 752-6400

BENTON FOUNDATION

The William Benton Foundation provides a limited number of grants for projects dealing with communications and the media. No grants are awarded for operating budgets or for building or endowment funds.

Eligiblity Requirements

No grants are made to individuals.

Financial Data

Range of Awards: $5,000–$50,000
Total Amount of Support: $74,501 for year ending December 1975 (4 grants)

Application Process

There are no deadlines for this program; the board meets semi-annually. A prospective applicant should initially approach the Foundation by letter. One copy of a proposal is required.

PROGRAM CONTACT

Jack Howard, Secretary
Benton Foundation
39 East 51st Street
New York, New York 10022
(212) 759-5660

THE CENTER FOR FIELD RESEARCH

The mission of the Center for Field Research is to subsidize worthy projects of field researchers, as well as to contribute to the public understanding of the procedures and problems associated with scientific research. The Center acts as a screening body and clearinghouse for such projects through the provision of funding and a volunteer workforce to assist in the endeavor. Among the academic disciplines supported by the Center are: anthropology, archaeology, astronomy, biology, botany, cartography, conservation, ethnology, folklore, geography, geology, marine sciences, medicine, meterology, musicology, ornithology, restoration, and sociology. A project must utilize lay assistants.

Financial Data

Grant awards vary according to project needs and as a function of the number of non-specialists that can be constructively utilized in the project. Volunteers pay to support the project and work as research assistants for 2–3 weeks on the project.

Range of Awards: $300–$600 per contributing non-specialist as part of the field team for 2–4 weeks. Teams range in size from 5 to 20 individuals. Longer term support is possible by utilizing successive or staggered field teams.

Matching Fund Requirements: None, although the Center encourages supplementary sponsorship of a project.

Application Process

Application materials may be obtained from the Center upon request. Nine months should be allowed for review of a project. Funds are provided for one season, with renewals possible upon completion and evaluation of a project. Proposals may be submitted at any time of the year, but

no later than January 15 for projects commencing after December 1, and October 1 for projects commencing after June 1. Preference is given to postdoctoral research projects inviting graduate students in the discipline as support staff.

PROGRAM CONTACT

Jane A. Fisher, Director of Operations
The Center for Field Research
10 Juniper Road, Box 127
Belmont, Massachusetts 02178

THE DEAFNESS RESEARCH FOUNDATION

The Deafness Research Foundation provides support for project grants to learn the causes and seek improved methods of treatment and prevention of deafness and other auditory disorders. Applications are considered which focus upon any aspect of the ear, investigations of function, physiology, biochemistry, genetics, anatomy, or pathology. The Foundation favors projects generated by younger investigators and providing seed money to promising new areas of study.

Eligibility Requirements

The Foundation awards its grants to universities and hospitals.

Financial Data

Amount of Support per Award: $10,000 maximum
Total Amount of Support: $303,554
Number of Applicants in Most Recent Year: 94
Number of Awards: 32 for the year ending December 1978
Use of Requested Funds: Salaries of technical and supporting personnel; limited alteration and renovation of existing facilities; purchase of equipment; supplies, including drugs and services.

Application Process

Application forms can be obtained upon request from the Foundation. Interested individuals are also advised to acquire a copy of *Policy on Grants for Research Projects* prior to preparing an application for submission. Projects may extend for a one-year period, with possible renewal for second and third years. The deadline for initial applications is July 15; the deadline for renewal applications is August 15.

Applications are reviewed with the following guidelines. (1) the subject of the research; (2) the quality of the project design; (3) its potential for significant contribution to basic knowledge or clinical medicine; (4) the available facilities and personnel at the institution at which the project will be executed; and (5) the qualifications of project personnel.

PROGRAM CONTACT

The Deafness Research Foundation
342 Madison Avenue
New York, New York 10017
(212) 682-3737

EXXON EDUCATION FOUNDATION

The mission of the Exxon Education Foundation is to provide for improvements in the quality of education. The Foundation especially encourages projects which focus upon general education and the role of values in education, as well as the relationship of educational resources to the

problems of society. Highest priority is given to projects which span disciplines, professions, and organizations. The Foundation seeks grant projects which benefit others, as well as the recipient. The Foundation does not normally support projects focusing primarily upon: (1) operating funds for an existing program; (2) course or curriculum development which reflects the normal activity at an institution; (3) adoption of established educational or administrative methods or materials; (4) benefiting the applicant institution but no other colleges and universities; or (5) capital purposes, such as equipment, buildings, or endowment.

Eligibility Requirements

Professional educational associations and all degree-granting American colleges and universities with tax-exempt status are eligible to apply for support.

Financial Data

Grant awards vary in amount, depending upon the needs and nature of request, although they rarely exceed $100,000. The Foundation does not normally provide indirect costs to applicants.

Total Amount of Support: $1,860,688 for the year 1977
Number of Applicants in Most Recent Year: Approximately 800
Number of Awards: 39 new grants for the year 1977

Application Process

To submit a project for preliminary consideration, the applicant should provide five copies of a proposal outline to the Foundation. There are no closing dates for preliminary outlines. Each such outline should contain the following items in the indicated sequence within no more than five pages: (1) name of applicant institution or organization and name, title, office address, and telephone number of chief executive officer; (2) name of the head of the unit in which the project is to be conducted; (3) name, title, office address, and telephone number of the project director; (4) brief descriptive title of the project; (5) total amount of grant request from the Foundation; (6) a statement of project aims and an explanation of the needs or problem the project is designed to address; (7) a description of related works conducted by others and an explanation of how the proposed project expands upon or complements those efforts; (8) a full and precise description of the proposed project, specifically in terms of what is to be accomplished and how it is to be done; (9) a statement of the evaluation plan; (10) an explanation of what groups will benefit from the information acquired from the accomplishment of the project and the means by which it will be communicated; (11) name, title, and qualifications of project personnel; (12) a detailed budget, giving a breakdown of requested funds, institutional contributions, and funds emanating from other sources; (13) a statement of the expected duration of the activity and the means, if appropriate, by which it will be supported beyond the life of the grant; and (14) the name, title, and original signature of the authorizing officer of the applying institution or organization.

Support is provided for projects which can be completed within a reasonable time period. No more than one or two years should elapse between the commencement of a project and its preliminary evaluation.

PROGRAM CONTACT

Exxon Education Foundation
111 West 49th Street
New York, New York 10020
(212) 398-2273

FILM FUND

The Film Fund supports the production of films and other visual media projects on social issues. Two programs are administered by the Fund in this effort: (1) the Grantmaking Program; and (2) the Distribution Research Project Program.

Eligibility Requirements

Individuals or tax-exempt organizations are eligible to apply to the Fund.

Financial Data

Total Amount of Support: Approximately $150,000 annually
Range of Awards: $5,000–$10,000
Average Range for Grants: $25,000 maximum for one grant project

Application Process

The annual deadline for Fund programs is February 1, with announcement of awards in April. The duration of an award corresponds to the length of the project associated with it. Application forms are available from the Fund upon request.

PROGRAM CONTACT

Jennifer Lawson, National Director
The Film Fund
80 East 11th Street
New York, New York 10003
(212) 475-3720

FORD FOUNDATION: COMMUNICATIONS PROGRAM

The purpose of the Ford Foundation Communications Program is to provide grants for experimental, demonstration, and developmental efforts relating to communications policy, including the effects of mass media; journalism education and the responsibility of news media; and international communications. These projects should contribute to the solution of problems of national as well as international importance.

Eligibility Requirements

Qualified individuals, institutions, and communities with appropriate interests are eligible to apply to this program.

Financial Data

Awards vary in amount, depending upon the needs and the nature of the request.
Total Amount of Support: $14,411,800 for the year 1976
Number of Awards: Approximately 35 for the year 1976

Application Process

As a first step, individuals interested in this program should submit a proposal which outlines project objectives and methodology, describes the qualifications of project personnel and any institutions involved, and delineates a detailed estimated budget.

PROGRAM CONTACT

David M. Davis, Officer in Charge
Ford Foundation—Communications Program
320 East 43rd Street
New York, New York 10017
(212) 573-5000

FOUNDATION FOR PUBLIC RELATIONS RESEARCH AND EDUCATION

The Foundation for Public Relations Research and Education seeks to foster, sponsor, and conduct basic research and study in the general field of public relations. Priority is given to projects which offer the greatest potential toward the advancement of public relations knowledge and theory.

Eligibility Requirements

Institutions of higher education or faculty members affiliated with such institutions are eligible to apply.

Financial Data

Grants vary in amount, depending on the nature and needs of the request made by the applicant.
Total Amount of Support: $3,500 for the year 1976
Number of Applicants in Most Recent Year: six
Number of Awards: three for the year 1977

Application Process

Proposals may be submitted at any time. Awards are generally given for periods of one year.

PROGRAM CONTACT

Frederick H. Teahan, Executive Director
Foundation for Public Relations Research and Education
845 Third Avenue
New York, New York 10022
(212) 826-1774

GENERAL SEMANTICS FOUNDATION

The General Semantics Foundation sponsors organizations needing financial support for semantics projects, including seminars, conferences, or awards, as well as research in semantics leading to graduate degrees.

Eligibility Requirements

An applicant must be able to demonstrate his competencies in the field of general semantics.

Financial Data

Range of Awards: $300–$4,500
Number of Applicants in Most Recent Year: ten
Number of Awards: three for the year 1976

Application Process

There are no deadlines for this program. The length of support for projects varies; some projects have been supported in the past for over seven years. Documentation must provide evidence that the applicant is engaged in general semantics, usually through university support. Matching funds are not necessarily required for support from this program.

PROGRAM CONTACT

Harry E. Maynard, President
General Semantics Foundation
14 Charcoal Hill
Westport, Connecticut 06880
(203) 226-1394

LINGUISTIC SOCIETY OF AMERICA: LINGUISTIC INSTITUTE FELLOWSHIP PROGRAM

The Linguistic Society of America offers fellowships annually to selected students to attend the Linguistic Institute in the summer. It is the aim of the Society to further research and publication in the scientific analysis of language and languages.

Eligibility Requirements

Candidates should be especially promising students of linguistics who are able to register for full-time study for the summer program.

Financial Data

Grant awards vary in amount, depending upon the academic status of the individual and whether the awardee is a resident or non-resident of the state where the host university is situated.

Number of Applicants in Most Recent Year: Approximately 200
Number of Awards: Approximately 60 for the year 1977
Use of Requested Funds: Tuition and some small portion of travel or subsistence

Application Process

Complete details about the program are available upon request from the Society. The deadline for the program is in early February, with notification in mid-March.

PROGRAM CONTACT

LSA Secretariat
Linguistic Society of America
1611 North Kent Street
Arlington, Virginia 22209
(703) 528-2314

MARKLE FOUNDATION

The Markle Foundation provides grants for research, studies, and special projects related to the educational uses of mass media and communications technology. Among its major objectives are providing support for social science projects focusing upon the effects of the mass media and projects focusing upon programs designed to improve the performance of individuals working within the media or the service by the media to specialized groups (for example, children, minorities, small communities). As part of these broad objectives, the Foundation places emphasis upon cable, research on the relationship between politics and the media, and the information and outcomes of communications policy.

Eligibility Requirements

Institutions or organizations with appropriate interests may apply. Generally speaking, no grants are provided by the Foundation for endowments or individual scholarships.

Financial Data

Range of Awards: $2,000–$360,000
Total Amount of Support: $1,661,832 for 1976–77
Number of Applicants in Most Recent Year: 700
Number of Awards: 31 for the year ending June 1977

Application Process

There are no deadlines for this program. No application form is used by the Foundation. An applicant is advised to submit an informal letter containing the purpose for which support is being sought, the specific resources needed, the personnel involved, and a description of the methods to be employed. The duration of these grant awards varies; applications are renewable.

PROGRAM CONTACT

Lloyd N. Morrisett, President
50 Rockefeller Plaza
New York, New York 10020
(212) 489-6655

NATIONAL ASSOCIATION OF BROADCASTERS

The National Association of Broadcasters awards grants for research relating to the political, social, cultural, and economic aspects of commercial broadcasting. Projects which deal with the commercial effects of radio and television advertising are not supported. Research designs concentrating on radio are particularly encouraged.

Eligiblity Requirements

Academic researchers affiliated with disciplines relating to the political, social, cultural, and economic aspects of broadcasting are eligible to apply.

Financial Data

Grant awards vary in amount, with an upper limit of $1,200. Monies are provided to defray out-of-pocket expenses incurred in conducting research.

Number of Applicants in Most Recent Year: 100
Number of Awards: 11

Application Process

Proposals should be received by January 1 following fall announcement of the competition. Application materials are available from the Association upon request.

PROGRAM CONTACT

John Dimling, Vice President
and Director of Research
National Association of Broadcasters
1771 N Street, N.W.
Washington, D.C. 20036
(202) 293-3500

NATIONAL EASTER SEAL SOCIETY: ALPHA CHI OMEGA SUPPLEMENTAL AID SCHOLARSHIP PROGRAM

The National Easter Seal Society makes academic support available to graduate students in speech pathology and audiology through its Alpha Chi Omega Supplemental Aid Scholarship Program. The two components are conducted on a rotating basis.

Eligiblity Requirements

Graduate students who are American citizens and are engaged in accredited graduate programs in speech pathology and audiology are eligible to apply. Only one individual from such a program may apply. Candidates must be nominated by their department head.

Financial Data

Grant awards vary in amount as a function of the course of study proposed.
Amount of Support per Award: $750 maximum
Total amount of Support: $4,500 for the year 1972
Number of Applicants in Most Recent Year: 15
Number of Awards: 8 for the year 1975

Application Process

Application materials can be obtained from the Society upon request. Funds are provided for a one-year period. Applications must be submitted to the Society by May 1.

PROGRAM CONTACT

Scholarship Coordinator
National Easter Seal Society
2023 West Ogden Avenue
Chicago, Illinois 60612
(312) 243-8400

PHILLIP MORRIS: MARKETING/COMMUNICATIONS COMPETITION FOR COLLEGE STUDENTS

The mission of the Phillip Marketing/Communications Competition is to make available to college students the opportunity for realistic and practical experience by bringing them into direct contact with the business community. Projects must focus upon marketing or communications in relation to Phillip Morris or its non-tobacco products and operations. Two categories of prizes are provided—undergraduate and graduate. Possible areas of focus for these projects include corporate responsibility, marketing, promotion, college relations, communications, community relations, urban affairs, and government relations.

Eligibility Requirements

Graduate and undergraduate students enrolled in accredited colleges and universities are eligible to apply.

Financial Data

Amount of Award for Each Category: $1,000 to winning proposal, $500 to runner up proposal

Application Process

To be considered for the program, a committee consisting of at least five students working under the supervision of a full-time faculty member or an appropriate campus professional society (in such fields as marketing, advertising, or journalism) should submit its proposed project to Phillip Morris. The proposal should contain the purpose, objectives, and an outline of the program. Committees in which both undergraduates and graduates are involved should submit their entry to the graduate competition. In addition to the financial awards, grantees are invited to company headquarters (students and their faculty advisor) to discuss their projects with corporate executives. The program has an annual deadline.

PROGRAM CONTACT

Marketing/Communications Competition
Phillip Morris
100 Park Avenue
New York, New York 10017
(212) 679-1800

THE S&H FOUNDATION: LECTURESHIP PROGRAM

The S&H Foundation provides awards to institutions to sponsor lectureships in the fields of public affairs and the social sciences. The purpose of the program is to enrich established undergraduate and graduate level curricula by bringing public and scholarly experts into direct and informal contact with students and faculty. The program aims to extend and strengthen the influence of the sponsoring institution by the presentation of at least one public lecture by each distinguished visitor, through the nearby community and its constituency.

Eligibility Requirements

Any college or university in the United States may apply to this program.

Financial Data

Amount of Support per Award: $2,000 maximum
Number of Awards: 27 for the year 1976–77

Application Process

Proposals should outline the proposed program; possible speakers; the topics to be covered by the speakers; schedule of activities (including those lectures to which the public will be invited free of charge); and the budget. The lectures should not only offer educational value, but also visibility to the institutions presenting them. The public character of the proposed project should be clearly defined in the application.

Proposals are due February 15, with notification by April 30. Geographical balance is sought in allocating the awards.

PROGRAM CONTACT

Richard Schlatter, Director
The S&H Foundation Lectureship Program
Box 315
Neshanic, New Jersey 08853

or

4 Huntington Street
Rutgers University
New Brunswick, New Jersey 08903

SPEECH FOUNDATION OF AMERICA

The goal of the Speech Foundation of America is to provide support for programs in therapy and the prevention of stuttering. No support is given for building or endowment funds.

Eligibility Requirements

Individuals; colleges and universities; private research organizations.

Financial Data

Range of Awards: $1,000–$16,528
Total Amount of Support: $35,109 for year ending December 1975 (11 grants)

Application Process

The Foundation board meets annually. There are no deadlines for this program. Prospective applicants are advised to initially submit a letter of inquiry outlining the scope of the proposed project.

PROGRAM CONTACT

Malcom H. Fraser, Vice President
Speech Foundation of America
152 Lombardy Road
Memphis, Tennessee 38111
(901) 452-0995

SPENCER FOUNDATION: EDUCATIONAL RESEARCH GRANTS PROGRAM

The Spencer Foundation supports research which provides further insights into the process of education. This would include: (1) behavioral science studies of educational concerns; (2) biological inquiries into the learning process; (3) investigations relating to cognitive processes; (4) projects aimed at reducing hindrances and constraints on education; (5) studies dealing with early child development and education; (6) projects focusing upon classroom instruction and organization; (7) studies relating to organizational and administrative problems in education; and (8) inquiries into social, cultural, and economic developments within education.

Eligiblity Requirements

Colleges and universities, as well as private research organizations may apply to this program.

Financial Data

Range of Awards: $2,642–$466,915
Total Amount of Support: $3,009,122 for 1975–76
Number of Awards: 76 for the year ending March 1976

Application Process

There is no deadline for submission of applications to this program. Applicants are requested to initially submit a brief preliminary proposal with an informal letter, so that the Foundation can ascertain its interest in the proposed project, a detailed proposal will be requested if the project is found to be of interest. The program committee convenes to review proposals and make

recommendations approximately one month prior to the meeting of the board of directors; an applicant is advised to submit a proposal at least six weeks in advance of these board meetings, which take place in late June, September, and December. Guidelines for preparing applications are available by request to the agency. Approximately one out of every 16 requests made to the Foundation is supported.

PROGRAM CONTACT

Marion M. Faldet, Secretary
Spencer Foundation
875 North Michigan Avenue
Chicago, Illinois 60611
(312) 337-7000

TINKER FOUNDATION

The goal of the Tinker Foundation is to promote better relations among the peoples of Ibero-America. Grants are provided for social science projects focusing upon communications, economic education, demography, regional development, management, political science, and history. Conferences, meetings, seminars, and public affairs programs are also sponsored by the Foundation.

Eligiblity Requirements

Institutions and organizations with appropriate interests and tax-exempt status may apply to the Foundation for support.

Financial Data

Awards vary in amount depending upon the needs and nature of the request.
Total Amount of Support: $1,443,861 for the year 1977
Number of Awards: 52

Application Process

A preliminary inquiry should contain a brief summary of the proposed project delineating its objectives, theoretical significance and/or practical significance, estimated cost, and a copy of the institutional tax-exempt status form, most recent financial status and a listing of organizational officers. Proposals should be submitted in early spring or fall; the board convenes quarterly. Grant application guidelines are available upon request.

PROGRAM CONTACT

Martha T. Muse, President
Tinker Foundation
645 Madison Avenue
New York, New York 10022
(212) 421-6858

TWENTIETH CENTURY FUND

This agency does not provide grants, but rather commissions projects on a contract basis. It seeks research on major economic, political, and social institutions and issues which is then published in book form for a broad audience. The development of methodologies or theories are

viewed as a means to the end of examining policy problems and formulating policy recommendations. Among its areas of major interest are urban problems, criminal justice and justice administration; communications; finance, business, and economic policy; foreign policy matters; and non-profit institutions.

Eligiblity Requirements

No institutional affiliation is required. The experience an individual can offer in research and writing is an important factor.

Financial Data

Range of Awards: $6,000–$199,920
Average Award: $73,000

Application Process

The Fund has no formal application forms. Interested individuals are advised to submit a proposal, ten to fifteen pages in length, containing a definition of the policy problem, a description of related work undertaken in the field, an outline of the research approach and methodology, an estimated budget and activity schedule, a tentative book outline, a curriculum vitae, the names of four references, and a writing sample of chapter or article length.

There are no program deadlines. Announcement of projects are given in an annual report and newsletters. Approximately one-half of all the contracts supported by the Fund are unsolicited. Research expenses are provided. Compensation to individuals is provided on a salary basis, as well as a contingency basis upon the submission of the project manuscript. Projects are evaluated by the Board of Trustees which meets in January, April and November. Applicants should submit their proposals at least two and one-half months prior to these meetings.

PROGRAM CONTACT

Carol M. Barker, Assistant Director
Twentieth Century Fund
41 East 70th Street
New York, New York 10021

WENNER-GREN FOUNDATION FOR ANTHROPOLOGICAL RESEARCH

The Wenner-Gren Foundation for Anthropological Research supports projects in all branches of anthropology, including physical and cultural, and in ethnology, ethnography, archeology, and linguistics.

Eligibility Requirements

Qualified individuals affiliated with accredited institutions and organizations may apply to the program.

Financial Data

Awards seldom exceed $5,000
Total Amount of Support: $157,096 for the year 1977
Number of Applicants in Most Recent Year: Approximately 700
Number of Awards: 69

Application Process

Applications for projects to be executed in the following year should be forwarded to the Foundation at least six months prior to their commencement date. From May through August, the Foundation does not accept applications. An inquiry letter outlining the proposed project should be submitted initially. The Foundation will then forward appropriate application materials. Projects of long duration are not normally supported by the Foundation.

PROGRAM CONTACT

Lita Osmundsen, Director of Research
Wenner-Gren Foundation for Anthropological Research
14 East 71st Street
New York, New York 10021
(212) 737-2900

CHAPTER SIX

RESEARCH FELLOWSHIP PROGRAMS

American Council of Learned Societies: Fellowship Programs

Center for Advanced Study in the Behavioral Sciences: Fellowship Program

The East-West Center

German-Marshall Fund of the United States: Fellowship Program

Howard Foundation: Fellowship Program

Inter-American Foundation: The Latin American and Caribbean Learning Fellowship on Social Change

Kaltenborn Foundation: Fellowship Program

National Endowment for the Humanities

- Division of Fellowships
  - NEH Fellowship Program—Category A, Category B, Category C
  - Summer Stipends
  - Summer Seminars for College Teachers

National Science Foundation: Directorate for Science Education

- Division of Scientific Personnel Improvement
  - National Needs Postdoctoral Fellowships
  - NATO Postdoctoral Fellowships in Science

The Rockefeller Foundation

- Conflict in International Relations Fellowships
- Humanities Fellowships

Social Science Research Council

- Postdoctoral Research Training Fellowship Program

AMERICAN COUNCIL OF LEARNED SOCIETIES: FELLOWSHIP PROGRAMS

The American Council of Learned Societies offers three fellowship programs which are relevant to the needs and interests of communication scholars: (1) Study Fellowships; (2) Fellowships; and (3) Research Fellowships for Recent Ph.D. Recipients. The Study Fellowships Program is available to postdoctoral scholars in the humanities for study in disciplines other than their own specialization or to social and natural scientists for study in the humanities. Among the possibilities for scholars seeking these fellowships is to engage in learning the new methodology of a new discipline. The Fellowships Program is offered to postdoctoral researchers for study in the humanities or in the social and natural sciences, if a humanistic orientation predominates. The Research Fellowship for Recent Ph.D. Recipients Program is intended for individuals who have just acquired their Ph.D. degree for research in philosophy; aesthetics; philology, languages, literature, and linguistics; archaeology; art history and musicology; history; cultural anthropology; and folklore.

Eligibility Requirements

For the Study Fellowships Program and Fellowships Program, applicants must be American citizens or permanent residents who possess the Ph.D. degree or its equivalent. For the former, candidates are not normally over 36 years of age; for the latter, they may not be over 50 years of age. For the Research Fellowships for Recent Ph.D. Recipients Program, applicants must be American citizens or permanent residents who have held their terminal degree for not less than one year or more than three at the time of applying for support.

Financial Data

Study Fellowships Program—
Amount of Support per Award: $12,000 maximum
Number of Applicants in Most Recent Year: 115
Number of Awards: 8 for the year 1977
Fellowships Program—
Amount of Support per Award: $13,500 maximum
Number of Applicants in Most Recent Year: 800
Number of Awards: Approximately 80 for the year 1977
Use of Requested Funds: Provision of free time, travel, clerical or research assistance, reproduction or purchase of materials, and support of dependents.
Research Fellowships for Recent Ph.D. Recipients—
Amount of Support per Award: Salary equivalents, not exceeding $7,000, for at least one semester of uninterrupted study.
Number of Applicants in Most Recent Year: 250
Number of Awards: Approximately 30 for the year 1977

Application Process

Official application forms for all three programs are available upon request from the ACLS office. When requesting these forms, prospective applicants should indicate their age, citizenship or permanent residence, highest academic degree held (and date of awarding of degree in the case of the program for recent degree recipients), professional position, area of specialization, proposed subject of study, period of tenure desired, and specific program under which the application is contemplated. For the Study Fellowships, individuals must devote at least six continuous months to full-time work on supported projects, with tenure limited to a maximum of twelve months. Applications must be submitted by November 15. For the Fellowships Program, recipients must devote at least six continuous months to full-time work on supported projects, with tenure limited to a maximum of twelve months. Applications must be submitted by September 30 for fellowships tenable within a one-and-a-half year period starting July 1 following notification of award.

PROGRAM CONTACT

Office of Fellowships and Grants
American Council of Learned Societies
345 East 46th Street
New York, New York 10017
(212) 986-7393

CENTER FOR ADVANCED STUDY IN THE BEHAVIORAL SCIENCES: FELLOWSHIP PROGRAM

The Center for Advanced Study in the Behavioral Sciences provides postdoctoral fellowships in all fields which contribute to an understanding of human behavior. Grantees are given residence support to study at the Center with other scholars.

Eligibility Requirements

Normally, investigators who have completed their own formal training, who hold university appointments, and who are engaged in research, are considered. A candidate should be within the top five to ten percent of the research personnel in his field. A recipient of this award may be from any country.

Financial Data

Included in the fellowships are the costs for the stipends, allowances, and provision of services and facilities. Normally, these costs are to be absorbed jointly by the Center, the home institution, and other funding sources. Financial provisions are based upon faculty salaries at the home institution for the previous year.

Number of Applications in Most Recent Year: Several thousand candidates under continuous consideration

Number of Awards: 40–50 each year

Application Process

Each fellowship is for nine to twelve months of study. Candidates are first nominated and are then invited to express their interest in securing a fellowship. Institutions may submit nominations at any time; the selection process normally takes several years, with the roster for any given year completed more than a year in advance.

PROGRAM CONTACT

Gardner Lindzey, Executive Director
Center for Advanced Study in the Behavioral Sciences
202 Junipero Serra Boulevard
Stanford, California 94305
(415) 321-2052

THE EAST-WEST CENTER

The goal of the East-West Center is to promote better relations and understanding between the nations of Asia and the Pacific and the United States. This is accomplished through provision of funds by the Center for cooperative study, training, and research. Competition is held on an annual basis for graduate level scholarships for study at the University of Hawaii. In addition, the Center provides invitational fellowships for senior and mid-career scholars and authorities; for middle level and top level managers in government, business, or education; and for promising researchers or potential leaders for managerial experience in education, research or development activities. Awards are offered for participants in any of the problem-oriented programs of the East-West Center Institutes: communication, culture learning, environment and policy, population, and resource systems. A few open grants are also available to applicants for experimental programs in areas not currently encompassed by the Institutes. For every award given to an American, two are provided to individuals from Asia and the Pacific region.

Eligibility Requirements

Applicants must be able to provide strong evidence of professional interest in the Institute program they select, meet the academic standards of the University (if they are degree candidates), and be able to demonstrate desire and potential for contributing toward intercultural communication. Student applicants must provide evidence of appropriate degrees; Asian and Pacific applicants will need to submit satisfactory TOEFL scores and all Americans plus some Asian and Pacific applicants will be required to provide evidence of successful completion of the Graduate Record Examination.

Financial Data

Grantees receive support for round trip air transportation, meal allowance, academic expenses, housing, and some personal expenses. Cost-sharing is encouraged for these awards.

Number of Awards in Most Recent Year: 8,000
Number of Awards: Varies according to type of grant support

Application Process

Degree study applicants should contact program representatives in their own countries (if one exists) or the Awards Coordinator of the East-West Center. American applicants should submit their materials to the Awards Corodinator directly. Non-degree awards are negotiated directly with the appropriate Institutes; general inquiries can be made with the Awards Coordinator. There may be various deadlines for the degree study program within each country; there is a common deadline (December 1) for forwarding application materials to the Awards Coordinator of the Center. All other programs offered by the Center have no specific deadlines. For a master's degree, 17–24 months of support may be provided; for a doctoral degree, 36 months of support may be provided, although up to 48 months has been provided in special instances. One week to 12 months of support, depending upon the activity involved, is available for all other awards. No grant awards are renewable.

PROGRAM CONTACT

Awards Coordinator
The East-West Center
1777 East-West Road
Honolulu, Hawaii 96822
(808) 948-7632

GERMAN MARSHALL FUND OF THE UNITED STATES: FELLOWSHIP PROGRAM

The German Marshall Fund provides grants to scholars whose research focuses upon an increased understanding and resolution of significant contemporary or emerging problems common to industrial societies, especially in terms of their comparative international, political, social, and economic components. Among the areas of concern which would be supported are urban issues, land use, communications and the media, employment and work conditons, criminal justice and crime prevention, social policies, and labor-management relations.

Eligiblity Requirements

Scholars from the United States, Canada, and Europe are eligible to apply for these fellowships.

Financial Data

Total Amount of Support: $350,000 for the year 1978
($115,000 for individual grants)
Number of Applicants in Most Recent Year: 205
Number of Awards: 12

Application Process

Forms for preliminary application are available upon request from the Fund. If the proposed project falls within the purview of the program, a more detailed proposal will be requested. The program has an annual deadline of November 30. Notification to applicants is made in March. Projects may be for four months or more.

PROGRAM CONTACT

Peter R. Weitz, Program Coordinator
German Marshall Fund of the United States
11 Dupont Circle, N.W.
Washington, D.C. 20036
(202) 797-6430

HOWARD FOUNDATION: FELLOWSHIP PROGRAM

The George A. and Eliza Gardner Howard Foundation offers fellowships for independent projects in the following academic areas, on a three-year rotational basis: (1) literary criticism, linguistics, foreign language studies, art history, art criticism, musicology, and theatre and film criticism; (2) history, philosophy, sociology, psychology, anthropology, archaeology, political science, religion, science-related projects; and (3) fine, applied, and performing arts, including plastic and graphic arts, applied music, theatre, filmmaking, media-related projects, creative writing. The Foundation prefers to award these funds to individuals in the middle stages of their professional careers.

Eligibility Requirements

Individuals with appropriate academic credentials and between the ages of thirty and forty may apply to the Foundation for support.

Financial Data

Range of Awards: $3,000–$7,000
Total Amount of Support: $35,000
for the year 1977–78
Number of Applicants in Most Recent Year: 141
Number of Awards: 5 for the year 1977–78

Application Process

The Foundation does not accept direct applications from individuals. Upon the receipt of an appropriate nomination, application forms are forwarded. For individuals associated with colleges or universities, nominations should emanate from the president or a designated representative. Each institution may submit only two nominations to the Foundation annually. Independent creative artists may be nominated by a professional critic, gallery or museum director, an editor of a professional journal, a director of a press, or a director of a professional society.

In general, the work undertaken by a recipient of these funds must be broad enough in scope to be comprehensible to individuals outside the immediate field of specialization. The Foundation provides support for projects requiring essentially a full-time commitment over an extended period of time, although it is not required that support for the fellowship year be solely from this program. University faculty applicants must be eligible for sabbatical or other leave in order to receive funds from the Foundation. No funds are available for short-term projects or for summer research activities. Only in exceptional circumstances will support be provided for projects extending only over a one-semester period.

Nominations are accepted after September 1 of the year preceding that for which the fellowship is proposed. The deadline for accepting all nominations is November 1. Completed applications are due December 1. Notification of awards is made on April 15. Fellowship tenure commences on July 1.

PROGRAM CONTACT

Ernest S. Frerichs, Secretary
George A. and Eliza Gardner Howard Foundation
Brown University Graduate School
Box 1867
Providence, Rhode Island 02912
(401) 863-2600

INTER-AMERICAN FOUNDATION: THE LATIN AMERICAN AND CARIBBEAN LEARNING FELLOWSHIP ON SOCIAL CHANGE

The aim of the Inter-American Foundation is to provide opportunities for scholars to learn from Latin American and Caribbean initiated and operated social change programs, using a multidisciplinary perspective, through affiliation with a host country institution. An underlying objective of the program is the promotion of academic-practitioner cooperation. The Foundation encourages experimental and innovative approaches to social problems relating to a more equitable distribution of resources, greater distribution of resources, greater participation in the decision-making process, and expanded communication among the people of Latin America and the Caribbean.

Eligibility Requirements

Applicants may be doctoral candidates or postdoctoral scholars. Candidates for fellowships must have a multidisciplinary academic and experiential background, with specialization in at least one discipline area or problem area. Applicants are required to possess writing and speaking competency in a language of the Caribbean or Latin American area.

Financial Data

Funds provided include a monthly stipend, and coverage for transportation costs, health and accident insurance for the individual and his dependents, and some research related expenses. No matching funds are required.

Amount of Support per Award: Varies by country, but averages $350 per month
Total Amount of Support: Approximately $150,000 for the year 1976

Application Process

A prospective applicant should complete the appropriate Foundation forms and may be required to provide additional information, such as grade transcripts, letters of reference, or interviews. Support is provided for a period of six to 24 months. The annual deadline is December 1, with notification April 1.

PROGRAM CONTACT

Elizabeth Veatch, Fellowship Coordinator
Inter-American Foundation
1515 Wilson Boulevard
Rosslyn, Virginia 22209
(703) 841-3800

KALTENBORN FOUNDATION: FELLOWSHIP PROGRAM

The Kaltenborn Foundation is interested in scholarly communications studies focusing upon radio, television, magazines, and the press.

Eligibility Requirements

An applicant must demonstrate a serious desire to study aspects of the media field.

Financial Data

Range of Awards: $1,000–$3,800
Number of Applicants in Most Recent Year: 15
Number of Awards: 5 for the year 1977

Application Process

Grant awards are provided for one year; renewals are possible. An applicant should submit a detailed description of the projected study.

PROGRAM CONTACT

Kaltenborn Foundation
349 Seaview Avenue
Palm Beach, Florida 33480

NATIONAL ENDOWMENT FOR THE HUMANITIES: DIVISION OF FELLOWSHIPS

The goal of the Division of Fellowships is to support individuals in their endeavors as scholars, teachers, and interpreters of the humanities. Fellowship and stipend awards are provided to free the time of these individuals for full-time study or research for periods of one year or less. Among the programs which the Division supports are: NEH Fellowship Program, Summer Stipends, and Summer Seminars for College Teachers.

NEH Fellowship Program: Category A fellowships for independent study and research are intended for scholars, teachers, and other individuals whose work may lead to important contributions in humanistic thought and knowledge.

Category B fellowships for independent study and research are designed for individuals engaged primarily in teaching at the undergraduate level, whose work is likely not only to enhance their teaching capabilities, but also make an important contribution to humanistic thought and knowledge.

Category C fellowships are intended to provide opportunitites for teachers at the undergraduate level to participate in seminars conducted by distinguished scholars at universities and to engage in research or study of their own choosing.

Summer Stipends: This program is designed to enable college and university teachers, junior and community college teachers, and other humanists to devote two consecutive months to full-time study or research. Their work may be within their own area of specialty, or in some field that will allow them to enlarge the scope of their competency. The proposed project may be one that can be completed within the two-month period or it may be part of a long-term activity.

Summer Seminars for College Teachers: This program is intended to provide teachers in undergraduate and two-year colleges the opportunity to attend summer seminars in all disciplines of the humanities. In this way, these individuals can work in their areas of interest with distinguished scholars at institutions with appropriate library resources.

Eligibility Requirements

NEH Fellowship Program: Category A—Applicants may be scholars, teachers, or non-academicians. Category B—Applicants should be primarily engaged in undergraduate teaching or intending to do so. Category C—Applicants must be faculty at two-year, four-year, or five-year institutions; individuals teaching within departments offering doctoral programs are not eligible. Preference is given to faculty who have been teaching at the college level for at least three years.

Summer Stipends: Applicants may be college, junior college, and university faculty, or non-academicians. These individuals may be senior or younger scholars.

Summer Seminars for College Teachers: Applicants must have taught for at least three years at two-year, four-year, or five-year colleges or universities; individuals teaching within departments offering doctoral programs are not eligible.

Scope of Funding/Use of Requested Funds

NEH Fellowship Program: Categories A and B fellowships may be held continuously over six or 12 months and carry maximum stipends of $10,000 for six months or $20,000 for 12 months. Category C fellowship tenure covers a continuous period of 12 months and carries a maximum stipend of $20,000.

Summer Stipends: Each stipend provides a recipient with $2,500 to cover the costs of travel and other research expenses.

Summer Seminars for College Teachers: Grantees receive a $2,500 stipend to cover the costs of travel to and from the seminar location, books, other research expenses, and living expenses for the two-month tenure period.

Application Process

All three programs have annual deadlines. For the Summer Stipends program, an applicant must be nominated by the president, dean, or other designated officer of the institutuion employing him. Of the three nominees from a single institution, two should be in the early stage of their professional development, the other should be at a more advanced stage. An individual not affiliated with an institution may apply directly to the Endowment. For the Summer Seminars for College Teachers Program, individuals should contact the seminar director of the seminar they wish to attend for application instructions, after acquiring a list of the seminars to be offered from the Endowment. Scholars interested in directing one of these seminars are also advised to contact the Endowment.

PROGRAM CONTACTS

James Blessing, Director
Division of Fellowships
Mail Stop 101
National Endowment for the Humanities
806 15th Street, N.W.
Washington, D.C. 20506
(202) 724-0238

Program Officer, Fellowships: Category A
David Coder (202) 724-0333

Program Officer, Fellowships: Categories B and C
Karen Fuglie (202) 724-0333

Program Officer, Summer Seminars and Summer Stipends
Dorothy Wartenberg (202) 724-0376

NATIONAL SCIENCE FOUNDATION: DIRECTORATE FOR SCIENCE EDUCATION—DIVISION OF SCIENTIFIC PERSONNEL IMPROVEMENT—NATIONAL NEEDS POSTDOCTORAL FELLOWSHIPS

The purpose of National Needs Postdoctoral Fellowships is to assist in providing training to recent postdoctoral scientists and engineers in order to strengthen their research capabilities in areas of national needs. Awards are made in the mathematical, physical, medical, biological, engineering, and social sciences, as well as interdisciplinary studies.

Eligibility Requirements

Individuals who are American citizens or nationals, have completed their doctorate within the last five years in a field appropriate to the program or who have had equivalent research training and experience, and who have not previously received a fellowship from this program are eligible to apply.

Use of Requested Funds

The normal stipend rate is $12,000 per annum (12 months) prorated for tenures which can be no less than six months nor more than 12 months. Stipends for individuals who are entitled to sabbatical leave pay during tenure are subject to adjustment on an individual basis. An allowance is provided to aid in defraying travel costs to and from the fellowship institution, if reimbursement is not received from other sources and if the distance between the institution and the home site exceeds 200 miles. A maximum of $2,000 is provided for travel.

Application Process

Deadlines: Normally, the program has a November deadline, with notification of awards in February.

Form of Proposal: Applications are to be obtained from, and upon completion submitted to, the National Research Council. Standard forms designed by the Foundation are utilized for the program. Approximately 125 fellowships are supported per year.

Cost Sharing Requirements: The Foundation will provide the fellowship institution, upon its request, a cost-of-education allowance of up to $100 per month for resident tenure. These funds are provided on behalf of the Fellow to assist the institution in meeting the cost of tuition and appropriate fees, and in providing the Fellow with space, supplies, and equipment. An institution may supplement a stipend by up to 20% without prior permission from the Foundation. Some supplementation by NSF project grants is also allowable.

Evaluation Criteria: The evaluation of prospective fellows is performed upon the basis of academic records, letters of recommendation, and other indicators of scientific competence. Applicant qualifications will be assessed by panels of scientists appointed by the National Research Council. The appropriateness of the proposed fellowship institution and the quality of the proposed research will also be evaluated.

Mailing Information: Requests for application packets and submission of completed applications should be made to—

The Fellowship Office
National Research Council
2101 Constitution Avenue, N.W.
Washington, D.C. 20418

Literature and Guidelines:

Program Announcement: National Needs Postdoctoral Fellowships, NSF SE 79-13

Information for Postdoctoral Fellows, NSF SE 79-17

PROGRAM CONTACT

The Fellowship Office
National Research Council
2101 Constitution Avenue, N.W.
Washington, D.C. 20418
(202) 393-8100

NATIONAL SCIENCE FOUNDATION: DIRECTORATE FOR SCIENCE EDUCATION—DIVISION OF SCIENTIFIC PERSONNEL IMPROVEMENT—NATO POSTDOCTORAL FELLOWSHIPS IN SCIENCE

The purpose of the NATO Postdoctoral Fellowships in Science is to promote the progress of science and the collaboration of scientists from various nations. Fellowships are awarded for full-time study or work in the mathematical, physical, medical, biological, engineering, and social sciences, in the history and philosophy of science, as well as interdisciplinary studies. Recipients of these awards are expected to conduct their studies in countries that are members of NATO, although some exceptions are provided for tenure in other countries cooperating with NATO.

Eligibility Requirements

Individuals who are American citizens or nationals, have completed their doctorate in a field appropriate to the program or who have equivalent research training and experience, and who have demonstrated ability and special aptitude for advanced training in the sciences.

Use of Requested Funds

A stipend of $11,040 is provided for 12 months, $8,280 for nine months. Normally, a Fellow is given dependency allowances, according to his status at the time of the award. Stipends for individuals who will be entitled to sabbatical pay during tenure are subject to adjustment on an individual basis. An allowance is provided to aid in defraying travel costs to and from the fellowship site, the total of which may not exceed $2,400 for all and $1,000 for one individual. A $300 allowance is given for research, tuition and fees, and specific travel (for example, short trips to other laboratories and scientific meetings). Formal coursework can only be a minor part of the fellowship program. Upon request, the fellowship institution may be provided with $50 per month for up to 12 months to assist in meeting the cost of fees chargeable to the Fellow and in making space, supplies, and equipment available to the Fellow.

Application Process

Deadlines: Normally, the program has a November deadline, with notification of awards in February.

Form of Proposal: Standard official forms are available upon request from the Foundation. Approximately 40 new fellowships are supported each year.

Cost Sharing Requirements: An institution may supplement a stipend by up to 20% without prior permission from the Foundation, if it is in accord with established institutional policies. Permission from the Foundation is required if the institution intends to supplement the stipend in any higher amount. Supplementation of a stipend may be given without the provision of extra activities assigned to the Fellow; if such activities are required, they must be compatible with the approved research and study plans of the Fellow.

Evaluation Criteria: In addition to assessing the proposed research or study plan, the panels of scientists reviewing the proposals will evaluate the qualifications of the applicant from the standpoint of his demonstrated scientific competence, potential for continued professional growth, and potential for furthering international scientific collaboration.

Mailing Information: Requests for application packets and submission of completed applications should be made to—

NATO Fellowship Program Office
Division of Scientific Personnel Improvement
National Science Foundation
Washington, D.C. 20550

PROGRAM CONTACT

Thomas S. Quarles, Program Director
NATO Postdoctoral Fellowships
Division of Scientific Personnel Improvement
National Science Foundation
Room W-486
5225 Wisconsin Avenue, N.W.
Washington, D.C. 20550
(202) 282-7154

THE ROCKEFELLER FOUNDATION: CONFLICT IN INTERNATIONAL RELATIONS FELLOWSHIPS

The Rockefeller Foundation annually supports a number of individuals from a variety of countries, academic disciplines, and institutional affiliations through its fellowship program aimed at examining conflict in an international relations context. The program has two overall objectives in offering this support. The first objective involves the identification and assessment of the critical and emerging issues which will shape the international community in the next decade. Issues associated with this objective might include: the development of a more viable world economy; the intensifying competition to protect access to project external resources; the need for more effective international arrangements to reduce the potential for conflict in connection with the instability of the food-population-climate equation; and the quest for arms control among nations. The second objective involves the analysis of potential sources of conflict in an interdependent world and the capability of contemporary society to deal with emerging conflict. Issues associated with this objective might include: the changing relationship between domestic and foreign policies in an international system attempt to respond to interdependence; the creation of new arrangements (for example, early warning and fact-finding devices) which could affect the anticipation, management, and resolution of conflict at the international level; and the development of alternative methods for terminating or de-escalating destructive conflict through the promotion of peaceful change.

Eligibility Requirements

While there are no specific eligiblity criteria for the program, applicants should be able to provide evidence of relevant experience or research ability. Special consideration is given to candidates with relevant practical experience in the conduct of international relations.

Financial Data

Awards vary in amount, depending upon individual circumstances, although they rarely exceed $30,000 and are usually well below that figure. Funds cover maintenance, travel, and other approved expenditures. Approximately 20 fellowships are awarded each year.

Application Process

The program has two deadlines per year. The application should contain a one-page abstract; the scope, purpose, and methodology of the proposed project in approximately 10 pages; a curriculum vitae; copies of any articles or other materials demonstrating the relevant qualifications of the application; a budget; the proposed starting date and time frame; and the location at which the project would be conducted. In addition, the candidate should have three letters of reference forwarded directly to the Foundation. Fellowships are normally provided for a one-year period. Fellows may work on special projects while continuing their existing institutional

relationships; however, no award is made for less than six months of full-time work on a project. Fellowships may also involve special training and experience through participation in the work of another national or international institution.

PROGRAM CONTACT

Conflict in International Relations Fellowships
The Rockefeller Foundation
1133 Avenue of the Americas
New York, New York 10036
(212) 869-8500

THE ROCKEFELLER FOUNDATION: HUMANITIES FELLOWSHIPS

The Rockefeller Foundation provides fellowships for scholars in the humanities for projects intended to illuminate and assess the values of contemporary civilization. Applications are welcomed from the traditional humanistic disciplines (for example, literature, history, philosophy, classics), as well as other disciplines if the projects clearly offer humanistic implications. Candidates with interdisciplinary skills and interests are particularly encouraged to apply. Each proposal should seek to fulfill one or more of the following objectives: the illumination of contemporary cultural or social perplexities; the attempt to find comparative cultural values in a pluralistic society and world; the expansion of intercultural communication; the exploration of the contemporary relevance of significant literary, cultural, historical, and philosophical traditions; and the clarification or expansion of the historic meaning and tasks of the humanities. Possible research areas for these awards might include: ideas and concepts in contemporary culture (for example, cultural and moral traditions as background for public discourse concerning contemporary issues); the humanities in an international context (for example, intercommunication of cultural experiences, perceptions, and insights); science, technology, and society in the context of humanistic values (for example, ethical and social considerations for technological innovations); the humanities and contemporary professions (for example, new concepts in law, jurisprudence, and penology); the humanities and contemporary culture (for example, high culture and popular culture); and the humanities and the media (for example, public and commercial television—television as a social force or the visual media—representations through television, cinema, and photography).

Eligibility Requirements

Candidates do not need to have an academic or institutional affiliation. Recipients of these awards may not hold other major fellowships concurrently, but may accept concurrently sabbatical salaries or small supplementary grants from other sources.

Financial Data

Generally speaking, these fellowships range from $10,000 to $15,000; they rarely exceed $20,000. Awards cover the cost of salary, travel, secretarial or research support, or research materials.

Application Process

There are no standarized application forms for this program. Three copies of first-stage proposals must include: (1) a cover sheet indicating name and address, project title, and institutional affiliation, if any; (2) a description of the project and statement of candidate qualifications of approximately 500 words; (3) a vita and bibliography of publications; and (4) the names and addresses who can serve as sources of reference (only one of whom can be affiliated with the same institution as the candidate). Candidates whose projects are approved in the initial

stage will be requested to submit ten copies of a fully developed proposal. These proposals must contain sufficient information for evaluators to fully assess the scope, purpose, and methodology of the study to be undertaken. Included with each final proposal (no more than ten pages) must be: (1) a cover sheet indicating the name of the researcher and institutional affiliation, if any; his telephone number and social security number, the title of the project, the total amount requested, and the proposed duration of the project; (2) a delineation of other sources of support for which the candidate has applied and other sources of income (such as sabbatical salary or other research grants) during the tenure of the fellowship; and (3) an itemized budget detailing the costs for salary, travel, secretarial or research support, and research materials.

These fellowships normally extend over a one-year period. Awards are not made for fellowship work occupying less time than half a year for projects proposed for one or more summers. Fellows are expected to devote full time to their projects during the tenure of their awards. Projects may be self-administered or administered by the institution with which the fellow is associated. In the latter case, the institutional grants officer must indicate the willingness of the institution to administer the award with no direct cost.

The program has annual deadlines for first-stage and second-stage proposals. Final selections for recipients are made with the assistance of a committee of distinguished humanistic scholars. About 35 fellowships are awarded per year.

PROGRAM CONTACT

Humanities Fellowships
The Rockefeller Foundation
1133 Avenue of the Americas
New York, New York 10036
(212) 869-8500

SOCIAL SCIENCE RESEARCH COUNCIL: POSTDOCTORAL RESEARCH TRAINING FELLOWSHIP PROGRAM

The Social Science Research Council provides postdoctoral research training fellowships for social scientists who wish to obtain training in research beyond that normally required in doctoral programs in their own disciplines or to prepare them for innovative research in the social sciences.

Eligibility Requirements

Applicants must be American or Canadian citizens or permanent residents who can offer demonstrated aptitude and commitment to the research in the social sciences.

Financial Data

Amount of Support per Award: $12,000 maximum
Number of Awards: 19 for the year 1976–77

Application Process

Official application materials are available from the SSRC office. When requesting forms, individuals should state the nature of the proposed training, the approximate amount and duration of support needed, academic degrees held (specific fields of study), country of citizenship or permanent residence, age, occupation or present activity, professional goals, and, if currently working on a degree, present status in achieving it. If it appears upon review of this preliminary material, a prospective candidate will be invited to submit a formal application. Fellowship tenure ranges from nine to 12 months. An annual deadline of December 15 is employed for this program; preliminary inquiries should be made at least two weeks prior to the deadline. Announcement of awards is made by April 1.

PROGRAM CONTACT

Fellowship and Grants
Social Science Research Council
605 Third Avenue
New York, New York 10016
(212) 557-9500

CHAPTER SEVEN

DOCTORAL DISSERTATION RESEARCH GRANT PROGRAMS

American Association of University Women Educational Foundation: Dissertation and Postdoctoral Research Fellowship Program

Department of Labor, Employment and Training Administration: Doctoral Dissertation Program

Irwin Foundation: Doctoral Fellowship Program

The Japan Foundation: Dissertation Fellowship Program

National Science Foundation: Directorate for Biological, Behavioral, and Social Sciences Doctoral Dissertation Research Grants

AMERICAN ASSOCIATION OF UNIVERSITY WOMEN EDUCATIONAL FOUNDATION: DISSERTATION AND POSTDOCTORAL RESEARCH FELLOWSHIP PROGRAM

The American Association of University Women (AAUW) provides dissertation and postdoctoral fellowship support for scholarly research in all fields of knowledge.

Eligibility Requirements

American women who will have completed all the requirements for their doctoral degree, except their dissertation, by January 2 preceding the fellowship year (July 1–June 30), or who hold their doctoral degree at the time of submitting their application, are eligible for the program.

Financial Data

Stipends are provided to grantees in two equal installments: July 1 and January 10.
Range of Awards: $3,500–$7,000
Total Amount of Support: $347,000 for the fiscal year 1977–78
Number of Applicants in Most Recent Year: 1,085
Number of Awards: 95 for the year 1977–78

Application Process

Guidelines for submitting applications are available from the AAUW Educational Foundation Programs Offices. When requesting forms, applicants should indicate their academic status and citizenship. Fellowships extend from July 1 to June 30 for full-time research. Applications materials are available after August 1; completed forms should be submitted no later than December 15.

PROGRAM CONTACT

Educational Foundation Program Office
American Association of University Women
2401 Virginia Avenue, N.W.
Washington, D.C. 20037
(202) 785-7736

DEPARTMENT OF LABOR, EMPLOYMENT AND TRAINING ADMINISTRATION: DOCTORAL DISSERTATION PROGRAM

Grants for support of dissertation research are available from the Department of Labor in areas of study which relate to employment and training, such as economics, sociology, psychology, education, and the behavioral sciences.

Eligibility Requirements

Academic institutions offering appropriate doctoral programs may apply on behalf of graduate students who have completed all requirements for the degree or will have met them before the grant award is effective.

Financial Data

Range of Awards: $2,500–$26,000
Average Awards: $11,000
Maximum Support of Direct Costs: $15,000

Application Process

Guidelines for preparation of applications are available upon request from the Department of Labor. Separate applications are completed for each candidate nominated by a university. These awards may extend for no longer than two years. Applications must be received no later than December 1, March 1, June 1, or September 1 for work to be performed or initiated during the twelve-month period that follows.

PROGRAM CONTACT

Doctoral Dissertation Program
Department of Labor
Employment and Training Administration
Office of Research and Development
Washington, D.C. 20213
(202) 376-7243

IRWIN FOUNDATION: DOCTORAL FELLOWSHIP PROGRAM

The Richard D. Irwin Foundation provides support to prospective teachers in business, economics, and the social sciences toward completion of their dissertations.

Eligibility Requirements

Applicants for these funds must have been advanced to candidacy for the doctoral degree and have completed all work in connection herewith except writing the dissertation and passing final orals. In addition, candidates must be enrolled in, or accepted for admission to, a school which has an accredited doctoral program. A candidate may seek assistance from the Foundation even after some progress on the dissertation has been made.

Financial Data

Range of Awards: $1,500–$2,500

Application Process

In order to be considered, a candidate must be nominated by the university dean who has been invited to submit a nomination. A dean normally requests supporting recommendations from the dissertation committee chairperson and the doctoral program director or others who may be in a position to assess the potential of the candidate as a university teacher. Direct applications from candidates are not accepted by the Foundation.

Normally, these funds extend over a one-year period, starting July 1. The program has a February 15 annual deadline, with notification April 1.

PROGRAM CONTACT

Richard D. Irwin Foundation
1818 Ridge Road
Homewood, Illinois 60430
(312) 798-6000, Extension 282

THE JAPAN FOUNDATION: DISSERTATION FELLOWSHIP PROGRAM

The Japan Foundation seeks to promote international culture exchange and mutual understanding among nations through its Dissertation Fellowship Program. Among the academic areas supported through this program are the social sciences and the humanities. Projects should be directed toward the study of Japanese society and culture. The program provides scholars the opportunity to conduct their studies in Japan.

Eligibility Requirements

Applicants for these awards must be doctoral candidates in American universities in the social sciences, humanities, or other appropriate fields and have completed all academic requirements for their degree except the dissertation at the time the fellowship commences. Applicants must be American citizens or permanent residents.

Application Process

Guidelines for preparation of proposals are available upon request from the Foundation. Fellowship awards normally extend for a period of six to 14 months and are not renewable.

PROGRAM CONTACT

Dissertation Fellowship Program
The Japan Foundation
Suite 430, Watergate Office Building
600 New Hampshire Avenue, N.W.
Washington, D.C. 20037
(202) 965-4313

NATIONAL SCIENCE FOUNDATION: DIRECTORATE FOR BIOLOGICAL, BEHAVIORAL, AND SOCIAL SCIENCES—DOCTORAL DISSERTATION RESEARCH GRANTS

The Directorate for Biological, Behavioral, and Social Sciences provides support for doctoral dissertation research for up to twenty-four months. These funds are intended for items not normally available from university the student attends or from other sources, the idea being that

these monies will allow the candidate opportunities for greater creativity in the gathering and analyzing of data than would otherwise be possible.

Eligibility Requirements

Students at institutions in the United States who are at the point of initiating dissertation research are eligible. A proposal may be submitted to the program while the student is in the process of completing other requirements for the degree.

Use of Requested Funds

Grant funds received from the program may be used for travel to specialized facilities or field research locations, survey expenses, specialized research equipment and services otherwise not available, supplies, microfilms and other types of unique data, payment of subject or informant fees, rental of research facilities, and computer time if not available at the institution. These monies may not be employed as a stipend for the student, for meeting tuition obligations, or for dependents of students; journals or textbooks may not be purchased with these monies, nor can the cost of typing or reproducing a dissertation be assumed by program funds. Research assistants may be acquired with these monies under special circumstances, if adequate justification can be provided.

Financial Data

Range of Awards: $1,000–$10,000
Average Award: $3,500

Application Deadlines

Deadlines: While there are no deadlines for this program, an applicant is advised to allow at least six months for processing and evaluation to take place.

Form of Proposal: An application to the program should be submitted by the dissertation advisor on behalf of the candidate through the normal university channels. This application should contain the following items—(1) cover sheet; (2) abstract, no more than 200 words in length, suitable for publication; (3) narrative, not to exceed 15 double-spaced typewritten pages; (4) appendices, including biographical sketches for the student and the dissertation advisor.

Cost Sharing Requirements: Since the Foundation does not reimburse grantees for indirect costs associated with these projects, it will consider this as meeting any cost sharing obligation.

Evaluation Criteria: Among the criteria for judging the merit of a proposed project for this program are its scientific content, importance, and originality. Since these proposals compete for grant funds with regular research projects, it is especially important that they be of the highest scientific quality.

Mailing Information: Six copies of the proposal, one with the appropriate original signatures, should be submitted to—

Central Processing Section
National Science Foundation
Washington, D.C. 20550
(Attention: Appropriate Division)

(1800 G Street, N.W., Washington, D.C. 20550)

Division of Behavioral and Neural Sciences:

Richard T. Louttit, Division Director
Room 320, (202) 634-4230

Division of Social Science:

Herbert L. Costner, Division Director
Room 316, (202) 632-4286

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APPENDIX A
SPEECH COMMUNICATION ASSOCIATION FUNDING SURVEY

In an effort to secure relevant data from communication researchers about the grantsmanship process, the Speech Communication Association, at the suggestion of its Research Board, undertook a limited survey of its members in early 1979. The surveyors asked respondents to list any grant awards they had received since 1974 (title, area of study, duration, total funding, type of grant, supporting agency and program) and to describe their perceptions of funding opportunities for communication researchers.

Of those individuals who submitted survey returns (108), two-thirds had received grant awards since 1974. The average number of awards received by each in the five-year period was two.

In terms of the areas of communication study receiving support and the types of agencies which provided the funding, the following findings can be reported: (1) *Linguistics/Rhetoric*, 6% of total sample, mainly from university sources; (2) *Public Address*, 4% of total sample, funding mainly from university sources; (3) *Oral Interpretation*, 10% of total sample, funding primarily from state arts councils and the National Endowment for the Humanities, secondarily from university sources; (4) *Theatre*, 11% of total sample, funding primarily from state arts councils, the National Endowment for the Humanities, and the National Endowment for the Arts, secondarily from university sources; (5) *Communicative Disorders*, 22% of total sample, funding largely from federal sources (Office of Education/Bureau of Education for the Handicapped, National Institute of Neurological and Communicative Disorders and Stroke), secondarily from university sources; (6) *Mass Media*, 11% of total sample, funding from a variety of sources; (7) *Political Communication*, 1% of total sample, funding from university and foundation sources; (8) *Organizational Communication*, 2% of total sample, funding from university and federal sources; (9) *Small Group Communication*, 4% of total sample, with all but one of the awards from university sources; (10) *Intercultural Communication*, 2% of total sample, funding from federal and foundation sources; (11) *Interpersonal Communication*, 6% of total sample, all funding from university sources; (12) *Attitude Change and Perception*, 4% of total sample, funding from a variety of sources; (13) *Non-Verbal Communication*, 1% of total sample, funding from university sources; (14) *General Projects (for example, institutional and departmental development)*, 16% of total sample, funding from federal, foundation, and university sources (including the National Endowment for the Humanities, Exxon Foundation, and Kellogg Foundation).

According to respondents, of the projects funded, 27% were basic research grants, 27% were applied research grants, 17% were curriculum development grants, and 29% were training grants.

When asked to list five characteristics of the project which seemed to help in acquiring the grant award, respondents provided the following answers: (1) the project coincided with a need perceived by the agency, fit within the scope of funding of the agency, and was timely and innovative (by far the most frequent response); (2) the proposal was well-written (a frequent response); (3) the project budget was realistic and clearly delineated in the proposal; (4) the research design was sound and adequately described in the proposal; (5) project included a good evaluation plan; (6) the project included a good dissemination plan; (7) the reputation of researcher helped the project receive funding; (8) letters of support for the project were included in the proposal package; (9) the project was developed out of community needs (an especially popular response for rural communities); (10) the purpose of project was clearly described in the

SCA FUNDING SURVEY

Please return by *April 1* to: Dr. Susan Hellweg, P.O. Box 211, Palos Verdes Estates, CA 90274

1. NAME: _____ TITLE: _____
   DEPARTMENT: _____ PHONE: _____
   INSTITUTION: _____ CITY _____ STATE _____ ZIP _____

2. Please list any grants and/or contracts you have received from any funding source since 1974 (state or federal government, corporation, private foundation, or your campus/institutional system):

	Project Title	*Area of Study (small group organizational behavior, etc.)*	*Duration of Project in Months or Years*	*Total Amount Awarded (for entire project period)*	*Basic Research, Applied Research, Training Program, or Curriculum Dev.*	*Supporting Agency and Program*	*Project Report Available (Yes/No)*
A.							
B.							
C.							
D.							
E.							

3. If you have received grants and/or contracts since 1974 from any funding source, please list up to five characteristics of your project(s) that you feel helped you to get the award(s).

4. Communication researchers often must slant their proposals to satisfy the needs of funding agencies or to make the research relevant to other disciplines or to current problems. Based upon your own experience in pursuing grants, please comment on this statement.

5. In your judgment, how can SCA best help to improve the "climate" for funding from outside sources of research and development projects in our field?

6. Additional comments:

Thank you for your cooperation.

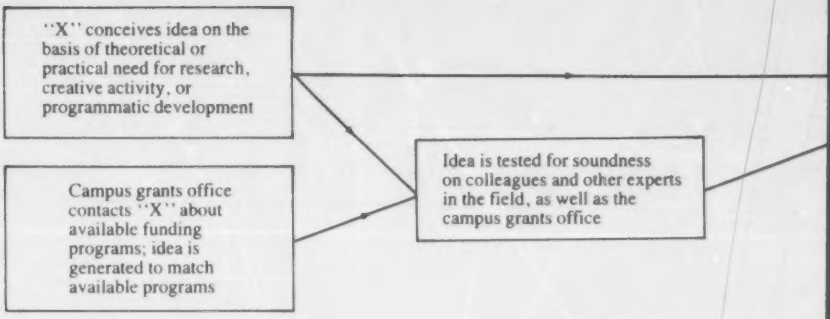
proposal; (11) sufficient documentation was contained in the proposal; (12) personal contact with agency personnel helped the project get funding; (13) the project budget included matching funds; (14) the persistence of principal investigator helped the project receive funding; (15) the project offered strong potential impact on university students; and (16) the project was interdisciplinary in nature, involving a number of academic units from campus.

When asked to react to the idea that communication researchers must slant their grant proposals to satisfy the needs of funding agencies or to make their research relevant to other disciplines or to current problems, almost all respondents indicated that this, in fact, was the case in terms of their own experience. Several respondents suggested that this was not a particular problem, since communication research fits a variety of perspectives and can be easily accomodated to the required orientation. A few individual responses were: (1) One possible solution to the problem is the development of interdisciplinary projects; (2) In order to overcome this obstacle, the researcher needs to demonstrate an awareness of current trends in other disciplines appropriate to the project; and (3) While this may be a problem for government and foundation projects, it does not arise when the researcher seeks university funds.

Finally, when asked what the Association could do to improve the "climate" for extramural funding for communication researchers, several reactions appeared consistently: (1) promote communication orientation of field to agencies (by far the most frequent response); (2) keep scholars in the field aware of appropriate funding programs and upcoming deadlines (a very popular response); (3) employ a professional grantsperson to lobby projects, critique proposals, make researchers in the field aware of available funding opportunities, set up meetings for researchers with agency personnel; (4) provide convention workshops, or even a summer conference, to professionals in the field on the subject of grantsmanship; (5) provide interested individuals with copies of successful proposals; and (6) keep scholars in the field abreast of grants received by others.

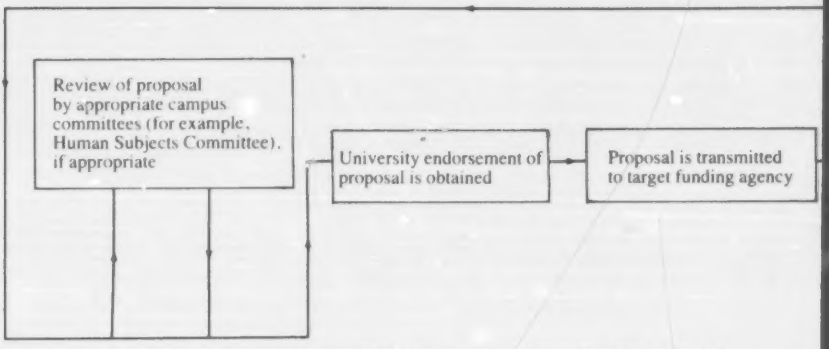
A few additional single responses are also worth noting: (1) facilitate inter-association activity on grants development; (2) facilitate inter-university activity on grants development; (3) bring federal agency officers to the conventions to discuss ways of getting projects funded; (4) establish a system for acquiring letters of support for projects from appropriate individuals in the field; and (5) emphasize to funding agencies the importance of oral communication instruction at all levels of educational training.

In terms of the composition of the respondent population, the following levels of postsecondary educational institutions were represented: (1) 52%, public or private university; (2) 25%, state university or college; and (3) 23%, college. Of those respondents reporting their professorial status, the following was found: (1) 38% were full professors; (2) 30% were associate professors; (3) 29% were assistant professors; and (4) 3% were instructors.

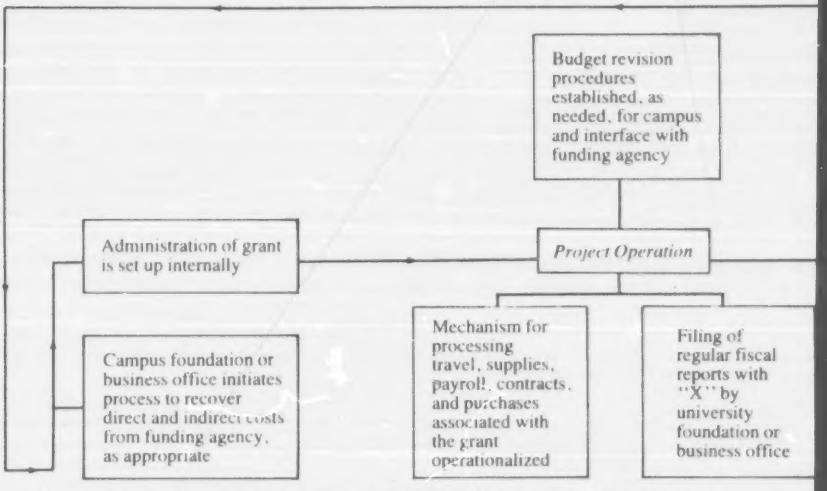

"X" conceives idea on the basis of theoretical or practical need for research, creative activity, or programmatic development
Campus grants office contacts "X" about available funding programs; idea is generated to match available programs
Idea is tested for soundness on colleagues and other experts in the field, as well as the campus grants office

GENERATION OF PROJECT IDEA

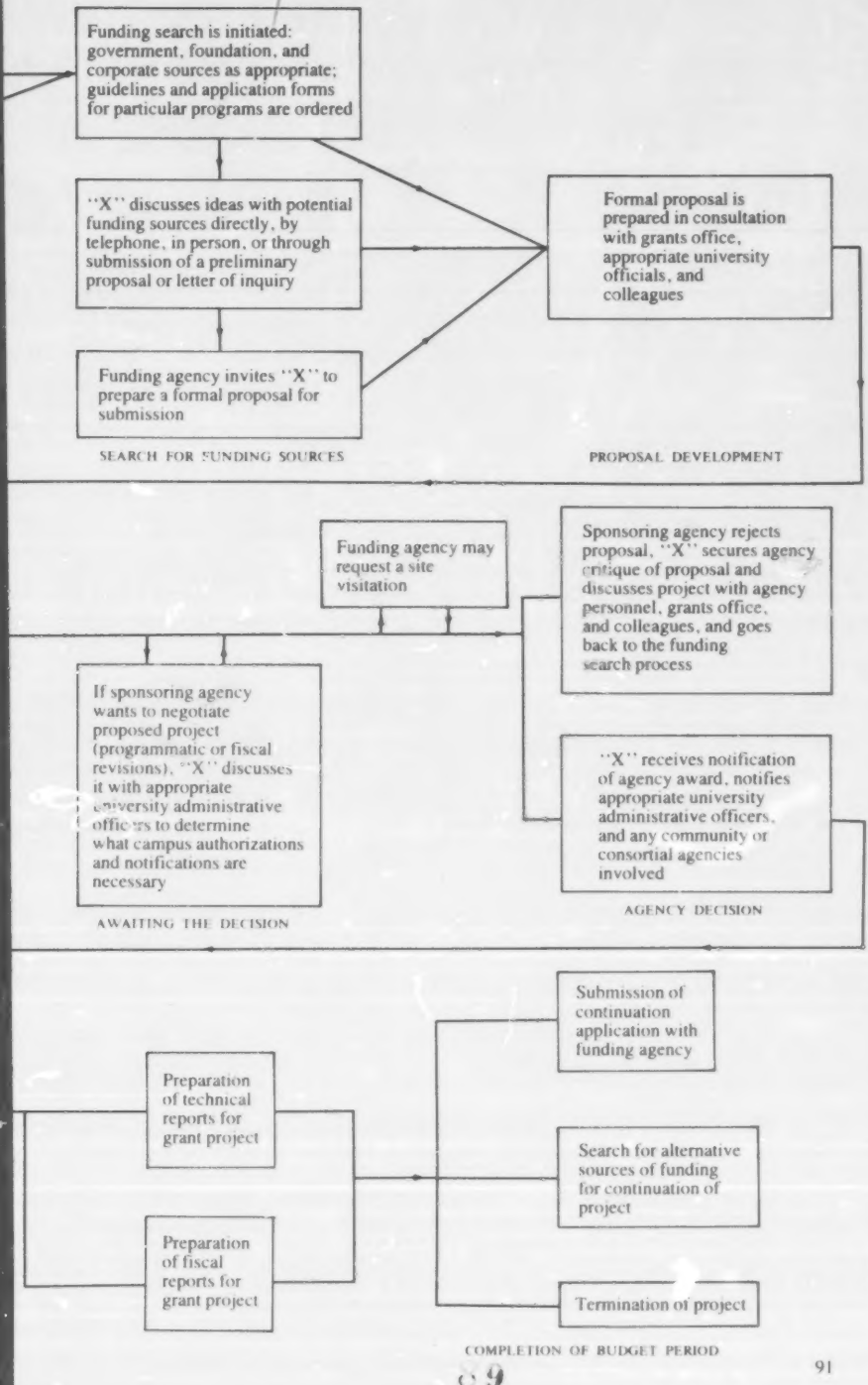
APPENDIX B
A GRAPHIC OVERVIEW OF THE FUNDING PROCESS


Review of proposal by appropriate campus committees (for example, Human Subjects Committee), if appropriate
University endorsement of proposal is obtained
Proposal is transmitted to target funding agency

PROCUREMENT OF UNIVERSITY ENDORSEMENT AND TRANSMITTAL OF PROPOSAL


Budget revision procedures established, as needed, for campus and interface with funding agency
Administration of grant is set up internally
Project Operation
Campus foundation or business office initiates process to recover direct and indirect costs from funding agency, as appropriate
Mechanism for processing travel, supplies, payroll, contracts, and purchases associated with the grant operationalized
Filing of regular fiscal reports with "X" by university foundation or business office

GRANT ADMINISTRATION PROCEDURES ESTABLISHED AND OPERATIONALIZED


Funding search is initiated: government, foundation, and corporate sources as appropriate; guidelines and application forms for particular programs are ordered
"X" discusses ideas with potential funding sources directly, by telephone, in person, or through submission of a preliminary proposal or letter of inquiry
Funding agency invites "X" to prepare a formal proposal for submission
SEARCH FOR FUNDING SOURCES
Formal proposal is prepared in consultation with grants office, appropriate university officials, and colleagues
PROPOSAL DEVELOPMENT
Funding agency may request a site visitation
If sponsoring agency wants to negotiate proposed project (programmatic or fiscal revisions), "X" discusses it with appropriate university administrative officers to determine what campus authorizations and notifications are necessary
AWAITING THE DECISION
Sponsoring agency rejects proposal, "X" secures agency critique of proposal and discusses project with agency personnel, grants office, and colleagues, and goes back to the funding search process
"X" receives notification of agency award, notifies appropriate university administrative officers, and any community or consortial agencies involved
AGENCY DECISION
Preparation of technical reports for grant project
Preparation of fiscal reports for grant project
Submission of continuation application with funding agency
Search for alternative sources of funding for continuation of project
Termination of project
COMPLETION OF BUDGET PERIOD

APPENDIX C
GLOSSARY OF BASIC FUNDING TERMINOLOGY

Appropriation: legislation which reflects the amount of funds provided by law to a granting agency or program within a single fiscal year. It may not exceed the authorized funding level, but may be the same or less.

Authorization: legislation which provides an agency or program the authority to operate for a specified time period. This may include the intent of the program, as well as the establishment of priorities and beneficiary eligibility requirements. In addition, this legislation set the maximum funding levels for the agency or program. Before a program or agency can be operationalized, it must, however, receive a Congressional appropriation.

Basic Research: projects which contribute to the body of knowledge in a particular field, in contrast to *applied research*, which examines the applicability and/or relationship of theories or principles developed within the field of study to the solution of a particular problem.

Block Grant or Formula Grant: a grant allocated by the federal government to state or regional agencies on the basis of a mathematically derived formula, rather than through a competitive process. Normally, this formula is based upon economic and demographic variables, as they are characterized within the state or region. These funds are frequently re-granted by the state or regional agency on a competitive basis.

Boilerplate: standard parts of a grant proposal or contract document which do not relate to the specific project for which the applicant is generating a response. These materials might include a general institutional description, as well as personnel capability statements.

Budget Period: length of time associated with the current grant budget, usually one year.

Capitation Grant: a grant which is given to an institution for training purposes, the campus enrollment being the base for the amount allocated.

Categorical Grant: a grant which is given for specific activities and/or in response to stated priorities of the sponsoring agency.

Consortium: arrangement involving several organizations or institutions for the purposes of submitting a grant application together to a funding agency, allowing for a broader base of resources, wider impact potential, and greater project justification.

Continuation Grant: an award of funds for the continuation of a project previously supported by the same agency, the proposal for which is submitted at the end of each *budget year*.

Contract: an award provided to an institution or organization for research or services sought by an agency. It is an instrument to procure research, generally applied in nature. A contract may or may not be secured through a competitive process; it is, however, generally initiated by an agency for its own purposes, on the basis of specific goals and outcomes it wants achieved by a certain date.

Cost-Sharing: financial contribution provided by an institution for a project primarily supported by a grant or contract.

Demonstration Grant: a grant made to provide evidence of the feasibility of a particular theory or approach.

Direct Costs: those costs which can be directly attributed to the particular project involved, including employee compensation, material expenditures, and other items of expense associated with it.

Extension: legislation which allows an agency to continue operating for a specified time period after the expiration of its authorization.

Extramural Research: research which is conducted through the support of external funding agencies.

Fellowship: an award of funds for scholarly development which enables an individual to focus upon a particular area of study.

Fiscal Year (FY): the time period during which the federal budget operates, from October 1 to September 30 of each year.

Forward Funding: funds which are appropriated in one fiscal year are spent in the following one.

Grant: an award of funds for research, planning, training, or the delivery of services for a specified period of time. This may involve basic or applied research, but definitely entails ideas originated and defined by the applicant. While a *contract* is an instrument to procure research, a grant is an agreement to support research that the applicant wishes to undertake. A grant is normally received through submission of an application to a competitive process coordinated by the agency.

Incremental Budgeting: use of an identical budget in a subsequent year submission, with fixed percentage increases to account for inflationary adjustments, incorporated frequently in continuation grant applications.

Indirect Costs: those costs which cannot be readily attributed to the grant project, but which are necessary for the general operation of the institution or organization. Among the costs involved would be those relating to the operation and maintenance of buildings and equipment, depreciation, administrative salaries, general telephone expenditures, etc. The *indirect cost rate* is calculated on the basis of a ratio between the total indirect expenses of an institution or organization and some direct cost figure, either direct salaries and wages or total direct costs exclusive of capital expenditures. An institution or organization negotiates its own individual indirect cost rate with an agency of the federal government. Using the established rate as a part of the grant or contract budget, costs are then reimbursed to the institution or organization upon the awarding of funds.

Matching Funds: percentage of project monies which must be provided by the grantee, either in the form of a *hard match*, in which actual dollars are involved, or a *soft match*, in which personnel or existing facilities may be employed in meeting the agency requirement.

New Starts: projects funded for the first time.

Planning Grant: a grant awarded to support the development, planning, or designing of particular objectives or activities.

Preliminary Proposal: brief outline of a proposed project, including its goals and objectives, anticipated impact, methods, time frame, and estimated budget. It can be submitted to an agency in the form of a letter or on forms that the agency provides. It is often referred to as a *prospectus* or *concept paper*.

Principal Investigator: the individual who is responsible for carrying out the terms of the grant or contract award.

Project Period: total life of a particular grant, usually one year or more.

Renewal: a new grant request submitted to a competitive program, one which may have supported it in the past.

Request for Proposal (RFP): document issued by a funding agency for the purpose of inviting the submission of applications from institutions and organizations toward the accomplishment of certain tasks or the delivery of specified services. The award which results from the review of these proposals is referred to as a *contract*.

Request for Quotation (RFQ): document issued by a funding agency for the purpose of inviting the submission of quotations from institutions and organizations for the completion of prescribed

activities or the delivery of particular commodities. The award which results from the review of the quotation documents submitted by applicant agencies is referred to as a *contract*.

Seed Money Project: funds are provided to give a project sufficient momentum so that internal support sustains it beyond the initial period. These projects are intended to have long-term impact, beyond the limits of external support capabilities.

Sole Source Procurement: a contract given to an institution or organization without involvement in open competition. In this case, the grantee is seen as having exclusive or predominant capabilities in performing the work required, due to its specialized facilities, equipment, or personnel.

Solicited Proposal: proposal which is submitted to an agency in response to a particular program announcement or RFP, specifically outlining criteria or guidelines for preparing the application.

Unsolicited Proposal: research or development proposal submitted to an agency, but not in response to a particular program announcement or RFP.

Year-End Money: Unencumbered agency funds which must be spent prior to the completion of the fiscal year (if they cannot be carried into the next fiscal year) or the agency will lose them.

APPENDIX D
RESOURCE MATERIALS ON SOURCES OF EXTERNAL SUPPORT

1. *Annual Register of Grant Support (ARGS)*—provides information on foundation, government, and corporate funding programs. Entries given for the following: areas of agency interest, type of grant support provided, number of applicants in the most recent year, eligibility requirements, number of awards given in the most recent year, amount of support per award, program deadlines, details of application process.
2. *Catalog of Federal Domestic Assistance (CFDA)*—provides descriptions of all Federal grant programs including information on authorizing legislation, purposes, eligiblity, appropriations, program contacts, and application/award processes. Entries are cross-indexed in a number of ways. A listing of related programs is also provided for each separate entry. A CFDA number is assigned to each program. This is an annual publication.
3. *Commerce Business Daily (CBD)*—provides daily announcements of government contract activities, solicited and awarded by Federal agencies. Bidding opportunities normally involve the procurement of equipment, services, materials, or research, sales of surplus property, and foreign business opportunities. Entries generally detail the tasks involved in the contract and indicate where one should write to get the Request for Proposal (RFP) associated with the contract opportunity, in order to acquire more specific information and the appropriate forms to be completed in seeking the contract. Contract offers are listed by subject area.
4. *Directory of Research Grants*—contains information on grant, contract, and fellowship support programs available from State and Federal governments, private foundations, associations, and corporations for research, training, and innovative efforts. This resource is organized by academic discipline and provides, for each agency entry, the following data: deadlines, program scope, size of the average grant award, dollar range of grant awards, program contacts. Published annually, the publication is indexed by grant program, sponsoring organization, and type of sponsoring organization.
5. *Federal Register*—contains program regulations, in both the proposed and final stages, announcements of deadlines, and program funding criteria. Often announcements relate to changes or clarifications of already existing grant programs.
6. *Federal Research Report*—provides reports on government activities relating to funds available for research, education, and associated areas. Each semi-monthly issue offers a listing of upcoming grant deadlines and articles on specific programs.
7. *The Grants Register*—describes the purpose and scope of international grants programs, as well as ones in the United States. Included in the listings are scholarships and fellowships for all levels of graduate study, from regional, national, and international sources, tenable at home and/or abroad; research grants, exchange opportunities; vacation study awards; travel grants; grants-in-aid; project grants; competitions, prizes, and honoraria; professional and vocational awards; special awards. This biennial publication provides eligibility requirements for each program and an address for obtaining further information, including application materials.

8. *Guide to Federal Assistance for Education*—provides lengthy descriptions about Federal funding programs, which are updated on a monthly basis, including program purpose, eligibility and matching requirements, agency contact at both the State and Federal level, available funds, deadlines, and related programs.
9. *Federal Notes*—provides information on sources of Federal funds for education, on current legislation, program plans of Federal agencies, application process for grants programs, highlights on regulatory notes from the *Federal Register*, and notes on upcoming programs. It is a semi-monthly periodical.
10. *College and University Reports*—contains information on a weekly basis on a limited number of Federal programs and legislation of interest to higher education.
11. *Educational Resources*—contains reviews of upcoming Federal and foundation programs with descriptions of purpose, eligiblity, funding levels, deadlines, and high priority areas. This periodical is published ten times per year.
12. *NIH Guide for Grants and Contracts*—provides policy and administrative information for NIH grants and contracts. In addition, supplements are published by the respective awarding units concerning new projects, solicitations of sources, and requests for proposals. This irregularly published periodical is free.
13. *Directory of National Science Foundation Programs*—contains detailed descriptions of all National Science Foundation research and education programs, including funding criteria, financial data, deadlines, eligibility requirements, program contacts, cost-sharing requirements, program objectives, evaluation criteria, and application procedures. Material for this publication is updated on a bi-monthly basis. General information about the National Science Foundation is also provided. This publication is published by Western Michigan University.
14. *NSF Directory Newsletter*—outlines details of current National Science Foundation grants programs, including deadlines, program contacts, eligibility requirements, funding criteria, and application procedures. This monthly periodical is published by Western Michigan University.
15. *NSF Bulletin*—provides information about current National Science Foundation grants programs, as well as general notices about job opportunitites, Foundation policies and activities, or programmatic changes. This periodical is published on a monthly basis and is free.
16. *Grantsmanship Center News*—provides information on both public and private sources of funding, as well as numerous useful articles on how to obtain grants, writing proposals and planning programs, and other concerns of interest. It is published six times a year by The Grantsmanship Center.
17. *The Foundation Directory*—outlines general information on large private foundations, including the purpose and activities of the particular foundation, financial data, and officers. The publication, for which supplements are published semi-annually, is indexed by field of interest, alphabetically, geographically, and by administrators.
18. *Foundation Grants Index*—lists all foundation grants over $5,000 by state and foundation and is indexed by recipient, key words and phrases, subject area, and foundation name. It is updated regularly through a periodical entitled *Foundation News*.
19. *Foundation Reporter*—provides a detailed description of all major foundations, including its purpose, its funding pattern, its mode of operation, a listing of sample grants by topic area, and program contact. This publication, updated annually, is indexed by field of interest, geographic location, foundation name, and type of grant.

APPENDIX E
PRELIMINARY PROPOSAL OUTLINE

Name of Principal Investigator: _____
Departmental Affiliation: _____
Institution: _____
Address: _____
Telephone Number: _____ Date: _____
Title of Proposal: _____

Brief Description of Proposed Project:

Project Goals & Objectives:

Anticipated Impact of Project:

Project Design & Sequence of Activities:

Proposed Time Frame for Project:

Estimated Budget for First Year of Project:

(a) Salaries—professional personnel _____
non-professional personnel _____
(b) Travel—in-state _____
out-of-state _____
(c) Equipment _____
(d) Supplies _____
(e) Other _____
(f) Total Direct Cost _____

APPENDIX F
SAMPLE BUDGET SUMMARY

EXPENSE ITEMS	*GRANT FUNDS*	*INSTITUTIONAL MATCHING FUNDS*	*TOTAL*
A. Personnel Costs			
1. Professional			
a. Administrative			
b. Instructional			
c. Other			
2. Clerical			
3. Fringe Benefits			
B. Consultants			
C. Material Costs			
1. Supplies			
2. Printing			
3. Mailing			
4. Equipment			
5. Other			
D. Travel (Institutional Personnel and Consultants)			
E. Total Direct Costs			
F. Indirect Costs			
G. Total Expenses			

APPENDIX G
EVALUATIVE CRITERIA EMPLOYED BY FUNDING AGENCIES

1. Is the project a worthwhile investment, in terms of agency assets and other grant requests?
2. Are there legal risks in funding the applicant organization?
3. Does the project fit into agency priorities and program guidelines?
4. Can the project serve as a model for development elsewhere?
5. Does the applicant organization have credibility?
6. Does the project design offer high program impact potential?
7. Can the organization support the project beyond the grant period?
8. Is there institutional support for the proposed project?
9. Does the project design respond appropriately to the needs of the target population?
10. Has there been expert input into the project?
11. Is the project developed from community input?
12. Does the project design capitalize on cooperative arrangements, so as to maximize the impact of the proposed project?
13. Is the timing right for attempting to solve the problem involved in the project?
14. How significant is the need associated with the problem?
15. How adequately is the need documented by the applicant?
16. Has the applicant identified and detailed specific project-related behavioral objectives and the means by which they will be achieved?
17. Does the project design include short-term and long-range objectives which are measurably stated?
18. Is the project design conducive to easy replication?
19. Is the schedule of significant project events adequately explicated and detailed?
20. Are the methods to be used cost-effective?
21. Does the applicant offer the best approach to solve the problem?
22. Are the evaluation criteria for the project sound?
23. Does the evaluation design provide for accountability factors which facilitate the measurement of the overall effectiveness of the proposed project?
24. Is the evaluation component tied to measurable objectives for the project?
25. Is the budget realistic and adequately detailed?
26. Are project personnel qualified to undertake the tasks associated with the project?
27. What is the local impact of the proposed project?

APPENDIX H
PUBLIC INFORMATION ACT

(Public Law 90–23, H.R. 5357)

The Public Information Act, passed on June 5, 1967 by the Congress, specifies that federal granting agencies must, with the exception of highly classified security material, respond freely to the following: (1) initial inquiries relating to the appropriateness of a proposed project to the mission of the agency; (2) questions submitted by a prospective applicant during the proposal development process; (3) requests for specific reasons why a project was not supported; and (4) requests for lists of projects previously funded, evaluative studies, etc.

Specifically, the law reads:

> *Each agency shall make available to the public information as follows: (A) description of its central and field organization and the established places which, the employees from whom, and the methods whereby, the public may obtain information, make submittals or requests, or obtain decisions; (B) statements of the general course and method by which its functions are channeled and determined, including the nature and requirements of all formal and all informal procedures available; (C) rules of procedure, descriptions of forms available or the places at which forms may be obtained, and instructions as to the scope and contents of all papers, reports, or examinations; (D) substantive rules of general applicability adopted as authorized by law, statements of general policy or interpretations of general applicability formulated and adopted by the agency; and (E) each amendment, revision, or repeal of the foregoing.*
>
> *Each agency, in accordance with published rules, shall make available for public inspection and copying: (A) final opinions, including concurring and dissenting opinions, as well as orders, made in the adjudication of cases; (B) those statements of policy and interpretations which have been adopted by the agency and are not published in the* Federal Register; *and (C) administrative staff manuals and instructions to staff that affect a member of the public.*

APPENDIX I:
LISTING OF FEDERAL AGENCIES

Department of Agriculture

- Conservation, Research and Education
  - Forest Service
  - National Forest System
  - Research
  - Science and Education Administration
    - Agricultural Research
    - Cooperative Research
    - Extension
    - Soil Conservation Service
- Economics, Policy Analysis and Budget
  - Economic Research Service
- Food and Consumer Services
  - Food and Nutrition Service
    - Family Nutrition Programs
    - Special Nutrition Programs
- International Affairs and Commodity Programs
  - Agricultural Stabilization and Conservation Service
- Marketing Services
- Rural Development
  - Farmers Home Administration
  - Rural Development

Department of Commerce

- Bureau of Census
- Bureau of Economic Analysis
- Economic Development Administration
- Industry and Trade Administration
  - Bureau of Domestic Business Development
  - Bureau of International Economic Policy and Research
- Maritime Administration
- Office of Minority Business Enterprise
- National Bureau of Standards
- U.S. Fire Administration
- National Technical Information Service
- National Telecommunications and Information Administration
- Patent and Trademark Office
- Office of Product Standards
- U.S. Travel Service
- Regional Action Planning Commission

Department of Defense

- Office of the Secretary
  - Defense Civil Preparedness Agency
  - Defense Advanced Research Projects Agency
- Department of the Air Force
  - Air Force Systems Command
  - Office of Scientific Research
- Department of the Army
  - Chief of Engineers
- Department of the Navy
  - Office of Naval Research
- U.S. Marine Corps Headquarters
  - Research, Development and Studies Division

Department of Energy

- Administration
- Procurement and Contracts Management
- Office of Energy Research
- Assistant Secretary for Conservation and Solar Applications
- Assistant Secretary for Defense Programs
- Assistant Secretary for Energy Technology
  - Office of Nuclear Programs
  - Office of Solar, Geothermal and Electric and Storage Systems Program
  - Office of Fossil Energy Programs
- Assistant Secretary for Environment
- Assistant Secretary for Intergovernmental and Institutional Relations
- Assistant Secretary for International Affairs
- Assistant Secretary for Policy and Evaluation
- Assistant Secretary for Resource Applications
  - Oil, Natural Gas and Shale Resources
- Economic Regulatory Administration
- Energy Information Administration
- Federal Energy Regulatory Commission

Department of Health, Education, and Welfare

- Office of the Secretary
  - Office of Civil Rights
  - Office of Consumer Affairs
  - Assistant Secretary for Management and Budget
- Public Health Service
  - Assistant Secretary for Health
  - Office of Special Health Initiatives
  - Office of Health Policy, Research and Statistics
    - National Center for Health Statistics
    - National Center for Health Service Research
  - Office of Health Programs
  - Office of Management
  - Alcohol, Drug Abuse and Mental Health Administration
    - National Institute of Alcohol Abuse and Alcoholism
    - National Institute of Drug Abuse
    - National Institute of Mental Health
  - Center for Disease Control

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- Bureau of Health Education
- National Institute of Occupational Safety and Health
- Food and Drug Administration
  - Bureau of Drugs
  - Bureau of Foods
- Health Resources Administration
  - Bureau of Facilities Financing Compliance and Conversion
  - Bureau of Health Planning
  - Bureau of Health Manpower
- Health Services Administration
  - Bureau of Community Health Service
  - Health Service Financing
  - Bureau of Medical Services
    - Emergency Medical Services Division
- National Institutes of Health
  - National Library of Medicine
  - Fogarty International Center
  - National Cancer Institute
  - National Eye Institute
  - National Heart, Lung and Blood Institute
  - National Institute on Aging
  - National Institute on Allergy and Infectious Diseases
  - National Institute on Arthritis, Metabolic and Digestive Diseases
  - National Institute of Child Health and Human Development
  - National Institute of Dental Research
  - National Institute of Environmental Health Sciences
  - National Institute of General Medical Sciences
  - National Institute of Neurological and Communicative Disorders and Stroke

Education Division

- Assistant Secretary for Education
  - Fund for the Improvement of Postsecondary Education
- Institute of Museum Services
- National Center for Education Statistics
- Office of Education
  - Bureau of Indian Affairs
  - Bureau of Elementary and Secondary Education
  - Bureau of Higher and Continuing Education
  - Bureau of Occupational and Adult Education
  - Bureau of Education for the Handicapped
  - Bureau of Student Financial Assistance
  - Bureau of School Improvement (newly formed)
    - (transferred programs)
    - Arts-in-Education
    - Consumer Education
    - Community Schools
    - Drug and Alcohol Abuse Education
    - Right-to-Read
    - School Finance
    - Teacher Centers
    - Environmental Education
    - Ethnic Heritage
    - Metric Education
    - Women's Educational Equity
    - Teacher Corps
    - (newly established programs)
    - Health Education

Biomedical Sciences
Corrections Education
Safe School
PUSH for Excellence
Cities in Schools
Energy Art
Population Education
Office of Comprehensive School Health
Preschool Partnership Program
National Institute of Education
Dissemination of Improvement of Practice Program
Educational Policy and Organization Program
Teaching and Learning Program
National Council on Educational Research
Office of Human Development Services
Office of Handicapped Individuals
Architectural and Transportation Barrier Compliance Board
Office of Administration and Management
Office of Planning, Research and Evaluation
Office of Policy and Management Control
Administration for Children, Youth and Families
Office of Planning, Research and Evaluation
Office of Services for Children and Youth
Children's Bureau
Youth Development Bureau
Day Care Division
Head Start
Administration on Aging
Administration for Public Services
Administration for Native Americans
Rehabilitation Services Administration
Health Care Financing Administration
Social Security Administration

Department of Housing and Urban Development

Assistant Secretary for Legislation and Intergovernmental Relations
Assistant Secretary for Community Planning and Development
Office of Block Grant Assistance
Assistant Secretary for Policy Development and Research

Department of the Interior

Policy, Budget and Administration
Energy and Minerals
Geological Survey
Office of Minerals Policy and Research Analysis
Bureau of Mines
Mineral and Materials Research and Development
Mineral and Materials Supply/Demand Analysis
Ocean Mining Administration
Office of Surface Mining Reclamation and Enforcement
Office of State and Federal Programs
Fish and Wildlife and Parks
U.S. Fish and Wildlife Service

- Environment and Research
- Federal Assistance
- Fish and Wildlife Resources
- National Park Service
  - Office of Cooperative Activities
  - Science and Technology
- Heritage and Conservation and Recreation Service
  - Preservation of Historic Properties

Indian Affairs
- Bureau of Indian Affairs
  - Office of Indian Education Programs
  - Office of Indians
- Indian Arts and Crafts Board
- Land and Water Resources
  - Bureau of Land Management
  - Bureau of Reclamation
  - Office of Water Research and Technology
- Organization Affiliated with the Interior Department
  - Advisory Council on Historic Preservation

Department of Justice

Office of the Deputy Attorney General
- Criminal Division
- Federal Bureau of Investigation
- Drug Enforcement Administration
- Deputy Administrator
  - Office of Science and Technology
  - Office of Training
- Law Enforcement Assistance Administration
  - Office of Criminal Justice Programs
  - National Criminal Justice Institute Statistics Service
  - Office of Juvenile Justice and Delinquency Prevention
  - Office of Community Anti-Crime Programs
  - Office of Criminal Justice Education and Training
  - National Institute of Law Enforcement and Criminal Justice
  - Bureau of Prisons
- Office of the Associate Attorney General

Department of Labor

Assistant Secretary for Employment and Training Administration
- National Commission on Manpower Policy
- Office of Policy, Evaluation and Research
- U.S. Employment Service
- Office of Comprehensive Employment Development
- Office of National Programs
- Office of Youth Programs

Assistant Secretary for Labor

Assistant Secretary for Mine Safety and Health Administration
- Education and Training

Assistant Secretary for Occupational Safety and Health Administration
- Directorate for Training, Education, Consultation and Federal Agency Programs

Assistant Secretary for Policy, Evaluation and Research

President's Committee on Employment of the Handicapped

Department of State

Bureau of Oceans and International Environmental and Scientific Affairs
Agency for International Development

Department of Transportation

U.S. Coast Guard
  Office of Research and Development
Federal Aviation Administration
  Associate Administrator for Administration
    Office of Personnel and Training
  Associate Administrator for Engineering and Development
    System Research and Development Service
  Associate Administrator for Policy and International Aviation Affairs
    Office of Environment and Energy
Federal Highway Administration
  Office of the Administrator
    National Highway Institute
  Associate Administrator for Engineering and Traffic Operations
    Office of Highway Operations
      Federal Highway Projects Division
  Associate Administrator for Research and Development
    Office of Research
    Office of Development
Federal Railroad Administration
  Associate Administrator for Policy and Program Development
  Associate Administrator for Research and Development
National Highway Traffic Safety Administration
  Associate Administrator for Research and Development
Research and Special Programs Administration
Urban Mass Transportation Administration
  Associate Administrator for Policy and Program Development
  Associate Administrator for Technology Development
  Associate Administrator for Planning, Management and Demonstration

Department of the Treasury

Bureau of Alcohol, Tobacco, and Firearms
  Office of Technical and Scientific Service
U.S. Customs Service
Bureau of Engraving and Printing
Federal Law Enforcement Training Center
International Revenue Service
Bureau of the Mint
U.S. Secret Service

Action

Vista/ACTION Education Programs
Older Americans Volunteer Programs
Peace Corps

Community Services Administration

Office of Economic Development

Office of Personnel Management

- Bureau of Intergovernmental Personnel Program
- Bureau of Training

Environmental Protection Agency

- Assistant Administrator for Research and Development

Equal Educational Opportunity Commission

Federal Communications Commission

Federal Mediation and Conciliation Service

Federal Power Commission

Federal Reserve Systems

Federal Trade Commission

General Services Administration

- Automated Data and Telecommunications Service
- Federal Preparedness Agency
- Federal Property Resources Service
- Federal Supply Service
- National Archives and Records Service
  - Office of Educational Programs
  - Office of the Federal Register
  - Office of the National Archives
- Public Buildings Service

Inter-America Foundation

International Communications Agency

- Associate Director for Education and Cultural Affairs
  - Office of Academic Programs
  - Office of Cultural Centers and Resources
  - Office of Institutional Relations

International Trade Commission

- Office of Consumer Affairs
- Office of Economic Research

Interstate Commerce Commission

National Aeronautics and Space Administration

Associate Administrator for Aeronautics and Space Technology
Associate Administrator for Space Science
Associate Administrator for Space and Terrestrial Applications

National Center for Productivity and Quality of Life

National Endowment for the Arts

Architectural, Planning and Design
Dance
Expansion Arts
Folk Arts
Literature
Media Arts Film/Radio/TV
Museum
Music
Opera/Musical Theatre
Theatre
Visual Arts
Education Program
Federal-State Partnership Program
Challenge Grants Program
Special Projects Program

National Endowment for the Humanities

Division of Research Grants
Division of Fellowships
Division of Education Programs
Division of Public Programs
Division of State Programs
Division of Special Programs
Challenge Grants Program

Federal Council on the Arts and Humanities

National Science Foundation

Directorate for Mathematical, Physical Sciences and Engineering
Directorate for Astronomical, Atmospheric, Earth and Ocean Sciences
Directorate for Biological, Behavioral and Social Sciences
Directorate for Science Education
Directorate for Applied Science and Research Applications
Directorate for Science, Technology and International Affairs

Nuclear Regulatory Commission

Securities and Exchange Commission

Small Business Administration

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Smithsonian Institution

Office of the Assistant Secretary for Science
Smithsonian Science Information Exchange, Inc.
Administered Under Separate Boards of Trustees
Woodrow Wilson International Center for Scholars

U.S. Information Agency

U.S. Arms Control and Disarmament Agency

Veterans Administration

Department of Medicine and Surgery
Academic Affairs
Research and Development

APPENDIX J
POTENTIAL FUNDING SOURCES AS A FUNCTION OF SPECIFIC COMMUNICATION DISCIPLINE AREAS

Linguistics/Rhetoric

National Science Foundation:
  Linguistics Program
General Semantics Foundation
Wenner-Gren Foundation for Anthropological Research
Atran Foundation
National Institute of Mental Health:
  Behavioral Sciences Research Branch
Linguistic Society of America:
  Linguistic Institute Fellowship Program
Howard Foundation: Fellowship Program

Public Address

Axe-Houghton Foundation

Oral Interpretation

Howard Foundation: Fellowship Program

Communicative Disorders

National Science Foundation:
  Sensory Physiology and Perception Program
American Otological Society Research Fund
The Deafness Research Foundation
National Institutes of Health:
  Communicative Disorders Program
National Easter Seal Society:
  Alpha Chi Omega Supplemental Aid Scholarship Program
Speech Foundation of America

Mass Media: Television/Radio/Film

National Endowment for the Humanities:
  Media Program
National Endowment for the Arts:
  Media Arts—Film/Radio/Television

National Science Foundation:
- Telecommunications Program
- Public Understanding of Science Program

American Broadcasting Company Network *(television)*
American Film Institute *(television & film)*
Benton Foundation
Ford Foundation:
- Communications Program

Markle Foundation
Howard Foundation: Fellowship Program *(film)*
Film Fund *(film)*
Kaltenborn Foundation *(television & radio)*
National Association of Broadcasters *(television & radio)*

Journalism/Public Relations

National Science Foundation:
- Public Understanding of Science Program

Benton Foundation
Ford Foundation:
- Communications Program

Phillip Morris:
- Marketing/Communications Competition for College Students

Foundation for Public Relations Research and Education *(public relations)*
Kaltenborn Foundation *(journalism)*

Political Communication

National Science Foundation:
- Political Science Program
- Law and Scoial Science Program

The Center for Field Research
National Institute of Mental Health:
- Center for Studies of Metropolitan Problems

Organizational Communication

Army:
- Research Institute for the Behavioral and Social Sciences

Navy:
- Office of Naval Research—Psychological Sciences Division

National Science Foundation:
- Applied Social and Behavioral Sciences—Individual Organizations and Markets
- Sociology Program
- Division of Policy Research and Analysis—Innovation Processes and Their Management

Department of Labor:
- Doctoral Dissertation Program
- Small Grant Research Program

The Center for Field Research
National Institute of Mental Health:
- Applied Research Branch
- Behavioral Sciences Research Branch
- Center for Studies of Metropolitan Problems

Phillip Morris:
Marketing/Communications Competition for College Students

Small Group Communication

Army:
Research Institute for the Behavioral and Social Sciences
Navy:
Office of Naval Research—Psychological Sciences Division
National Science Foundation:
Applied Social and Behavioral Sciences—Individual and Group Processes
Social and Developmental Psychology Program
Law and Social Science Program
Sociology Program
National Institutes of Health:
Research for Mothers and Children
National Institute of Mental Health:
Behavioral Sciences Research Branch
Center for Studies of Metropolitan Problems

Intercultural Communication

National Science Foundation:
Anthropology Program
Tinker Foundation
Wenner-Gren Foundation for Anthropological Research
The Center for Field Research
National Institute of Mental Health:
Behavioral Sciences Research Branch
Center for Studies of Metropolitan Problems
The Japan Foundation:
Dissertation Fellowship Program
The East-West Center

Interpersonal Communication

Army:
Research Institute for the Behavioral and Social Sciences
Navy:
Office of Naval Research—Psychological Sciences Division
National Science Foundation:
Applied Social and Behavioral Sciences—Individual and Group Process
Memory and Cognitive Process Program
Social and Developmental Psychology Program
National Institutes of Health:
Research for Mothers and Children
National Institute of Mental Health:
Behavioral Sciences Research Branch

Information Processing

National Science Foundation:
Memory and Cognitive Processes Program

Sensory Physiology and Perception Program
Research in Information Science Program
National Institute of Mental Health:
Behavioral Sciences Research Branch

Attitude Formation and Change/Perception

National Science Foundation:
Sensory Physiology and Perception Program
National Institutes of Health:
Research for Mothers and Children
National Institute of Mental Health:
Behavioral Sciences Research Branch

Non-Verbal Communication

National Science Foundation:
Anthropology Program
Wenner-Gren Foundation for Anthropological Research
The Center for Field Research

General Academic Concerns

American Association of University Women Educational Foundation:
Dissertation and Postdoctoral Research Fellowship Program
Fund for the Improvement of Postsecondary Education:
Comprehensive Program
Exxon Education Foundation

Humanities

National Endowment for the Humanities:
Consultant Grants
Pilot Grants
Development Grants
Humanities Institutes Grants
Curriculum Materials Grants
General Projects-Grants
General Research Program
Research Materials Program
Research Conferences Program
NEH Fellowship Program
Summer Stipends
Summer Seminars for College Teachers
American Philosophical Society
American Council of Learned Societies
The Japan Foundation:
Dissertation Fellowship Program
Howard Foundation: Fellowship Program
The Rockefeller Foundation:
Humanities Fellowships

Social Sciences

National Science Foundation:
- Directorate for Biological, Behavioral, and Social Sciences—
  - Conferences, Symposia, and Research Workshops
  - Doctoral Dissertation Research Grants
- Directorate for Science Education—
  - Instructional Scientific Equipment Program
  - National Needs Postdoctoral Fellowships
  - NATO Postdoctoral Fellowships in Science
- Directorate for Scientific, Technological, and International Affairs—
  - International Travel Grant Program

Irwin Foundation: Doctoral Fellowship Program
American Philosophical Society
ARCA Foundation
The Rockefeller Foundation:
- Conflict in International Relations Fellowships

Spencer Foundation:
- Educational Research Grants Program

German Marshall Fund of the United States:
- Fellowship Program

Twentieth Century Fund
Inter-American Foundation:
- The Latin American and Caribbean Learning Fellowship on Social Change

The S&H Foundation:
- Lectureship Program

Center for Advanced Study in the Behavioral Sciences:
- Fellowship Program

The Center for Field Research
National Institute of Mental Health:
- Applied Research Branch
- Behavioral Sciences Research Branch
- Small Grants Program

The Japan Foundation:
- Dissertation Fellowship Program

Howard Foundation: Fellowship Program
Department of Labor:
- Doctoral Dissertation Program
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